



INVITATION TO BID (ITB) SPECIFICATIONS  
FOR CUSTODY, ACCOUNTING, AND BROKERAGE  
SERVICES FOR UNCLAIMED SECURITIES

1.0 General Description

- 1.01 The Oklahoma State Treasurer ("OST"), Ken Miller, is charged statutorily with the administration of Oklahoma's Unclaimed Property program. Oklahoma Statutes title 60, §§ 651 (2001) et seq. The administrative duties include the duty to take custody of unclaimed securities as well as other types of unclaimed property. OST uses a private bank to take custody of unclaimed securities, provide accounting information related to the securities, and sell the securities if instructed to do so. The current bank is Bank of Oklahoma, N.A., 9520 N. May Ave., Oklahoma City, OK 73120.
- 1.02 The Oklahoma State Treasurer (OST) requests bids from qualified banks to provide safe custody, accounting, and brokerage services for unclaimed securities held by OST pursuant to the Uniform Unclaimed Property Act, Oklahoma Statutes title 60, §§ 655, 661, 663, and 667 (2001). For purposes of this ITB the term "bank" is the same as used in 15 U.S.C. § 78c(a)(6) and means:
- (A) a banking institution organized under the laws of the United States,
  - (B) a member bank of the Federal Reserve System,
  - (C) any other banking institution, whether incorporated or not, doing business under the laws of any State or of the United States, a substantial portion of the business of which consists of receiving deposits or exercising fiduciary powers similar to those permitted to national banks under the authority of the Comptroller of the Currency pursuant to section 92a of title 12, and which is supervised and examined by State or Federal authority having supervision over banks, and which is not operated for the purpose of evading the provisions of this chapter, and
  - (D) a receiver, conservator, or other liquidating agent of any institution or firm included in clauses (A), (B), or (C) of this paragraph.
- 1.03 OST is seeking a proven securities handling system which incorporates custody of securities, dividend and interest collections, tracking, and accounting for all activity for all issues held on behalf of individuals that cannot be located by Entities holding their securities and dividends. Accounting information must be provided with real time, on-line accessibility, via modem, or an acceptable alternative.

- 1.04 As of June 30, 2011, OST had approximately 1,500 issues of securities with a value greater than zero. At this time, the portfolio value exceeded \$11.5 million (re: Appendix B for the list of securities held by the current custodian as of June 30, 2011). Since the Holders continually report new securities, the size of OST's holdings can vary on a daily basis. OST reserves the right to liquidate any portion of the securities prior to the effective date of the contract at its sole discretion. Owners may also claim their securities prior to the transfer of custody of securities to the Bank.
- 1.05 Stock certificates registered in the name of OST (previously the Oklahoma Tax Commission "OTC") are currently held by OST's custodian. OST reserves the right to determine assets to be transferred to the custodian. The Holder, Owner, and securities information is maintained in OST's database system. OST uses software to manage the Unclaimed Property program that was developed by ACS Wagers & Associates, Inc. of 5777 Central Ave. Suite 227, Boulder, Colorado 80301.
- 1.06 By submitting a bid, banks certify that all information provided in response to this ITB is true and accurate. Failure to provide information required by this ITB will ultimately result in the rejection of the bid.
- 1.07 No pre-bid conference will be held for this ITB. In an effort to answer questions and clarify any issues in this ITB, OST will respond to questions that are presented in writing. These questions will be consolidated into a single question and answer document. The document will be distributed to all prospective banks regardless of whether they submitted questions or not.

Questions are due by February 10, 2012.

Faxed Questions to the attention of both: Trish Cantrell at (405)521-4993 and  
Kathy Janes at (405)522-0130

Or email to both: [Trish.Cantrell@treasurer.ok.gov](mailto:Trish.Cantrell@treasurer.ok.gov) and  
[Kathy.Janes@treasurer.ok.gov](mailto:Kathy.Janes@treasurer.ok.gov)

If the questions are mailed they must be received by the due date. The consolidated Q&A document will be returned to banks on or about February 17, 2012.

- 1.08 To be considered, all proposals must be submitted to OST at:

Office of State Treasurer  
Attn: Trish Cantrell  
2300 N. Lincoln Blvd.  
217 State Capitol  
Oklahoma City, OK 73105-4895

Proposals must be submitted in proper form by 4:30 pm, March 2, 2012. Any proposal not received by this time will be rejected and returned unopened to the sender unless OST determines there were extenuating circumstances that caused a delay in the proposal being delivered timely.

- 1.09 The initial period of contract will be from April 1, 2012, through December 31, 2012, with four (4) successive one-year options to renew in accordance with the terms of the contract, at the option of OST.
  
- 1.10 The selected Bank will assume total responsibility for all services offered in the bid whether they provide such services or utilize the services of a third party. The Bank will be required to disclose any relationships it may have with respect to any services the Bank proposes to provide as to whether these services are actually provided by the Bank or by contractual arrangement with a third party. If such relationships exist, list which services and with whom.
  - 1.10.01 OST must approve any sub-contractors prior to award of a contract for custody, accounting, and brokerage services.
  - 1.10.02 OST must approve any post contractual agreements between Bank and any sub-contractors the Bank is considering for custody, accounting, and brokerage services.

2.0 Selection Criteria

OST will evaluate all bids according to a uniform process. Banks should separate detailed responses to the specific requirements listed in three categories. Bids will be evaluated on a scale of one hundred (100) points distributed among these three categories as follows:

- Bank Qualifications 20 Points
- Custody, Accounting, and Brokerage Services 30 Points
- Fees 50 Points
- Total 100 Points

Bids will receive a raw score in each category based upon OST’s evaluation of responses to specific requirements. Banks may refer to attachments to bid submissions, but may not substitute such material for explicit responses to the requirements as enumerated in this ITB. The Bank with the highest raw score in a category will receive the maximum points allowed with the other Banks receiving points based upon their raw score as a percentage of the highest Bank’s score. In the fee category, the highest raw score will be given to the bank bidding the lowest rate.

### 3.0 Bank Qualifications

Banks must include complete written responses to the following requirements in order of requirement number, and include specified documentation and any additional information which the bidder finds appropriate.

- 3.01 Experience. The Bank must be a bank, as defined in ¶ 1.02, and have had at least three (3) years experience in providing custody, accounting, and brokerage services for securities.
- 3.02 Customer References. Provide names, addresses, and phone numbers of four (4) major accounts for which the Bank has provided similar services as specified herein within the past five (5) years.
- 3.03 Knowledge of Unclaimed Property Act. All Banks must demonstrate that they are thoroughly familiar with Oklahoma Statute Title 60, §§ 651 et seq., and the Uniform Unclaimed Property Act(s).
  - 3.03.1 Banks shall provide a detailed description of all previous unclaimed property experience in the last five (5) years.
- 3.04 Bank Complaints. List the total number of complaints filed within the last twelve (12) months and number of unsettled claims on file with any Regulatory Reporting Agencies, including, but not limited to the Better Business Bureau, the U.S. Securities and Exchange Commission and/or the National Association of Securities Dealers, Inc., Municipal Securities Rulemaking Board, National Credit Union Administration, Office of Thrift Supervision, American Stock Exchange, New York Stock Exchange, and the Oklahoma State Banking Department. Indicate which of these claims have been unsettled more than sixty (60) days.
- 3.05 Financial Stability. Banks shall have a minimum of Five Hundred Million Dollars (\$500,000,000) in assets to be eligible for selection. Banks shall provide their last two (2) audited annual reports, audited balance sheets, or financial statements.
- 3.06 Liability Insurance. Provide a copy of business liability insurance policy with appropriate provisions highlighted. The minimum acceptable level of coverage is Twenty-Five Million Dollars (\$25,000,000.00).

### 4.0 Bids for Custody, Accounting, and Brokerage Services

- 4.01 Bids must be signed by an officer authorized to bind the Bank and include a statement guaranteeing terms for minimum of sixty (60) days from the closing date.

- 4.02 Bids must include a written bid that specifically addresses the requirements below in order of requirement number.
- 4.03 The Bank shall furnish labor, materials, and other resources necessary to provide OST with custody, accounting, and brokerage services for unclaimed securities. The Bank shall address each of the items, listed herein, which describe the absolute minimum requirements.
- 4.04 The Bank must establish an account for the safekeeping of securities. The Bank must have an automated system capable of accounting for a wide variety of securities which includes, but not limited to, common and preferred stocks, stock warrants and options, corporate, government and municipal bonds, and mutual funds. The Bank must have the capability to update each security for corporate activity on an ongoing basis. **The Bank is required to maintain all records for a period of seven (7) years.**
- 4.05 In order for OST to process owner claims:
- 4.05.01 The Bank must transfer certificate(s) into the Owner's name and forward the stock certificate(s) to OST within thirty (30) days from the date of OST's request.
- 4.05.02 The Bank is required to transfer and confirm Direct Registration Shares (DRS) and/or book shares have been "deposited" into an account for the Owner or Entity as directed by OST within 30 days from the date of OST's request. The Bank is required to verify the following account information is correct:
- ✓ Owner or Entity's complete name
  - ✓ Owner or Entity's complete address
  - ✓ Owner or Entity's account number
  - ✓ Date of transfer (actual date deposited into account)
  - ✓ Security's CUSIP
- The Bank must be able to transfer securities (including mutual funds, dividend reinvestment plans, book shares, etc.) from the designated nominee to the Owner name and make delivery to OST within thirty (30) days from the date of OST's request.
- The Bank will be required to handle inquiries from Owners, Entities and/or transfer agents regarding the transfer of all securities. The Bank shall provide OST the date of transfer (actual date deposited into account) upon request and reflect the date of transfer (actual date

deposited into account) on the monthly Custodian statement.

- 4.06 The Bank must have the capability of handling a large number of small denominations of various securities.
- 4.07 The Bank must provide knowledgeable staff available during normal working hours (8:00 a.m. to 5:00 p.m. CST, five days a week) that are able to respond within 24 hours to inquiries from the OST Unclaimed Property Division, with no particular frequency, concerning account information.
- 4.08 The Bank must be able to provide on-line access to account information, corporate activity, reorganization information, sale of securities, transfers of securities, and other inquiries. The Bank will provide:
- a. OST access to the on-line systems.
  - b. The capability to retrieve and/or print on-line information.
- 4.09 The Bank must be able to transfer securities from the designated nominee to the owner name and make delivery to OST within 30 days, upon request by OST.
- 4.10 The Bank must have the capability of researching unpublished and unknown securities within fifteen (15) working days from the date requested by OST.
- 4.11 The Bank must monitor and collect proceeds for bonds upon maturity and calls.
- 4.12 The Bank must have the ability to execute sales of securities involving liquidating mutual fund accounts upon instructions from OST.
- 4.13 The Bank is responsible for collection of dividends, **all** proceeds from sale of securities, interest, cash in lieu, etc. OST requires collection of dividends, interest, and cash in lieu, etc., be deposited into OST's custody account upon receipt by the custodian. The bank shall remit the related proceeds to OST within thirty (30) days. Proceeds from the sale of stock must be remitted through wire transfer within five (5) working days from the date of the sale.
- 4.14 The Bank must provide a monthly evaluation statement of the entire portfolio (hard copy upon request). The Bank must also be able to transmit portfolio data in an electronic medium to OST.

The monthly evaluation statement should include the following:

- Security description
- CUSIP number
- Class of security

- Maturity date and interest, if applicable
  - Number of shares (or par value)
  - Market value
  - Date of sale or receipt of securities, the price received per share, and any commissions paid
  - Detail for cash income activity
- 4.15 The Bank must provide access to all pertinent data on all corporate restructures, mergers, spin-offs, tenders, etc.
- 4.16 Upon completion of the contract, the Bank must, at no charge: (i) transfer all securities designated by OST to the successor Bank; (ii) provide the successor Bank with all information related to the services; and (iii) respond to any questions from OST or a successor Bank related to the Bank's prior administration of the program.
- 4.17 Based on criteria provided by OST, the Bank must provide a list of securities available for sale with the estimated value upon request.
- 4.18 Upon acceptance of the bid and execution of the contract, the Bank will take possession of all securities that OST elects to transfer for safe custody, accounting services, and sale of securities.
- 4.19 The Bank will be responsible for reimbursement to OST for securities lost or stolen while in its possession.
- 4.20 The Bank must propose a method to determine the reimbursement amount for items lost or stolen items while in its possession.
- 4.21 OST will deliver securities to the Bank as agreed between OST and the Bank.
- 4.22 As provided in Okla. Stat. tit. 60, § 667(d) (2001), securities listed on an established stock exchange must be sold at prices prevailing at the time of sale on the exchange. Other securities may be sold over the counter at prices prevailing at the time of sale or by another method OST considers advisable.
- 4.23 Unclaimed securities must be held at least for a period of one (1) year before they are sold unless otherwise specifically directed by OST in writing. OST will direct Bank when to sell securities.
- 4.24 The Bank will be responsible for satisfactorily settling any disputes with the purchasers of securities which may arise as a result of the sale.
- 4.25 The Bank will be required to maintain the minimum amount of liability insurance

coverage. The insurance coverage must be at least in the amount of Twenty-five Million Dollars (\$25,000,000.00) to indemnify OST against any loss resulting from non-performance of the requirements of this ITB by the Bank.

- 4.26 A Bank may submit other services or features that the Bank feels are appropriate, as long as they are not inconsistent with this ITB.
- 4.27 The Bank must include a statement in its bid that the Bank understands the provisions of this ITB in all its sections, and agrees to their terms.
- 4.28 For the Bank's audited fiscal years 2009 and 2010 provide copies of each report issued as described below. Include management responses, if any, to all the audit reports provided.
  - 4.28.01 External audit reports and management reports, including SAS 70 /SSAE16 reports covering custody bids.
    - 1. Independent Certified Public Accountants
    - 2. Securities and Exchange Commission
    - 3. Comptroller of the Currency
    - 4. Other Government Agencies
  - 4.28.02 Internal audit reports and management records
    - 1. Contracted Performance and System Reviews
    - 2. Internal Audit and Review Reports
- 4.29 Does the Bank routinely obtain and provide clients SAS 70/SSAE16 reports covering custody control functions for continuous operating periods?
- 4.30 The Bank shall describe the audit process associated with the monthly portfolio statements.
- 4.31 The Bank shall describe file download options for the purpose of automating the posting of dividends, stock sales and account reconciliation within OST's system. The files will consist of data captured in the Bank's system and enhanced as needed if data is available. The bank will be expected to work in conjunction with OST software Vendor for the purpose of the automation described.

## 5.0 Bid Prices

Bid prices should be listed using the format provided in this ITB in Appendix A, Statement of Charges. The bid should be inclusive of all services, including but not limited to, start-up and/or conversion costs (if applicable), custody, accounting, and sale of securities. **Any charge for services not addressed in the Statement of Charges will**

**not be allowed in the course of the Contract.**

Upon completion of the services the successful Bank shall submit an invoice for the fees due. Invoices must contain the method of computation with any applicable documents and attachments which provide verification of charges due. Brokerage commissions may be netted out by the Bank before remitting the sales proceeds for securities to OST. However, OST must be furnished a detailed statement with each remittance of proceeds, which discloses the exact amount of commissions and which shows the computation the Bank used to determine the amount of the commissions.

6.0 Applicable State Laws and Encumbrances

This agreement in its entirety shall be governed in all respects by the laws of the state of Oklahoma, without regard to principles of conflicts of laws. In the event any litigation shall occur concerning the terms and conditions of this agreement or the rights and duties of the parties, the parties agree that any such suit shall be maintained in the District Court of Oklahoma County, State of Oklahoma. Any out-of-state Bank shall have a service agent registered with the Oklahoma Secretary of State. The State of Oklahoma shall not be liable in the event of loss, incident, destruction, theft, damage, etc., for any products or services offered. It shall be the selected Bank's sole responsibility to obtain insurance coverage for such loss in an amount that the Bank deems appropriate.

7.0 Liability, Rights, and Remedies

7.01 The Bank shall agree that OST shall not be responsible for any liability incurred by the Bank or its employees arising out of the sale of securities, licensing, ownership, selection, possession, leasing, renting, operation, control, use, maintenance, delivery, and/or return and installation of any products or property or services provided by the Bank, except as otherwise provided in this contract.

7.02 The Bank may have access to private or confidential data maintained by OST to the extent necessary to carry out its responsibilities under this contract. The Bank must comply with the Oklahoma Open Records Act, as directed by OST. No private or confidential data collected, maintained or used in the course of performance of this contract shall be disseminated by the bank except as required by statute or court order, either during the period of the contract or thereafter. The Bank must agree to return any and all data furnished by OST promptly at the request of OST in whatever form it is maintained by the Bank. On the termination or expiration of this contract, the Bank will not use any such data or any material derived from the data for any purpose and where so instructed by OST, will destroy or render it unreadable.

7.03 Each bank must disclose any existing or potential conflict of interest relative to the performance of the contractual services resulting from this ITB. Any such

relationship that might be perceived or represented as a conflict should be disclosed. Any attempt to intentionally or unintentionally conceal a conflict of interest will automatically result in the disqualification of bank's bid. The Treasurer reserves the right to determine, at his sole discretion, whether any information, assertion, or claim received from any source indicates the existence of a real or apparent conflict of interest and whether it may reflect negatively on the Treasurer's selection of a bank.

7.03.01 Any existing or potential post contract conflict of interest must be disclosed to OST in writing immediately upon the Bank's knowledge. The Treasurer reserves the right to determine, at his sole discretion, whether any information, assertion, or claim received from any source indicates the existence of a real or apparent conflict of interest. The Treasurer reserves the right to determine, at his discretion, whether this post contract conflict of interest terminates the contract.

7.04 No provision in this document or in the bid shall be construed as an express or implied waiver by OST of any existing or future right and/or remedy available by law in the event of any claim of default or breach of contract made by the Bank.

7.05 OST may suspend or terminate this contract upon finding that:

7.05.01 Its terms have been breached by the Bank.

7.05.02 The Bank has not complied with federal or state laws, regulations or interpretative guidelines.

7.05.03 The Bank is unable to perform its obligation due to circumstances beyond its control.

7.05.04 There is failure of revenues sufficient to meet obligations.

7.05.05 In the event of assignment, conveyance, encumbrance, or other transfer by the Bank without the prior consent of OST.

7.06 Suspension or termination for the above stated reasons may be immediate upon issuing a written notice.

7.07 Upon conclusion of the contract, the Bank agrees to cooperate in an orderly settlement of its account with OST.

7.08 Either party to the contract may seek legal redress in the courts and each party reserves any right or privileges provided them by law.

7.09 Either OST or successful bank may terminate this agreement upon giving the other party sixty (60) days written notice. The successful bank shall be entitled to its fees for services under this contract rendered prior to the termination date.

## 8.0 Contract Documents

8.01 Banks must submit a proposed contract for review. The final written contract will be subject to negotiation. However, the proposed contract may not contain provisions inconsistent with the provisions of this ITB.

8.02 The Bank shall not assign, convey, encumber, or otherwise transfer its rights or duties under the contract without prior written consent of OST.

8.03 The State of Oklahoma and its agencies such as OST are constitutionally prohibited from entering into contracts which have the effect of waiving any defense in advance of litigation, limiting liability, or agreeing to indemnify a Bank.

8.04 To the extent that a provision of the contract is contrary to the constitution or laws of the state of Oklahoma, or of the United States, the provision shall be void and unenforceable. However, to the extent possible, the balance of the contract shall remain in force between the parties.

## 9.0 Amendments to Contract

No modification of any provision in the contract shall be made or construed to have been made, without the written agreement of both parties.

## 10.0 Payment of Services

10.01 Payment for all services required herein shall be made in arrears upon submission to OST of a proper invoice. OST will not make any advance payments or advance deposits except for the netting of brokerage fees as set forth in 5.0.

10.02 If OST finds that an overpayment or underpayment has been made to the Bank, OST may adjust any subsequent payments to the Bank to correct the account. A written explanation of the adjustment shall be issued to the Bank with an explanation of the procedures to be followed to resolve differences.

10.03 OST may withhold a part or all of a final payment until the account is settled, to assure compliance with all the terms of the contract.

## 11.0 Closing

- 11.1 Bids are to be submitted in triplicate including all supporting documentation. The original bid document must be notarized. Failure to do so will result in elimination from consideration.
- 11.2 OST will review all bids after bid closing.
- 11.3 Banks are reminded to comply with the instructions provided with the “Invitation to Bid” form. Mail or deliver your bid to Oklahoma State Treasurer’s Office, Purchasing Division, Attn: Trish Cantrell, 2300 N. Lincoln Blvd., Room 217, Oklahoma City, OK 73105-4895.
- 11.4 Alternative bids by banks are acceptable; however, alternative bids must be submitted as separate bids. Do not include multiple/alternative bids in a single bid.
- 11.5 Banks should list the name and telephone number, including area code, of the person in your company who may be contacted regarding the ITB. If the Bank has representatives in Oklahoma that may be contacted, please include their names and telephone numbers.
- 11.6 Neither the State of Oklahoma nor OST is liable for any cost associated with the preparation of Bank’s bid or any cost incurred prior to the issuance of an agreement or contract. All responses, inquiries, or correspondence relating to, or in reference to this ITB, when received by the State, will become property of the State.

## APPENDIX A

### STATEMENT OF CHARGES CUSTODY, ACCOUNTING, AND BROKERAGE SERVICES FOR UNCLAIMED SECURITIES

The Statement of Charges for Custody, Accounting, and Brokerage Services represents the offeror's fee schedule. Please complete each line on the Statement of Charges, as it relates to the objectives of the ITB. This is a requirement. Any charge for services not addressed in the Statement of Charges will not be allowed in the course of the Contract.

#### Statistics 12/01/00 to 06/30/11:

- Total value of Portfolio as of 6/30/11 – Approx. \$11.5 Million Dollars
- Number of Issues of Securities – Approx. 1,500 (Value greater than \$.00)
- Annual Transfers to Custodian:
  - DTC Receipt of Securities – Approx. 900 issues
  - Physical Receipt of Certificates – Approx. 200 issues
- Annual Transfers of securities from Custodian – Approx. 1,200 issues
- Approximate Fiscal Year Annual Sale of Securities:

2000 - \$2.0 Million	2004 - \$4.7 Million	2008 - \$5 Million
2001 - \$0	2005 - \$33 Thousand	2009 - \$1.8 Million
2002 - \$0	2006 - \$6.8 Million	2010 - \$8 Million
2003 - \$211 Thousand	2007 - \$12.9 Million	2011 - \$4.1 Million

#### Note:

Oklahoma's Unclaimed Property Program was transferred by law from the Oklahoma Tax Commission (OTC) to the Office of Oklahoma State Treasurer (OST) on July 1, 2000. Under direction of the OTC, there were no stock sales prior to 1998. Due to transition of the program from OTC to OST there were no stock sales in 2001 and 2002.

<u>Statement of Fees to be Charged:</u>	<u>FEE RATE</u>	<u>UNIT PRICE</u>
Basic Administration Fee (DTC Eligible Issues Held, Per Year)	_____	_____
Basic Administration Fee (Physical Issues Held, Per Year)	_____	_____
Basic Administration Fee (DRP Issues Held, Per Year)	_____	_____
Basic Administration Fee (Fed Eligible Issues Held, Per Year)	_____	_____
Basic Administration Fee (MACC Mutual Funds, Per Year)	_____	_____
Basic Administration Fee (Non-Macc Mutual Funds, Per Year)	_____	_____
Administration Fee – per transfer (DTC): In .....	_____	_____
Administration Fee – per transfer (DTC): Out .....	_____	_____
Administration Fee – per transfer other (Physical): In....	_____	_____
Administration Fee – per transfer other (Physical): Out...	_____	_____
Administration Fee – per transfer other (DRP): In.....	_____	_____
Administration Fee – per transfer other (DRP): Out...	_____	_____
Administration Fee – per transfer other (Fed): In.....	_____	_____
Administration Fee – per transfer other (Fed): Out.....	_____	_____
Administration Fee – per transfer other (Mutual Fund): In.	_____	_____
Administration Fee – per transfer other (Mutual Fund): Out.	_____	_____
Administration Fee – per item (DRS/Book Share)	_____	_____
Research Fees: Per Cusip, Per Event – (includes worthless securities).....	_____	_____
Report Fees: Per Month.....	_____	_____
Sale of Securities – Commissions: Per Share.....	_____	_____
Sale of DRS/Book Shares – Handling: Per item	_____	_____
Sale of Securities: DTC, Per Trade Settled.....	_____	_____
Sale of Securities: Physical, Per Trade Settled.....	_____	_____
Sale of Securities: DRP, Per Trade Settled.....	_____	_____
Sale of Securities: Fed, Per Trade Settled.....	_____	_____
Sale of Securities: Mutual Fund Per Trade Settled	_____	_____
Other: Corporate Actions – DTC	_____	_____
Other: Corporate Actions – Physical	_____	_____
Other: Corporate Actions – DRP	_____	_____
Other: Corporate Actions – Mutual Funds (includes share class change)	_____	_____
Other: DRS/Book Share Income Processing (per item)	_____	_____
Other: Purchase of Securities	_____	_____

**APPENDIX B**  
**LIST OF UNCLAIMED PROPERTY SECURITIES**  
**HELD BY CURRENT CUSTODIAN AS OF JUNE 30, 2011**

Security Number	Description	Ticker	Units Held	Market Value
88579Y101	3M CO	MMM	202.000	\$19,159.70
28249A307	8888 ACQUISITION CORP	EGHA	198.000	\$201.96
002486108	AAAMALL.NET INC		1,176.000	\$0.00
00252V106	AARO BROADBAND WIRELESS COM	AARW	29,839.000	\$2.98
002896207	ABERCROMBIE & FITCH CO-CL A	ANF	31.000	\$2,074.52
00305P106	ABERDEEN INDONESIA FUND INC	IF	6.000	\$82.26
00306K106	ABERDEEN LATIN AMERICA EQUITY	LAQ	13.000	\$504.01
000957100	ABM INDUSTRIES INC	ABM	20.000	\$466.80
003865102	ABSOLUTE FUTURE	AFTI	50.000	\$0.01
004304200	ACCELR8 TECHNOLOGY CORP	AXK	1,000.000	\$4,030.00
00431M308	ACCESS PHARMACEUTICALS INC	ACCP	6.000	\$12.30
00081T108	ACCO BRANDS CORP	ABD	47.000	\$368.95
00437W102	ACCRUE SOFTWARE INC		129.000	\$0.01
00507C101	ACTIVE RFID SYSTEMS INC		90.000	\$1.00
00507P102	ACTRADE FINANCIAL TECH		110.000	\$277.20
00508X203	ACTUANT CORP-CL A	ATU	60.000	\$1,609.80
005125109	ACXIOM CORP	ACXM	9.000	\$117.99
005208103	ADA-ES INC	ADES	80.000	\$1,279.20
00685R870	ADELPHIA RECOVERY TRUST	ADPAN	993.000	\$13.90
00687R100	ADINO ENERGY CORP	ADNY	475.000	\$15.44
007486103	ADVANCE NANOTECH INC	AVNA	11.000	\$0.04
00768E101	ADVENTURA CORP		5.000	\$4.00
007924103	AEGON N.V.-AMERICAN REG SHR	AEG	690.000	\$4,692.00
00130H105	AES CORP	AES	12.000	\$152.88
00817Y108	AETNA INC	AET	84.000	\$3,703.56
00827M102	AFFORDABLE ENERGY GRP INC		20.000	\$1,828.96
001055102	AFLAC INC	AFL	6.000	\$280.08
00846U101	AGILENT TECHNOLOGIES INC	A	11.000	\$562.21
009003203	AIMRITE HOLDINGS	AIMH	2,010.000	\$28.34
001547108	AK STEEL HOLDING CORP	AKS	16.000	\$252.16
011612702	ALANCO TECHNOLOGIES INC	ALAN	1.000	\$1.95
013904305	ALCATEL-LUCENT	ALU	49,509.000	\$285,666.93
013817101	ALCOA INC	AA	48.000	\$761.28
01741R102	ALLEGHENY TECHNOLOGIES INC	ATI	39.000	\$2,475.33
017486101	ALLEGRO BIODIESEL CORP		2.000	\$0.00
018780304	ALLIANCEBERNSTEIN GL TECH-B FD#0282	ATEBX	4.000	\$262.16
01879R106	ALLIANCEBERNSTEIN GLOBAL HI INCM FD	AWF	2.000	\$30.62
018804104	ALLIANT TECHSYSTEMS INC	ATK	30.000	\$2,139.90
020002101	ALLSTATE CORP	ALL	2,568.000	\$78,401.04
02076X102	ALPHA NATURAL RESOURCES INC	ANR	75.000	\$3,408.00
021152103	ALSERES PHARMACEUTICALS INC	ALSE	104.000	\$8.32
02209S103	ALTRIA GROUP INC	MO	8.000	\$211.28
025083759	AM CENTURY GIFTRUST FUND-I FD#0025	TWGTX	199.405	\$6,043.97
023000102	AMANTA RESOURCES	AMH	50.000	\$2.85
025083882	AMER CENT 20TH CENT ULTRA-INVFD#0022	TWCUX	293.305	\$7,133.18
025083502	AMER CENT 20TH CENT-SEL FD#0021	TWCIX	43.176	\$1,766.33
025083452	AMER CENT NEW OPP-INV FD#0036	TWNOX	1,697.688	\$14,209.65
024932105	AMER CENT PRIME MMKT-INV FD#0921		5,763.470	\$5,763.47
025076852	AMER CENT SM CAP VAL-INV FD#0986	ASVIX	11,348.543	\$104,066.14
023586100	AMERCO	UHAL	9.000	\$865.35
023608102	AMEREN CORPORATION	AEE	150.000	\$4,326.00
02364W204	AMERICA MOVIL-ADR SERIES A	AMOV	327.000	\$17,527.23
024071102	AMERICAN BALANCED-A FD#0011	ABALX	9.286	\$173.28
02475Y206	AMERICAN BUSINESS CORP	ARBU	2.000	\$0.20
140543109	AMERICAN CAP WLD GTH&INC-A FD#0033	CWGIX	35.698	\$1,322.97

025083106	AMERICAN CENT GROWTH FD#0020	TWCGX	133.412	\$3,638.15
02553E106	AMERICAN EAGLE OUTFITTERS	AEO	23.000	\$293.25
025537101	AMERICAN ELECTRIC POWER	AEP	1,295.000	\$48,795.60
025636101	AMERICAN ENERGY GROUP LTD		10.000	\$0.00
025642208	AMERICAN ENERGY SERVICES INC	AEYS	400.000	\$1.44
025816109	AMERICAN EXPRESS CO	AXP	8.000	\$413.60
025932104	AMERICAN FINANCIAL GROUP INC	AFG	167.000	\$5,960.23
360802102	AMERICAN FUNDAMNTL INVSTR-A FD#0010	ANCFX	90.668	\$3,509.76
399874106	AMERICAN GWTH FD OF AMER-A FD#0005	AGTHX	354.536	\$11,284.88
453320103	AMERICAN INC FD OF AMER-A FD#0006	AMECX	2,691.167	\$46,368.81
026874156	AMERICAN INTERNATIONAL GROUP WRT	AIG X	1.000	\$10.20
026874784	AMERICAN INT'L GROUP INC	AIG	3.000	\$87.96
461308108	AMERICAN INVST CO OF AMER-A FD#0004	AIVSX	608.615	\$17,643.75
027079102	AMERICAN KIOSK CORP	AKIS	210.000	\$0.02
02630U107	AMERICAN MONEY MARKET CL A FD#0059	AFAXX	193.310	\$193.31
648018109	AMERICAN NEW PERSPECTIVE-A FD#0007	ANWPX	62.397	\$1,863.17
028740108	AMERICAN PACIFIC CORP	APFC	5.000	\$40.10
029174109	AMERICAN REALTY INVESTORS INC	ARL	1,170.000	\$3,053.70
831681101	AMERICAN SMALL CAP WORLD-A FD#0035	SMCWX	27.944	\$1,112.17
02970Q203	AMERICAN SPECTRUM REALTY INC	AQQ	1,580.000	\$26,844.20
030111108	AMERICAN SUPERCONDUCTOR CORP	AMSC	45.000	\$406.80
030327100	AMERICAN TRUSTEE INC		150.000	\$0.00
030362107	AMERICAN URANIUM CORP	AMUR	2,000.000	\$0.20
939330106	AMERICAN WASH MUT INVSTR-A FD#0001	AWSHX	70.382	\$2,047.41
03076C106	AMERIPRISE FINANCIAL INC	AMP	172.000	\$9,920.96
030789507	AMES DEPT STORES INC		235.000	\$0.02
03149A103	AMHN INC	AMHN	3,258.000	\$47.86
032015109	AMPAL AMERICAN ISRAEL	AMPL	6.000	\$5.64
001765106	AMR CORP	AMR	1,951.000	\$10,535.40
032159105	AMREP CORP	AXR	1,006.000	\$9,204.90
032332504	AMTECH SYSTEMS INC	ASYS	3.000	\$61.92
032511107	ANADARKO PETROLEUM CORP	APC	36.000	\$2,763.36
032681207	ANALYSTS INTERNATIONAL CORP	ANLY	246.000	\$797.04
6286611	ANSELL LTD		10.000	\$151.65
00184X105	AOL INC	AOL	2.000	\$39.72
037389103	AON CORP	AON	101.000	\$5,181.30
00202J203	AP PHARMA INC	APPA	252.000	\$60.48
00185E106	APAC CUSTOMER SERVICES INC	APAC	82.000	\$437.06
037411105	APACHE CORP	APA	1.000	\$123.39
G0471F109	APCO OIL AND GAS INTERNATIONAL	APAGF	256.000	\$22,254.08
038222105	APPLIED MATERIALS INC	AMAT	24.000	\$312.24
03836W103	AQUA AMERICA INC	WTR	2,229.000	\$48,993.42
03875Q108	ARBITRON INC	ARB	28.000	\$1,157.24
03878K207	ARC WIRELESS SOLUTIONS INC	ARCW	75.000	\$211.13
03937R102	ARCH CHEMICALS INC	ARJ	41.000	\$1,412.04
039380100	ARCH COAL INC	ACI	84.000	\$2,239.44
039483102	ARCHER-DANIELS-MIDLAND CO	ADM	103.000	\$3,105.45
039574108	ARCHIVALCD INC	AHCD	11.000	\$0.00
039762109	ARDEN GROUP INC -CL A	ARDNA	448.000	\$41,224.96
04010L103	ARES CAPITAL CORP	ARCC	166.000	\$2,667.62
040790107	ARKANSAS BEST CORP	ABFS	10.000	\$237.30
04314H303	ARTISAN MID CAP FD #962	ARTMX	10.911	\$403.93
043632207	ASCENT MEDIA CORP	ASCMB	3.000	\$126.00
043632108	ASCENT MEDIA CORPORATION	ASCPA	15.000	\$794.55
044209104	ASHLAND INC	ASH	8.000	\$516.96
00206R102	AT&T INC	T	28,882.000	\$907,183.62
04789U102	ATLANTA GOLD INC	ATLDF	33.000	\$2.34
049513104	ATMEL CORP	ATML	18.000	\$253.26
049560105	ATMOS ENERGY CORP	ATO	180.000	\$5,985.00
052920105	AUTO SUPER MART		200.000	\$0.00
053015103	AUTOMATIC DATA PROCESSING	ADP	5.000	\$263.40

053332102	AUTOZONE INC	AZO	5.000	\$1,474.25
05348P401	AVANIR PHARMACEUTICALS	AVNR	52.000	\$174.72
053494100	AVATAR HOLDINGS INC	AVTR	14.000	\$212.94
05366Y102	AVIAT NETWORKS INC	AVNW	937.000	\$3,691.78
053774105	AVIS BUDGET GROUP INC	CAR	83.000	\$1,418.47
05379B107	AVISTA CORP	AVA	429.000	\$11,021.01
054303102	AVON PRODUCTS INC	AVP	84.000	\$2,352.00
05452X109	AVSTAR AVITATION GROUP INC	AAVG	4.000	\$0.01
00244W303	AWG LTD	AWGL	25.000	\$3.50
054536107	AXA -SPONS ADR	AXAHY	832.000	\$18,902.21
M15633106	BACKWEB TECHNOLOGIES LTD	BWEBF	6.000	\$0.66
057149106	BAKER (MICHAEL) CORP	BKR	43.000	\$908.16
057224107	BAKER HUGHES INC	BHI	35.000	\$2,539.60
058264102	BALDWIN TECHNOLOGY CO, INC - CL A	BLD	300.000	\$357.00
058498106	BALL CORP	BLL	301.000	\$11,576.46
05945F103	BANCFIRST CORP	BANF	5,028.000	\$194,080.80
060505104	BANK OF AMERICA CORP	BAC	420.000	\$4,603.20
055262505	BASF AG	BASFY	4.000	\$391.86
06985P100	BASIC ENERGY SERVICES INC	BAS	265.000	\$8,339.55
071813109	BAXTER INTERNATIONAL INC.	BAX	322.000	\$19,220.18
07384R408	BEARD COMPANY	BRCO	604.000	\$247.64
074002205	BEARINGPOINT INC		2.000	\$0.40
081437105	BEMIS COMPANY	BMS	6.000	\$202.68
086516101	BEST BUY CO INC	BBY	4.000	\$125.64
055434203	BG GROUP PLC-SPON ADR	BRGYY	7.000	\$794.54
05541T101	BGC PARTNERS INC	BGCP	465.000	\$3,594.45
09058M103	BIOANALYTICAL SYSTEMS INC	BASI	8.000	\$15.80
09070Y101	BIOMASSE SECURE POWER INC	BMSPF	901.000	\$13.52
09071A102	BIOMIMIX INC	BIOM	1.000	\$0.05
09065H402	BIOPURE CORP-CLASS A		3.000	\$0.04
090881103	BIRKS & MAYORS INC	BMJ	10.000	\$14.00
091283200	BIRNER DENTAL MGMT SVCS	BDMS	2.000	\$39.18
09254E103	BLACKROCK MUNIYIELD QUALITY FUND III	MYI	1.000	\$12.54
092492107	BLACKSTONE ENTERTAINMENT GROUP		25,000.000	\$0.03
G11999102	BLUE EARTH REFINERIES INC	BUERF	30.000	\$20.70
097023105	BOEING CO	BA	187.000	\$13,824.91
05561Q201	BOK FINANCIAL CORPORATION	BOKF	1,660.000	\$90,918.20
055622104	BP PLC-SPONS ADR	BP	5,655.000	\$250,459.95
10531R107	BRANDPARTNERS GROUP INC	BPTR	400.000	\$0.32
105368203	BRANDYWINE REALTY TRUST REIT	BDN	23.000	\$266.57
10625K109	BRE-X MINERALS LTD	BXMNF	200.000	\$0.50
109641100	BRINKER INTERNATIONAL INC	EAT	11.000	\$269.06
109696104	BRINK'S CO/THE	BCO	96.000	\$2,863.68
110122108	BRISTOL-MYERS SQUIBB CO	BMJ	32.000	\$926.72
11130Q102	BROADBAND WIRELESS INTL CORP	BBAN	422,447.000	\$295.71
11133T103	BROADRIDGE FINANCIAL SOLUTIONS LLC	BR	47.000	\$1,131.29
111621306	BROCADE COMMUNICATIONS SYS	BRCD	110.000	\$710.60
115236101	BROWN & BROWN INC	BRO	2.000	\$51.32
117043109	BRUNSWICK CORP	BC	671.000	\$13,688.40
122315203	BURNHAM A FD#0003	BURHX	80.555	\$2,441.62
123159105	BURZYNSKI RESEARCH INSTITUTE	BZXR	4,000.000	\$520.00
127097103	CABOT OIL & GAS CORP	COG	8.000	\$530.48
12932K103	CALEDONIA MINING CORP	CALVF	2,500.000	\$287.50
13100M509	CALIX INC	CALX	328.000	\$6,828.96
131193104	CALLAWAY GOLF COMPANY	ELY	1.000	\$6.22
13342B105	CAMERON INTERNATIONAL CORP	CAM	28.000	\$1,408.12
137225108	CANARGO ENERGY CORP		2,121.000	\$7.42
13916P209	CAPCO ENERGY INC		200.000	\$1.80
14052H506	CAPITAL TRUST INC/NY	CT	62.000	\$239.94
14149Y108	CARDINAL HEALTH INC	CAH	4.000	\$181.68
143436400	CARMIKE CINEMAS INC	CKEC	3.000	\$20.73

144285103	CARPENTER TECHNOLOGY	CRS	27.000	\$1,557.36
147448104	CASELLA WASTE SYSTEMS INC-A	CWST	33.000	\$201.30
14754D100	CASH AMERICA INTL INC	CSH	17.000	\$983.79
147621106	CASPEN OIL INC	CSPN	12,291.000	\$1.23
14888Y103	CATALYST RESOURCE GROUP	CATA	522.000	\$41.76
149123101	CATERPILLAR INC	CAT	87.000	\$9,262.02
149205106	CATO CORP-CL A	CATO	623.000	\$17,942.40
124805102	CBIZ INC	CBZ	4.000	\$29.44
124857103	CBS CORP-CL A	CBS A	5.000	\$143.85
124857202	CBS CORP-CL B	CBS	709.000	\$20,199.41
G2022L114	CDC CORP CL-A	CHINA	32.000	\$66.88
150838100	CELADON GROUP INC	CGI	1.000	\$13.96
150837409	CEL-SCI CORP	CVM	50.000	\$24.89
15117N305	CELSION CORP	CLSN	12.000	\$40.44
15120P107	CEMERA INC		680.000	\$1.00
15189T107	CENTERPOINT ENERGY INC	CNP	266.000	\$5,147.10
15607P109	CENTRAL WIRELESS	CWIR	1.000	\$0.00
156700106	CENTURYLINK INC	CTL	59.000	\$2,385.37
156710105	CERADYNE INC	CRDN	4.000	\$155.96
157193202	CETEK TECHNOLOGIES INC	CTKH	201.000	\$0.02
158636100	CHAMPION RESV CORP		56,650.000	\$0.06
159300201	CHANTAL PHARMACEUTICAL CORP		3,000.000	\$3.60
161133103	CHARMING SHOPPES	CHRS	19.000	\$79.04
163731102	CHEMICAL FINANCIAL CORP	CHFC	6.000	\$112.56
163893209	CHEMTURA CORP	CHMT	1.000	\$18.20
165167107	CHESAPEAKE ENERGY CORP	CHK	325.000	\$9,649.25
166764100	CHEVRON CORP	CVX	165.000	\$16,968.60
169362100	CHINA 3C GROUP	CHCG	4.000	\$0.48
16949Q102	CHINA ORGANIC FERTILIZER INC	CHOR	1.000	\$0.70
171605306	CHYRON CORP	CHYR	11.000	\$25.52
204386106	CIE GEN GEOPHYSIQUE-SP ADR	CGV	60.000	\$2,194.80
171779309	CIENA CORP	CIEN	118.000	\$2,168.84
171798101	CIMAREX ENERGY CO	XEC	2,260.000	\$203,219.20
17275R102	CISCO SYSTEMS INC	CSCO	66.000	\$1,030.26
17285T106	CITADEL BROADCASTING CORP		4.000	\$0.03
172967424	CITIGROUP INC	C	17.000	\$707.88
178566105	CITY NATIONAL CORP	CYN	4.000	\$217.00
179818109	CLANCY SYSTEMS INTERNATIONAL I	CLSI	3,000.000	\$21.00
18538R103	CLEARWATER PAPER CORP	CLW	4.000	\$273.12
125896100	CMS ENERGY CORP	CMS	44.000	\$866.36
126117100	CNA FINANCIAL CORP	CNA	186.000	\$5,403.30
190345108	COAST DISTRIBUTION SYSTEM/DE	CRV	20.000	\$58.00
191098102	COCA-COLA BOTTLING CO CONSOLIDATED	COKE	3.000	\$202.98
191216100	COCA-COLA CO/THE	KO	59.000	\$3,970.11
19122T109	COCA-COLA ENTERPRISES INC	CCE	4.000	\$116.72
19239V302	COGENT COMMUNICATIONS GROUP INC	CCOI	1.000	\$17.01
192448108	COGO GROUP INC		25.000	\$133.50
192576106	COHU INC	COHU	2.000	\$26.22
19765L801	COLUMBIA STRAT INC-A FD#0716	COSIX	92.351	\$564.26
199333105	COLUMBUS MCKINNON CORP/NY	CMCO	56.000	\$1,005.76
20030N101	COMCAST CORP-CL A	CMCSA	18,725.000	\$474,491.50
20030N200	COMCAST CORP-SPECIAL CL A	CMCSK	113.000	\$2,737.99
200340107	COMERICA INC	CMA	9.000	\$311.13
199908104	COMFORT SYSTEMS USA INC	FIX	3.000	\$31.83
201723103	COMMERCIAL METALS CO	CMC	28.000	\$401.80
204494207	COMPARATOR SYSTEMS CORP		200.000	\$0.02
204620207	COMPREHENSIVE CARE CORP	CHCR	20.000	\$6.20
205363104	COMPUTER SCIENCES CORP	CSC	24.000	\$911.04
205887102	CONAGRA FOODS INC	CAG	191.000	\$4,929.71
206710402	CONCURRENT COMPUTER CORP	CCUR	9.000	\$56.34
206763302	CONDOR CAPITAL INC	CNOP	750.000	\$0.08

20825C104	CONOCOPHILLIPS	COP	1,268.000	\$95,340.92
209785104	CONSOLIDATED PICTURES GROUP	CPGU	1.000	\$0.17
G24140108	COOPER INDUSTRIES PLC NEW IRELAND CO	CBE	1,058.000	\$63,130.86
216831107	COOPER TIRE & RUBBER	CTB	71.000	\$1,405.09
219350105	CORNING INC	GLW	226.000	\$4,101.90
22025Y407	CORRECTIONS CORP OF AMERICA	CXW	80.000	\$1,732.00
22226X109	COUNSEL RB CAPITAL INC	CRBN	5.000	\$10.75
222795106	COUSINS PROPERTIES INC REIT	CUZ	1.000	\$8.54
G2554F113	COVIDIEN PLC	COV	1.000	\$53.23
22410J106	CRACKER BARREL OLD COUNTRY STORE INC	CBRL	40.000	\$1,972.40
224633107	CRAWFORD & CO	CRD B	7.000	\$49.49
225223304	CRAY INC	CRAY	130.000	\$832.00
225439207	CREDO PETROLEUM CORP	CRED	203.000	\$1,902.11
22766J106	CROSSLANDS ENERGY CORPORATION	CRDY	1.000	\$1.35
126408103	CSX CORP	CSX	9.000	\$235.98
12650A105	CTX VIRTUAL TECHNOLOGIES INC	CTXV	4.000	\$8.00
231021106	CUMMINS INC	CMI	16.000	\$1,655.84
231561101	CURTISS-WRIGHT CORP	CW	8.000	\$258.96
126650100	CVS CAREMARK CORP	CVS	1,144.000	\$42,991.52
23255B208	CYCOMM INTERNATIONAL INC		100.000	\$1.00
232820100	CYTEC INDUSTRIES INC	CYT	6.000	\$343.14
D1668R123	DAIMLER AG	DDAIF	41.000	\$3,089.76
235851102	DANAHER CORP	DHR	80.000	\$4,239.20
237194105	DARDEN RESTAURANTS INC	DRI	22.000	\$1,094.72
239080203	DAVIS N Y VENTURE FD INC CL-B #725	NYVBX	8.000	\$269.04
239080302	DAVIS NY VENTURE-C FD#0735	NYVCX	152.313	\$5,163.41
239359102	DAWSON GEOPHYSICAL CO	DWSN	20.000	\$683.00
242370104	DEAN FOODS CO	DF	18.000	\$220.86
24702R101	DELL INC	DELL	4.000	\$66.68
247368103	DELTA APPAREL INC	DLA	12.000	\$204.00
249030107	DENTSPLY INTERNATIONAL INC	XRAY	8.000	\$304.64
25065D100	DESTINATION MATERNITY CORP	DEST	30.000	\$599.40
251591103	DEVELOPERS DIVERSIFIED RLTY REIT		16.000	\$225.60
25179M103	DEVON ENERGY CORPORATION	DVN	10.000	\$788.10
25264T104	DIAMOND HITS PRODUCTION INC	DHTT	1,000.000	\$0.10
253651103	DIEBOLD INC	DBD	74.000	\$2,294.74
25375L107	DIGERATI TECHNOLOGIES INC	DTGI	2,005.000	\$197.49
25381B101	DIGIMARC CORP	DMRC	3.000	\$105.09
25387S108	DIGITCOM INTERACTIVE VIDEO	DGIV	35.000	\$0.00
254067101	DILLARDS INC-CL A	DDS	89.000	\$4,640.46
25457T101	DIRECT EQUITY INTERNATIONAL	DEQI	8.000	\$0.25
25457C207	DIRECT INSITE CORP	DIRI	2.000	\$2.40
25490A101	DIRECTV - CLASS A	DTV	963.000	\$48,939.66
25470F203	DISCOVERY COMM-B	DISCB	35.000	\$1,432.90
25470F104	DISCOVERY COMMUNICATIONS - A	DISCA	174.000	\$7,127.04
25470F302	DISCOVERY COMMUNICATIONS INC	DISCK	209.000	\$7,638.95
23324Q202	DNAPRINT GENOMICS	DNAG	605.000	\$0.18
25614T101	DOCUMENT SECURITY SYSTEMS	DSS	1.000	\$3.14
25746U109	DOMINION RESOURCES INC/VA	D	2,380.000	\$114,882.60
25820R105	DORCHESTER MINERALS LP	DMLP	6.000	\$161.46
260543103	DOW CHEMICAL	DOW	350.000	\$12,600.00
26152H301	DRDGOLD LTD SPONSORED ADR	DROOY	2.000	\$9.68
26201F843	DREYFUS SMALL CAP EQUITY-C FD 0441	DSECX	23.026	\$630.45
261932107	DREYFUS STRATEGIC MUNS INC	LEO	6.000	\$48.90
26200C643	DREYFUS STRATEGIC VALUE-C FD 0667	DCGVX	49.358	\$1,414.60
26203E745	DRY/BOST CO SML MDCP GWTH AFD#6921	DBMAX	9.882	\$153.86
233331107	DTE ENERGY COMPANY	DTE	14.000	\$700.28
263534109	DU PONT (E.I.) DE NEMOURS	DD	31.000	\$1,675.55
264142100	DUCKWALL-ALCO STORES INC	DUCK	22.000	\$233.20
264399DK9	DUKE ENERGY CAROLINAS @ 6.000% DUE 12/01/2028	DUK 28	10,000.000	\$10,825.60
26441C105	DUKE ENERGY CORP	DUK	1,913.000	\$36,021.79

26483E100	DUN & BRADSTREET CORP	DNB	25.000	\$1,888.50
23338F820	DWS DREMAN SMCAP VAL-A FD#0088	KDSAX	12.441	\$468.78
23337P209	DWS GNMA FUND-S FD #2393	SGINX	1,543.335	\$23,952.56
23338J855	DWS GROWTH & INCOME FD-S FD#2064	SCDGX	206.430	\$3,641.43
23338A102	DWS TECHNOLOGY FUND-A FD#0001	KTCAX	130.970	\$1,820.48
26779V105	DYNACQ HEALTHCARE INC	DYII	3.000	\$5.85
267843209	DYNAMIC AMERICAN CORP		1,002.000	\$0.00
26788P206	DYNAMIC INTL NEW/DYNAMIC INTL OLD		2.000	\$1.00
26817G300	DYNEGY INC	DYN	95.000	\$588.05
277432100	EASTMAN CHEMICAL COMPANY	EMN	11.000	\$1,122.77
277461109	EASTMAN KODAK CO	EK	24.000	\$85.92
278058102	EATON CORP	ETN	16.000	\$823.20
27943Q105	EDELMAN FINANCIAL GROUP INC	EF	13.000	\$102.57
281020107	EDISON INTERNATIONAL	EIX	364.000	\$14,105.00
281479105	EDUCATIONAL DEVELOPMENT CORP	EDUC	3,402.000	\$18,336.78
28176E108	EDWARDS LIFESCIENCES CORP	EW	16.000	\$1,394.88
282459106	EHYDROGEN SOLUTIONS INC	EHYD	947,400.000	\$94.74
28336L109	EL PASO CORP	EP	613.000	\$12,382.60
28413U204	ELANDIA INTERNATIONAL INC	ELAN	126.000	\$31.50
284447109	ELCOTEL INC		250.000	\$0.18
532457108	ELI LILLY & CO	LLY	40.000	\$1,501.20
29078T102	EMAX HOLDINGS CORPORATION	EMXC	1.000	\$0.00
268648102	EMC CORP MASS	EMC	20.000	\$551.00
291011104	EMERSON ELECTRIC CO	EMR	36.000	\$2,025.00
292906203	ENERCORP INC	ENCP	0.333	\$0.03
29355T106	ENOVA HOLDINGS INC		150.000	\$0.00
29355M200	ENOVA SYSTEMS INC	ENA	22.000	\$18.44
29355X107	ENPRO INDUSTRIES INC	NPO	53.000	\$2,547.71
29362U104	ENTEGRIS INC	ENTG	26.000	\$263.12
29364G103	ENTERGY CORP	ETR	18.000	\$1,229.04
29383V107	ENTERTAINMENT GAMING ASIA LTD	EGT	600.000	\$161.28
294081104	ENVIRONMENTAL INFRASTRUCTURE	EIHC	7.000	\$0.18
294100102	ENZO BIOCHEM INC	ENZ	35.000	\$148.75
268817301	E'PRIME AEROSPACE CORP	EPEO	300.000	\$0.45
294429105	EQUIFAX INC	EFX	19.000	\$659.68
294766100	EQUUS TOTAL RETURN INC	EQS	190.000	\$456.00
294821608	ERICSSON (LM) TEL-SP ADR	ERIC	174.000	\$2,502.12
296056104	ESCALADE INC	ESCA	468.000	\$2,826.72
296657109	ESPIRIT TELE-ADR		10,000.000	\$1.00
297595308	ETERNAL IMAGE INC	ETNL	1.000	\$0.02
30161N101	EXELON CORP	EXC	667.000	\$28,574.28
302132303	EXPERTELLIGENCE INC	EXTL	5.000	\$2.25
30225X103	EXTERRAN HOLDINGS INC	EXH	94.000	\$1,864.02
30226D106	EXTREME NETWORKS INC	EXTR	15.000	\$48.60
30231G102	EXXON MOBIL CORP	XOM	5,237.657	\$426,240.53
307000109	FAMILY DOLLAR STORES	FDO	96.000	\$5,045.76
30705R204	FAMILY ROOM ENTERTAINMENT CORP	FMYR	7.000	\$0.04
311900104	FASTENAL CO	FAST	32.000	\$1,151.68
30239F106	FBL FINANCIAL GROUP INC-CL A	FFG	3.000	\$96.45
313148108	FEDERAL AGRIC MTG CORP	AGM A	100.000	\$1,488.00
31428X106	FEDEX CORP	FDX	275.000	\$26,083.75
315808402	FIDELITY ADV EQUITY INCOM-A FD 0246	FEIAX	13.275	\$321.65
315918771	FIDELITY ADV TECHNOLOGY FD-A FD#0187	FADTX	630.912	\$16,208.13
315807206	FIDELITY ADVISOR GROWTH OPP #168	FAGOX	494.071	\$18,705.53
316069103	FIDELITY ASSET MANAGER 50% FD#0314	FASMX	235.849	\$3,759.43
316389204	FIDELITY GROWTH & INCOME PORT#27	FGRIX	201.429	\$3,841.25
31620R105	FIDELITY NATIONAL FINANCIAL INC CL-A	FNF	125.000	\$1,967.50
31620M106	FIDELITY NATIONAL INFO SVCS, INC.	FIS	78.000	\$2,401.62
233809102	FIDELITY PRIME FUND FD#0083		6,673.230	\$6,673.23
316570100	FIELDPOINT PETROLEUM CORP	FPP	125.000	\$341.25
316773100	FIFTH THIRD BANCORP	FITB	170.000	\$2,167.50

317928109	FINOVA GROUP INC/THE	FNVG	118.000	\$0.94
31847R102	FIRST AMERICAN FINANCIAL CORP	FAF	13.000	\$203.45
31846W102	FIRST AMERICAN SCIENTIFIC CORP	FASC	1,187.000	\$33.83
32008A102	FIRST EQUITIES CORP	FEQT	159.000	\$2.39
337932107	FIRSTENERGY CORP	FE	66.000	\$2,913.90
338900103	FLAIR INCORPORATED		3,000.000	\$1.00
339130106	FLEMING COMPANIES INC		442.000	\$3.98
30249U101	FMC TECHNOLOGIES INC	FTI	380.000	\$17,020.20
344849104	FOOT LOCKER INC	FL	16.000	\$380.16
345370860	FORD MOTOR CO	F	1,276.000	\$17,596.04
346233109	FORESTAR GROUP INC	FOR	2.000	\$32.86
349631101	FORTUNE BRANDS INC		759.000	\$48,401.43
349631200	FORTUNE BRANDS INC CONV CUM PFD SER @ 2.67 DUE PERPETUAL	ZZZPA	12.000	\$5,113.20
34963Y109	FORTUNE CREDIT & INSURANCE SER	FCRI	200,180.000	\$20.02
34965A109	FORTUNE FINANCIAL SYSTEMS	FFSY	10,000.000	\$10.00
6230351	FOUNDER HOLDINGS LIMITED		500.000	\$24.42
35241ESC9	FRANKFORT TOWER ESCROW		512.000	\$2.00
353487200	FRANKLIN CREDIT HLDG CORP	FMC	352.000	\$63.36
35671D857	FREEMPORT-MCMORAN COPPER	FCX	46.000	\$2,433.40
358029106	FRESENIUS MEDICAL CARE AG AND CO.	FMS	527.000	\$39,366.90
35906A108	FRONTIER COMM CORP	FTR	814.000	\$6,568.98
35907K204	FRONTIER FINANCIAL CORP	FTBK	2.000	\$0.24
359360104	FROZEN FOOD EXPRESS INDUSTRIES	FFEX	5.000	\$17.85
35952W103	FUEL SYSTEMS SOLUTIONS INC	FSYS	65.000	\$1,621.75
361086101	FURMANITE CORPORATION	FRM	1.000	\$7.94
36240B109	GABELLI CONVERTIBLE AND INCOME	GCV	302.000	\$1,881.46
362397101	GABELLI EQUITY TRUST	GAB	1,144.000	\$6,978.40
364730101	GANNETT CO	GCI	35.000	\$501.20
364760108	GAP INC/THE	GPS	55.000	\$995.50
365558105	GARDNER DENVER INC	GDI	117.000	\$9,833.85
366651107	GARTNER INC	IT	8.000	\$322.32
367299203	GASTAR EXPLORATION LTD	GST	7.000	\$24.01
36846Q203	GEEKNET INC	GKNT	2.000	\$53.44
370253403	GEN MAGIC		21.000	\$0.63
369604103	GENERAL ELECTRIC CO	GE	1,874.000	\$35,343.64
370334104	GENERAL MILLS INC	GIS	15.000	\$558.30
371532102	GENESCO INC	GCO	109.000	\$5,678.90
37186R108	GENESIS SOLAR CORP		139.000	\$99,391.39
37244E107	GENON ENERGY INC	GEN	241.000	\$930.26
37247A102	GENTIVA HEALTH SERVICES	GTIV	11.000	\$229.13
37733W105	GLAXOSMITHKLINE PLC-ADR	GSK	5.000	\$214.50
377834106	GLEN ROSE PETROLEUM CORP	GLRP	2,167.000	\$1,213.52
378984108	GLOBAL HEALTHCARE & EDUCATION	GHEU	1.000	\$0.00
379394984	GLOBAL ONLINE TELEVISION CORP RESTR		15.000	\$0.00
37940X102	GLOBAL PAYMENTS INC	GPN	368.000	\$18,768.00
378939300	GLOBAL WATER TECHNOLOGIES IN		40.000	\$0.02
G3930H104	GLOBALSTAR TELECOMMUNICATION		2,444.000	\$30.55
380185108	GO ONLINE NETWORKS CORP		2,000.000	\$1.00
38348Q103	GO2MARKET COM		887.000	\$0.00
381370105	GOLDFIELD CORP	GV	726.000	\$283.21
381632108	GOLF COMMUNITIES OF AMERICA	GFCM	16.000	\$0.07
38168R108	GOLF ROUNDS.COM INC	TEEE	89.000	\$18.25
382388106	GOODRICH CORP	GR	19.000	\$1,814.50
382550101	GOODYEAR TIRE & RUBBER CO	GT	52.000	\$872.04
383581105	GOURMETS CHOICE COFFEE CO	GMCH	4,681.000	\$0.47
383582103	GOURMETS COFFEE COM INC		5,000.000	\$0.01
386442RK5	GRAND RIVER DAM AUTH OK REV @ 5.500% DUE 06/01/2013		10,000.000	\$10,677.20
386490205	GRAND TOYS INTL LTD		1.000	\$0.00
388707101	GRAPHON CORP	GOJO	100.000	\$18.00
390005AF3	GREAT AMERICAN RECREATION, INC @ 10.000% DUE 05/31/2006		5,518.000	\$5,518.00

390064103	GREAT ATLANTIC & PAC TEA CO	GAPTQ	26.000	\$4.42
391164100	GREAT PLAINS ENERGY INC	GXP	647.000	\$13,412.31
39303X109	GREEN FOR ENERGY	GRGG	391.000	\$3.91
393411103	GREEN STAR PRODUCTS INC	GSPI	500.000	\$4.75
393422100	GREEN TECHNOLOGY SOLUTIONS INC	GTSO	5.000	\$4.00
395379308	GREENMAN TECHNOLOGIES INC	GMTI	695.000	\$528.20
39807A100	GREYSTONE LOGISTICS INC	GLGI	15,167.000	\$1,061.69
398433102	GRIFFON CORPORATION	GFF	45.000	\$453.60
402635304	GULFPORT ENERGY CORP	GPOR	4.000	\$118.76
093671105	H&R BLOCK INC	HRB	42.000	\$673.68
359694106	H.B. FULLER CO.	FUL	1.000	\$24.42
406216101	HALLIBURTON CO	HAL	232.000	\$11,832.00
409900107	HANCOCK FABRICS INC /DE	HKFI	73.000	\$91.25
410345102	HANESBRANDS INC	HBI	75.000	\$2,141.25
410867105	HANOVER INSURANCE GROUP INC/	THG	179.000	\$6,750.09
41146A106	HARBINGER GROUP INC	HRG	48.000	\$293.28
412822108	HARLEY-DAVIDSON INC	HOG	168.000	\$6,882.96
413875105	HARRIS CORP	HRS	2.000	\$90.12
415864107	HARSCO CORP	HSC	60.000	\$1,956.00
416515104	HARTFORD FINANCIAL SVCS GRP	HIG	7.000	\$184.59
41753E102	HARVEST BIO ORGANIC INTERNATIONAL	HBOI	74.000	\$0.33
418056107	HASBRO INC	HAS	1.000	\$43.93
419870100	HAWAIIAN ELECTRIC INDS	HE	100.000	\$2,406.00
40414L109	HCP INC	HCP	1,622.000	\$59,511.18
421933102	HEALTH MGMT ASSOCIATES INC-A	HMA	4.000	\$43.12
421924309	HEALTHSOUTH CORP	HLS	199.000	\$5,223.75
42235W108	HEARTLAND WIRELESS COMM INC		5,000.000	\$30.00
42330P107	HELIX ENERGY SOLUTIONS GROUP	HLX	72.000	\$1,192.32
423452101	HELMERICH & PAYNE	HP	54.000	\$3,570.48
427866108	HERSHEY CO/THE	HSY	54.000	\$3,069.90
42809H107	HESS CORP	HES	7.000	\$523.32
428236103	HEWLETT-PACKARD CO	HPQ	217.000	\$7,898.80
428291108	HEXCEL CORP	HXL	5.000	\$109.45
42979U102	HIGH SPEED ACCESS CORP		150.000	\$0.30
43129N105	HIKO ENERGY CORP	HIKO	1,125.000	\$56.25
43162L206	HILLMAN GROUP CAP TRUST CUM PFD SER 11.6% DUE 09/30/2027	HLM P	28.000	\$817.88
423074103	HJ HEINZ CO	HNZ	12.000	\$639.36
404217101	HLM DESIGN INC	HLMD	30.000	\$0.00
437076102	HOME DEPOT INC	HD	152.000	\$5,505.44
43739D307	HOMEFED CORP	HOFD	113.000	\$2,327.80
438128308	HONDA MOTOR CO LTD-SPONS ADR	HMC	38.000	\$1,467.18
438516106	HONEYWELL INTERNATIONAL INC	HON	524.000	\$31,225.16
440452100	HORMEL FOODS CORP	HRL	38.000	\$1,132.78
44107P104	HOST HOTELS AND RESORTS INC	HST	44.000	\$745.80
40426A208	HQ SUSTAINABLE MARITIME INDUSTRIES	HQSM	400.000	\$1,112.00
404280406	HSBC HOLDINGS PLC-SPONS ADR	HBC	40.000	\$1,984.80
443510201	HUBBELL INC -CL B	HUB B	10.000	\$649.50
446413106	HUNTINGTON INGALLS INDUSTRIES	HII	40.000	\$1,380.00
44860K102	HYBRID NETWORKS INC		160.000	\$2.00
450737101	IBERDROLA SA - SPON ADR	IBDRY	45.000	\$1,601.60
44926L300	ICH CORP	ICHP	1.000	\$0.00
451055107	ICONIX BRAND GROUP INC	ICON	81.000	\$1,960.20
44930M500	ICOP DIGITAL INC	ICOPQ	50.000	\$0.50
451691208	IEXALT INC	IEXA	185.000	\$0.02
44951V108	IFLI ACQUISITION CORP	IFLI	1.000	\$0.55
EEK518301	IFX CORP NEW FRAC CUSIP 449518307		1,667.000	\$0.00
452329105	ILLUMINATED HOLDINGS INC		1,250.000	\$0.00
452439201	IMAGE ENTERTAINMENT INC	DISK	67.000	\$12.06
452472103	IMAGICA INTL		20,000.000	\$1.00
452526106	IMMUCOR INC	BLUD	109.000	\$2,225.78
452553308	IMPALA PLATINUM SPON ADR	IMPUY	4,000.000	\$107,504.00

454089103	INDIA FUND INC FD	IFN	100.000	\$3,030.00
45663L403	INFINITY ENERGY RESOURCES	IFNY	39.000	\$71.37
45678T300	INFOSPACE INC	INSP	8.000	\$72.96
456806207	INFOTOPIA INC		58.000	\$1.00
45685B201	INFRARED SYSTEMS		70.000	\$0.32
G47791101	INGERSOLL-RAND PLC	IR	44.000	\$1,998.04
457153104	INGRAM MICRO INC-CL A	IM	10.000	\$181.40
45772H103	INNOVATIVE FOOD HOLDINGS INC	IVFH	19.000	\$0.15
45765P104	INNOVET INC	IVTO	10,000.000	\$1.00
457919108	INNSUITES HOSPITALITY TRUST	IHT	33.000	\$52.47
45774W108	INSTEEL INDUSTRIES INC	IIIN	68.000	\$852.72
45812C106	INTEGRATED HEALTH SERVICES	IHSVQ	1,673.000	\$2.51
45822P105	INTEGRYS ENERGY GROUP INC	TEG	134.000	\$6,946.56
458140100	INTEL CORP	INTC	148.000	\$3,279.68
45867G101	INTERDIGITAL INC	IDCC	75.000	\$3,063.75
458786100	INTERMEC INC	IN	10.000	\$110.40
46010T107	INTERNATIONAL PACKAGING & LOGISTIC	IPLO	2.000	\$0.10
460146103	INTERNATIONAL PAPER CO	IP	21.000	\$626.22
460481104	INTERNATIONAL TELEDATA CORP		218.000	\$0.00
459200101	INTL BUSINESS MACHINES CORP	IBM	582.000	\$99,842.10
46010N209	INTL OIL & GAS INC	IOGC	3.000	\$0.01
460321201	INTL SHIPHOLDING CORP	ISH	37.000	\$787.36
46132P108	INVESCO INSURED MUNI INCOME	IIM	10.000	\$141.90
46131M106	INVESCO VAN KAMPEN TR FOR INV GR MUN	VGM	47.000	\$647.19
46132L107	INVESCO VK BOND FD	VBF	1.000	\$19.05
46132K109	INVESCO VK PA VAL MUNI INC TR	VPV	433.000	\$5,542.40
462044108	ION GEOPHYSICAL CORP	IO	2.000	\$18.92
44982L103	IPIX CORP	IPIXQ	52.000	\$0.05
G49398103	IRIDIUM WORLD COMMUNICATIONS LTD	IRIDQ	500.000	\$4.00
464287580	ISHARES DJ US CNSMER SERVICE FD	IYC	28.000	\$2,042.60
464286202	ISHARES MSCI AUSTRIA INVESTABLE MRKT	EWO	1.000	\$22.76
465141406	ISRAMCO INC	ISRL	10.000	\$660.80
465647303	ITEX CORP	ITEX	235.000	\$940.00
450911102	ITT CORPORATION	ITT	164.000	\$9,664.52
465828101	IVES HEALTH COMPANY INC		107,000.000	\$2.00
708160106	J.C. PENNEY CO INC	JCP	172.000	\$5,940.88
426281101	JACK HENRY & ASSOCIATES INC	JKHY	1.000	\$30.01
47102X105	JANUS CAPITAL GROUP INC	JNS	100.000	\$944.00
471109108	JARDEN CORP	JAH	63.000	\$2,174.13
46612J507	JDS UNIPHASE CORP	JDSU	108.000	\$1,799.28
477143101	JETBLUE AIRWAYS CORP	JBLU	1.000	\$6.10
832696405	JM SMUCKER CO/THE-NEW COMMON	SJM	20.000	\$1,528.80
477839104	JOHN BEAN TECHNOLOGIES	JBT	33.000	\$637.56
41014P854	JOHN HANCOCK GOV INC A FD#0056	JHGIX	816.733	\$7,865.14
478160104	JOHNSON & JOHNSON	JNJ	45.170	\$3,004.71
478366107	JOHNSON CONTROLS INC	JCI	7,423.000	\$309,242.18
46625H100	JPMORGAN CHASE & CO	JPM	708.000	\$28,985.52
48282T104	KADANT INC	KAI	23.000	\$724.73
482910106	KAFUS INDUSTRIES LTD	KSEVQ	1,100.000	\$0.77
483548103	KAMAN CORP	KAMN	1.000	\$35.47
485170302	KANSAS CITY SOUTHERN	KSU	466.000	\$27,647.78
487836108	KELLOGG CO	K	2.000	\$110.64
490560208	KENT FINANCIAL SVCS	KENT	76.000	\$93.86
493267108	KEYCORP	KEY	11.000	\$91.63
49347V100	KEYSTONE ENERGY SERVICES INC		25.000	\$1.00
494368103	KIMBERLY-CLARK CORP	KMB	3.000	\$199.68
49446R109	KIMCO REALTY CORP REIT	KIM	19.000	\$354.16
EKE55U103	KINDER MORGAN MANAGEMENT LLC FRAC		563.337	\$0.37
482584109	KMART CORP/OLD		808.000	\$80.80
50075N104	KRAFT FOODS INC-A	KFT	38.000	\$1,338.74
501014104	KRISPY KREME DOUGHNUTS INC	KKD	9.000	\$85.59

50105X106	KRONOS ADVANCED	KNOS	5,000.000	\$2.50
50105F105	KRONOS WORLDWIDE INC	KRO	2.000	\$62.90
50212A106	L-1 IDENTITY SOLUTIONS INC	ID	1,223.000	\$14,370.25
513847103	LANCASTER COLONY CORP	LANC	1.000	\$60.82
515062107	LANDMARK LAND CO INC	LLND	104.000	\$9.36
505336107	LA-Z-BOY INC	LZB	97.000	\$957.39
501803308	LCA-VISION INC	LCAV	1.000	\$4.78
52602E102	LENDER PROCESSING SERVICES	LPS	34.000	\$710.94
526057104	LENNAR CORP-CL A	LEN	4.000	\$72.60
52730ESC2	LEV PHARMACEUTICALS ESCROW		20.000	\$0.00
52729N100	LEVEL 3 COMMUNICATIONS INC		335.000	\$817.40
527482103	LEVITZ FURNITURE		590.000	\$0.06
530555101	LIBERTY GLOBAL INC-A	LBTYA	176.000	\$7,927.04
530555309	LIBERTY GLOBAL INC-SERIES C	LBTYK	211.000	\$9,009.70
530555200	LIBERTY GLOBAL-B	LBTYB	35.000	\$1,575.00
53071M401	LIBERTY MEDIA HOLD-CAP SER B		35.000	\$3,002.48
53071M302	LIBERTY MEDIA HOLDING-CAP SER A		184.000	\$15,778.00
53071M104	LIBERTY MEDIA INTERACTIVE SER A	LINTA	929.000	\$15,579.33
53071M203	LIBERTY MEDIA-INTERACTIVE B	LINTB	175.000	\$2,930.38
53071M708	LIBERTY MEDIA-STARZ		71.000	\$5,342.04
53071M807	LIBERTY-STARZ B		14.000	\$1,072.12
53217V109	LIFE TECHNOLOGIES CORP	LIFE	54.000	\$2,811.78
53219L109	LIFEPOINT HOSPITALS INC	LPNT	9.000	\$351.72
53224G301	LIGHTING SCIENCE GROUP CORP	LSCG	1,544.000	\$5,172.40
532716107	LIMITED BRANDS INC	LTD	220.000	\$8,459.00
53612Q101	LINUX GOLD CORP	LNKGF	895.000	\$31.33
53673P109	LITE DELITE FROZEN YOGURT INC		1,000.000	\$1.00
539320101	LIZ CLAIBORNE INC	LIZ	36.000	\$192.60
539576108	LOCH ENERGY CO		19.000	\$1.00
539830109	LOCKHEED MARTIN CORP	LMT	7.000	\$566.79
544001100	LORD ABBETT AFFILIATED-A FD#0011	LAFX	801.673	\$9,491.81
548661107	LOWE'S COS INC	LOW	48.000	\$1,118.88
855668109	LS STARRETT CO	SCX	100.000	\$1,025.00
502160104	LSB INDUSTRIES INC	LXU	30.000	\$1,287.60
502161102	LSI CORPORATION	LSI	1,332.000	\$9,483.84
501921100	LTV CORP	LTVCQ	2,000.000	\$3.63
502386105	LWTC CORP	LTWC	1,424.000	\$0.90
552541104	M & F WORLDWIDE CORP	MFW	39.000	\$1,007.76
55261F104	M & T BANK CORP	MTB	50.000	\$4,397.50
552507105	M&F BANCORP INC/NC	MFBP	72.000	\$195.84
55616P104	MACY'S INC	M	52.000	\$1,520.48
56418H100	MANPOWER INC	MAN	37.000	\$1,985.05
56501R106	MANULIFE FINANCIAL CORP	MFC	2,479.000	\$43,779.14
565849106	MARATHON OIL CORP	MRO	198.000	\$10,430.64
573083102	MARTHA STEWART LIVING -CL A	MSO	57.000	\$247.38
576292106	MASSMUTUAL CORP INVESTORS FD	MCI	2,314.000	\$37,417.38
57641R105	MASTERSLINK COMMUNICATIONS INC		6,200.000	\$1.00
577081102	MATTEL INC	MAT	48.000	\$1,319.52
55264U108	MB FINANCIAL INC	MBFI	15.000	\$288.60
579489105	MCCLATCHY CO-CL A	MNI	110.000	\$309.10
580135101	MCDONALD'S CORP	MCD	2,859.000	\$241,070.88
58155Q103	MCKESSON CORP	MCK	92.000	\$7,695.80
584906408	MEDIQ INC -NEW CUM PFD SER A 13% DUE 12/31/2011		21.000	\$2.00
585013105	MEDIZONE INTERNATIONAL INC	MZEI	1,800.000	\$387.00
587200106	MENTOR GRAPHICS CORP	MENT	6.000	\$76.86
58933Y105	MERCK & CO INC/NJ	MRK	61.000	\$2,152.69
589849306	MERISEL INC	MSEL	5.000	\$5.75
59001K100	MERITOR INC	MTOR	146.000	\$2,341.84
590660106	MESA ROYALTY TRUST	MTR	91.000	\$4,095.00
59156R108	METLIFE INC	MET	956.000	\$41,939.72
591596101	METRICOM INC	MCOMQ	250.000	\$0.63

591689104	METROMEDIA FIBER NETWORK-A		287.000	\$8.61
59318D104	MFS HIGH INCOME MUNICIPAL TRUST	CXE	11.000	\$52.91
59318R103	MFS INTERMARKET INCM TRUST	CMK	9.000	\$75.24
55273C107	MFS INTERMEDIATE INCOME TRUST	MIN	980.000	\$6,193.60
594793101	MICREL INC	MCRL	31.000	\$327.98
595112103	MICRON TECHNOLOGY INC	MU	200.000	\$1,496.00
59513P100	MICROPHONICS INC	MRPS	50.000	\$1.95
594918104	MICROSOFT CORP	MSFT	1,089.000	\$28,314.00
59861T100	MIGO SOFTWARE INC	MIGO	123.000	\$0.07
598622108	MIGRATEC INC		830.000	\$1.00
600551204	MILLER INDUSTRIES INC/TENN	MLR	1.000	\$18.69
L6388F110	MILLICOM INTL CELLULAR S.A.	MIICF	28.000	\$2,905.00
603156100	MINERALS MINING CORP	MMNM	89.000	\$1.00
604567107	MIPS TECHNOLOGIES INC	MIPS	13.000	\$89.83
604593103	MIRACLE ENTERTAINMENT INC	MEMI	77.000	\$0.01
605203108	MISSION WEST PROPERTIES INC	MSW	6.000	\$52.68
607415106	MOBILEMEDIA CORP		3,000.000	\$1.00
607828100	MODINE MANUFACTURING CO	MOD	1.000	\$15.37
60871R209	MOLSON COORS BREWING CO -B	TAP	22.000	\$984.28
60935Y109	MONEYGRAM INTERNATIONAL INC	MGI	325.000	\$1,079.00
61166W101	MONSANTO CO	MON	66.000	\$4,787.64
615369105	MOODY'S CORP	MCO	46.000	\$1,764.10
913734109	MORAY WAY HOLDINGS		500.000	\$1.00
617446448	MORGAN STANLEY	MS	8.000	\$184.08
617707104	MORO CORPORATION	MRCR	71.000	\$44.02
61945C103	MOSAIC CO	MOS	343.000	\$23,231.39
620097105	MOTOROLA MOBILITY HOLDINGS INC	MMI	10.000	\$220.40
620076307	MOTOROLA SOLUTIONS INC	MSI	11.000	\$506.44
553424409	MPTV INC		5,168.000	\$0.52
624756102	MUELLER INDUSTRIES INC	MLI	88.000	\$3,336.08
625453105	MULTIMEDIA GAMES HOLDING CO INC	MGAM	228.000	\$1,037.40
G6359F103	NABORS INDUSTRIES LTD	NBR	2.000	\$49.28
631158102	NASH FINCH CO	NAFC	18.000	\$644.58
63563D702	NATIONAL DATACOMPUTER INC	NDCP	3.000	\$0.15
637071101	NATIONAL OILWELL VARCO INC	NOV	120.000	\$9,385.20
637417106	NATIONAL RETAIL PROPERTIES	NNN	200.000	\$4,902.00
637640103	NATIONAL SEMICONDUCTOR CORP	NSM	40.000	\$984.40
637733403	NATIONAL SORBENTS INC	NSIE	9,090.000	\$0.91
639027101	NATURES SUNSHINE PRODS INC	NATR	33.000	\$642.84
62886E108	NCR CORPORATION	NCR	592.000	\$11,182.88
64049M209	NEOGENOMICS INC	NGNM	50.000	\$65.00
64051M402	NEONODE INC	NEON	1.000	\$3.70
640650305	NEOSTEM INC	NBS	3.000	\$4.44
64104P105	NESS ENERGY INTL INC	NESS	3,043.000	\$9.13
64110D104	NETAPP INC	NTAP	12.000	\$633.36
64120S109	NETWORK ACCESS SOLUTIONS CRP	NASC	155.000	\$0.02
641224407	NEUBERGER BERMAN PARTNERS-IV FD#0492	NPRTX	137.633	\$3,948.69
64125U406	NEUROLOGIX INC	NRGX	40.000	\$28.00
643611106	NEW CONCEPT ENERGY INC	GBR	5.000	\$13.00
646025106	NEW JERSEY RESOURCES CORP	NJR	6.000	\$267.66
64938P107	NEW YORK BAGEL ENTERPRISES		7,075.000	\$1.42
650111107	NEW YORK TIMES CO -CL A	NYT	73.000	\$636.56
650902109	NEWCHILD INTERNATIONAL INC		600.000	\$0.00
651229106	NEWELL RUBBERMAID INC	NWL	1.000	\$15.78
651290108	NEWFIELD EXPLORATION CO	NFX	2.000	\$136.04
651639106	NEWMONT MINING CORP	NEM	16.000	\$863.52
65248E104	NEWS CORP-CL A	NWSA	32.000	\$566.40
652503103	NEWSTART MEDIA INC		100.000	\$1.00
65339F101	NEXTERA ENERGY INC	NEE	8.000	\$459.68
654086107	NICOR INC	GAS	26.000	\$1,423.24
654106103	NIKE INC -CL B	NKE	6.000	\$539.88

65473P105	NISOURCE INC	NI	111.000	\$2,247.75
655044105	NOBLE ENERGY INC	NBL	74.000	\$6,632.62
629358102	NOLA CORP		16,600.000	\$0.02
65542H100	NORAM CAPITAL HOLDINGS INC	NRMH	268.000	\$1.39
655555100	NORD RESOURCES CORP	NRDS	7.000	\$1.12
656568508	NORTEL NETWORKS CORP	NRTLQ	132.000	\$6.60
664397106	NORTHEAST UTILITIES	NU	148.000	\$5,205.16
666807102	NORTHROP GRUMMAN CORP	NOC	243.000	\$16,852.05
629362302	NP ENERGY CORP	NPER	760.000	\$28.88
62937T104	NRL PROMOTIONS INC		6,708.000	\$0.01
67019E107	NSTAR	NST	47.000	\$2,161.06
629410309	NTN BUZZTIME INC	NTN	1,000.000	\$460.00
629422106	NTS REALTY HOLDINGS LP	NLP	1,080.000	\$3,488.40
67020Y100	NUANCE COMMUNICATIONS INC	NUAN	40.000	\$858.80
67065Q202	NUVEEN INT DUR MUNI BD-A FD#1170	NMBAX	4,704.118	\$42,101.86
67073Y106	NV ENERGY INC	NVE	348.000	\$5,341.80
413838103	OAKMARK FUND #110	OAKMX	192.428	\$8,478.38
674098207	OAKWOOD HOMES CORP	OKWHQ	2.000	\$0.00
674599105	OCCIDENTAL PETROLEUM CORP	OXY	480.000	\$49,939.20
670823103	O'CHARLEYS INC	CHUX	14.000	\$102.34
67555N206	OCLARO INC	OCLR	4.000	\$26.88
676121106	ODYSSEY PICTURES CORP	OPIX	14.000	\$1.19
67622P101	OFFICEMAX INC	OMX	18.000	\$141.30
670837103	OGE ENERGY CORP	OGE	1,957.000	\$98,476.24
678034208	OILEX INC		840.000	\$2.00
678849100	OKLAHOMA ENERGY CORPORATION	OKOK	40.000	\$0.01
68002Q354	OLD MUTUAL FOCUSED FD-Z FD#0732	OBFVX	457.918	\$10,110.83
68002Q396	OLD MUTUAL STRAT SM CO FD#0721	OSSCX	154.598	\$1,852.08
680665205	OLIN CORP	OLN	118.000	\$2,673.88
682095104	OMEGA FLEX INC	OFLX	17.000	\$238.51
681904108	OMNICARE INC	OCR	65.000	\$2,072.85
682680103	ONEOK INC	OKE	1,300.000	\$96,213.00
683969109	OPPENHEIMER CORE BD FD FD#0285	OPIGX	564.405	\$3,679.92
683924104	OPPENHEIMER GLOBAL FD CL A FD #330	OPPAX	159.122	\$10,319.06
68380D108	OPPENHEIMER MAIN STREET-A FD#0700	MSIGX	321.147	\$10,681.35
68389X105	ORACLE CORP	ORCL	38.000	\$1,250.58
690732102	OWENS & MINOR INC	OMI	1.000	\$34.49
690768403	OWENS-ILLINOIS INC	OI	18.000	\$464.58
69331C108	P G & E CORP	PCG	263.000	\$11,053.89
694873100	PACIFIC SUNWEAR OF CALIF	PSUN	75.000	\$195.75
69371Y101	PAC-WEST TELECOMM INC		1,000.000	\$180.00
698477106	PANHANDLE ROYALTY CO-CL A	PHX	8,400.000	\$247,716.00
698813102	PAPA JOHN'S INTL INC	PZZA	14.000	\$465.64
699004107	PARADIGM ADVANCED TECHNOLOGIES		5,000.000	\$0.50
699173209	PARAMETRIC TECHNOLOGY CORP	PMTC	434.000	\$9,951.62
700678105	PARK PHARMACY CORP	PRXOQ	670.000	\$0.07
701081101	PARKER DRILLING CO	PKD	1,367.000	\$7,996.95
703481101	PATTERSON-UTI ENERGY INC	PTEN	456.000	\$14,414.16
704323203	PAY POP INC	PAYP	5,000.000	\$1.00
693298101	PD-RX PHARMACEUTICALS INC	PDRX	1,204.000	\$602.00
713278109	PEP BOYS-MANNY MOE & JACK	PBY	100.000	\$1,093.00
713291102	PEPCO HOLDINGS INC	POM	217.000	\$4,259.71
713448108	PEPSICO INC	PEP	411.000	\$28,946.73
713661304	PEREGRINE PHARMACEUTICALS INC	PPHM	20.000	\$37.20
714236106	PERMIAN BASIN ROYALTY TRUST	PBT	10.000	\$216.40
716549100	PETROLEUM & RESOURCES CORP FD	PEO	1,363.000	\$40,194.87
716578109	PETROLEUM DEVELOPMENT CORP	PETD	100.000	\$2,991.00
717081103	PFIZER INC	PFE	1,573.000	\$32,403.80
716932108	PHARMACEUTICAL FORMULATIONS		62.000	\$1.00
69336T106	PHI INC	PHII	25.000	\$541.00
718172109	PHILIP MORRIS INTERNATIONAL	PM	695.000	\$46,405.15

718592108	PHILLIPS-VAN HEUSEN		40.000	\$2,618.80
71902E109	PHOENIX COMPANIES INC	PNX	139.000	\$341.94
720186105	PIEDMONT NATURAL GAS CO	PNY	1.000	\$30.26
720279108	PIER 1 IMPORTS INC	PIR	21.000	\$242.97
723456109	PINNACLE ENTERTAINMENT INC	PNK	151.000	\$2,249.90
723655106	PIONEER DRILLING COMPANY	PDC	28.000	\$426.72
723787107	PIONEER NATURAL RESOURCES CO	PXD	601.000	\$53,831.57
724479100	PITNEY BOWES INC	PBI	3.000	\$68.97
726505100	PLAINS EXPLORATION & PRODUCT	PXP	1,450.000	\$55,274.00
72705J105	PLANET RESOURCE RECOVERY INC	PRRY	80.000	\$1.44
727563108	PLASTICON INTERNATIONAL INC		8,432.000	\$0.84
729251108	PLUM CREEK TIMBER CO REIT	PCL	71.000	\$2,878.34
69349H107	PNM RESOURCES INC	PNM	98.000	\$1,640.52
M7946T104	POINTER TELOCATION	PNTR	7.000	\$32.55
731ESC307	POLARIS GEOTHERMAL INC CL B NON-VTG ESCR		6.000	\$0.00
731068102	POLARIS INDUSTRIES INC	PII	12.000	\$1,334.04
73172K104	POLYCOM INC	PLCM	1.000	\$64.30
73174C100	POLYMEDIX INC	PYMX	192.000	\$144.00
732449301	PONIARD PHARMACEUTICALS INC	PARD	3.000	\$0.69
737630103	POTLATCH CORP	PCH	16.000	\$564.32
737682302	POTOMAC ENERGY CORP	PEGC	7,802.000	\$0.78
73935A104	POWERSHARES QQQ	QQQ	1.000	\$57.05
73935X500	POWERSHARES WILDERH CLEAN EN FD	PBW	2.000	\$18.00
693506107	PPG INDUSTRIES INC	PPG	119.000	\$10,804.01
69351T106	PPL CORPORATION	PPL	680.000	\$18,924.40
740432208	PREFERRED VOICE INC	PRFV	10.000	\$2.95
74047N109	PREMIER COMMERCIAL BANCORP	PCBP	1,877.000	\$18,066.13
74158E104	PRIMEENERGY CORP	PNRG	211.000	\$4,937.40
74251V102	PRINCIPAL FINANCIAL GROUP	PFG	6,217.000	\$189,121.14
74267C106	PROASSURANCE CORP	PRA	102.000	\$7,140.00
742806300	PROCYON CORP	PCYN	320.000	\$57.60
743263105	PROGRESS ENERGY INC	PGN	3.000	\$144.03
743263AA3	PROGRESS ENERGY INC-CVO	PREX99	689.000	\$68.90
743411100	PROLONG INTERNATIONAL CORP	PRLIQ	300.000	\$0.39
743606105	PROSPERITY BANCSHARES INC	PRSP	7.000	\$306.74
743674103	PROTECTIVE LIFE CORP	PL	24.000	\$555.12
744320102	PRUDENTIAL FINANCIAL INC	PRU	13,448.000	\$855,158.32
745867101	PULTE GROUP INC	PHM	356.000	\$2,726.96
746228303	PURE CYCLE CORP	PCYO	240.000	\$737.28
74624H103	PURESAFE WATER SYSTEMS INC	PSWS	433.000	\$28.58
746389105	PURPLE COMMUNICATIONS INC	PRPL	1.000	\$0.25
746909100	PUTNAM MASTER INTERMED INCM TRUST	PIM	1.000	\$5.83
747241107	PYRAMOD INTL INC COM		5.000	\$0.00
74733V100	QEP RESOURCES INC	QEP	13.000	\$543.79
747525103	QUALCOMM INC	QCOM	144.000	\$8,177.76
747578409	QUALITY PRODUCTS INC	QPDC	320.000	\$6,400.00
747619104	QUANEX BUILDING PRODUCTS	NX	2.000	\$32.78
74773P104	QUASAR AEROSPACE INDUSTRIES	QASP	51.000	\$0.04
74833W206	QUEPASA CORP	QPSA	30.000	\$217.50
74834L100	QUEST DIAGNOSTICS	DGX	125.000	\$7,387.50
748356102	QUESTAR CORP	STR	846.000	\$14,982.66
M81865111	RADCOM LTD	RDCM	7.000	\$35.98
750491102	RADNET INC	RDNT	950.000	\$4,180.00
750609109	RADTECH INC		19,840.000	\$0.02
750861106	RAINBOW SEVEN INC		1,000.000	\$0.00
751452202	RAMCO-GERSHENSON PROPERTIES REIT	RPT	447.000	\$5,533.86
75281A109	RANGE RESOURCES CORP	RRC	52.000	\$2,886.00
755111507	RAYTHEON COMPANY	RTN	265.000	\$13,210.25
755408101	READING INTERNATIONAL INC	RDI	4.000	\$18.20
756577102	RED HAT INC	RHT	46.000	\$2,111.40
757488101	REDIRECT INC		23.000	\$1.00

75884M302	REGEN BIOLOGICS INC	RGBOQ	22.000	\$0.26
75886F107	REGENERON PHARMACEUTICALS	REGN	10.000	\$567.10
7591EP100	REGIONS FINANCIAL CORP	RF	2,323.000	\$14,402.60
758932107	REGIS CORP	RGS	22.000	\$337.04
75942E102	RELAX INVESTMENTS LTD	RLXI	15.000	\$0.15
759720105	RENN GLOBAL ENTREPRENEURS FD	RCG	1,000.000	\$2,330.00
761102102	RESERVE PETROLEUM CORP	RSRV	452.000	\$164,980.00
761300102	RETROSPETTIVA	RTRO	3,000.000	\$99.60
767754104	RITE AID CORP	RAD	38.000	\$50.54
769397100	RIVERVIEW BANCORP INC	RVSB	742.000	\$2,276.75
770323103	ROBERT HALF INTL INC	RHI	5.000	\$135.15
773903109	ROCKWELL AUTOMATION INC	ROK	353.000	\$30,626.28
774341101	ROCKWELL COLLINS INC.	COL	1,413.000	\$87,167.97
775711104	ROLLINS INC	ROL	62.000	\$1,263.56
779088103	ROUGE INDUSTRIES INC		100.000	\$0.10
779376102	ROVI CORP	ROVI	53.000	\$3,040.08
78080N108	ROYCE FOCUS TRUST FD	FUND	1,356.000	\$10,563.24
749685103	RPM INTERNATIONAL INC	RPM	29.000	\$667.58
781086202	RUBBER TECHNOLOGY INTERNATIONAL		1,524.000	\$2.00
781258108	RUDDICK CORP	RDK	100.000	\$4,354.00
74978E102	RX FOR AFRICA INC	RXAF	204.000	\$0.59
783549108	RYDER SYSTEM INC	R	39.000	\$2,217.15
783764103	RYLAND GROUP INC	RYL	4.000	\$66.12
786449207	SAFEGUARD SCIENTIFIC INC	SFE	2.000	\$37.76
786514208	SAFEWAY INC	SWY	1,520.000	\$35,522.40
800013104	SANDERSON FARMS INC	SAFM	3.000	\$143.34
80004C101	SANDISK CORP	SNDK	7.000	\$290.50
80201E108	SANTA FE GOLD CORP	SFEG	500.000	\$480.00
803111103	SARA LEE CORP	SLE	473.000	\$8,982.27
805423308	SAVVIS INC	SVVS	7.000	\$276.71
805430105	SAVWATT USA INC	SAVW	100.000	\$0.20
806857108	SCHLUMBERGER LTD	SLB	1,236.000	\$106,790.40
807066105	SCHOLASTIC CORP	SCHL	10.000	\$266.00
808513105	SCHWAB (CHARLES) CORP	SCHW	7.000	\$115.15
G7945M107	SEAGATE TECHNOLOGY	STX	23.000	\$371.68
81211K100	SEALED AIR CORP	SEE	104.000	\$2,474.16
814785309	SECURITY NATL FINL CORP-CL A	SNFCA	729.000	\$1,114.79
784109209	SED INTERNATIONAL HOLDINGS	SED	72.000	\$367.20
816851109	SEMPRA ENERGY	SRE	1,224.000	\$64,725.12
81731K101	SENTRY TECHNOLOGY CORP	SKVY	485.000	\$24.25
81747S106	SERAC HOLDINGS INC		4,000.000	\$1.00
81747T104	SERACARE LIFE SCIENCES INC	SRLS	1.000	\$3.77
817565104	SERVICE CORP INTERNATIONAL	SCI	3.000	\$35.04
G80550109	SEVEN SEAS PETROLEUM CORP		700.000	\$2.00
824348106	SHERWIN-WILLIAMS CO/THE	SHW	41.000	\$3,438.67
825729106	SIBERIAN NATURAL GAS CORP	SBRN	1.000	\$1.00
828342105	SILVERADO FOODS INC	SVFO	500.000	\$0.15
82861P100	SIMCOE MINING RESOURCES INC	SMOM	2.000	\$0.00
829205202	SIMTROL INC	SMRL	100.000	\$0.25
829226109	SINCLAIR BROADCAST GROUP-A	SBGI	248.000	\$2,723.04
82967N108	SIRIUS XM RADIO INC	SIRI	250.000	\$547.50
82981P105	SITI-SITES.COM INC	SITN	7.000	\$0.70
832154108	SMITH MICRO SOFTWARE INC	SMSI	20.000	\$84.20
833034101	SNAP-ON INC	SNA	14.000	\$874.72
835451105	SONIC CORP	SONC	50.000	\$531.50
835699307	SONY CORP-SPONSORED ADR	SNE	7.000	\$184.73
83607W102	SOUND HEALTH SOLUTIONS INC	SHSO	247.000	\$0.62
836144105	SOURCE CAPITAL INC FD	SOR	60.000	\$3,445.08
836171207	SOURCINGLINK.NET INC	SNET	100.000	\$2.00
838518108	SOUTH JERSEY INDUSTRIES	SJI	2.000	\$108.62
842587107	SOUTHERN CO	SO	722.000	\$29,154.36

844741108	SOUTHWEST AIRLINES CO	LUV	658.000	\$7,514.36
847560109	SPECTRA ENERGY CORP	SE	1,197.000	\$32,809.77
84856L102	SPINE PAIN MANAGEMENT INC	SPIN	353.000	\$264.75
848574109	SPIRIT AEROSYSTEMS HOLDINGS INC-CL A	SPR	2.000	\$44.00
848925103	SPORT -HALEY INC	SPOR	5,000.000	\$4,550.00
84919E103	SPORTS VISION TECHNOLOGY	SVTI	100.000	\$1.00
84920D102	SPORTSEND INC	SPSD	13.000	\$0.00
84921Y204	SPOT MOBILE INTERNATIONAL LTD	RPID	3.000	\$0.75
852061100	SPRINT NEXTEL CORP	S	767.000	\$4,134.13
784635104	SPX CORP	SPW	2.000	\$165.32
85254C305	STAGE STORES INC	SSI	361.000	\$6,064.80
852857200	STAMPS.COM INC	STMP	40.000	\$533.60
855244109	STARBUCKS CORP	SBUX	157.000	\$6,199.93
85590A401	STARWOOD HOTELS & RESORTS	HOT	48.000	\$2,689.92
85857R105	STEMCELLS INC		216.000	\$114.48
859158107	STERLING BANCORP-N Y	STL	105.000	\$996.45
859241101	STERLING CONSTRUCTION CO	STRL	898.000	\$12,365.46
859254104	STERLING DIVERSIFIED HLDGS	SDHI	2,167.000	\$0.22
860372101	STEWART INFORMATION SERVICES	STC	30.000	\$300.90
860630102	STIFEL FINANCIAL CORP	SF	13.000	\$466.18
860673201	STILFONTEIN GOLD MINING - ADR	STILY	100.000	\$1.00
863165106	STRATUS MEDIA GR	SMDI	13.000	\$9.10
86736Y204	SUNFLOWER USA LIMITED	SFLW	14.000	\$21.70
86764P109	SUNOCO INC	SUN	1,541.000	\$64,275.11
86688Q100	SUN-TIMES MEDIA GROUP INC		100.000	\$0.06
868157108	SUPERIOR ENERGY SERVICES INC	SPN	3.000	\$111.42
868536103	SUPERVALU INC	SVU	23.000	\$216.43
868733106	SUREWEST COMMUNICATIONS	SURW	2.000	\$33.44
871237103	SYKES ENTERPRISES INC	SYKE	52.000	\$1,119.56
871503108	SYMANTEC CORP	SYMC	37.000	\$729.64
871578100	SYNCRONYS		25,100.000	\$2.00
87160E102	SYNERGIE WELLNESS PRODUCTS	SYHO	2,088.000	\$0.21
871630109	SYNTROLEUM CORP	SYNM	799.000	\$1,174.53
871829107	SYSCO CORP	SYU	30.000	\$935.40
874054109	TAKE-TWO INTERACTIVE SOFTWARE	TTWO	14.000	\$213.92
876033200	TAPIMMUNE INC	TPIV	1.000	\$0.25
87612E106	TARGET CORP	TGT	415.000	\$19,467.65
872275102	TCF FINANCIAL CORP	TCB	234.000	\$3,229.20
87236Y108	TD AMERITRADE HOLDING CORP	AMTD	32.000	\$624.32
872351408	TDK CORP-SPONSORED ADR	TTDKY	7.000	\$382.24
879269207	TELECHIPS CORP	TCHPQ	66.000	\$0.01
879403707	TELEFONOS DE MEXICO SAB DE CV SER A	TFONY	452.000	\$7,426.36
879403780	TELEFONOS DE MEXICO-SP ADR L	TMX	285.000	\$4,702.50
879433100	TELEPHONE AND DATA SYSTEMS	TDS	2.000	\$62.16
879707107	TEMPCO INC	TEMO	39.000	\$11.70
879868107	TEMPLE-INLAND INC	TIN	8.000	\$237.92
880349105	TENNECO INC	TEN	43.000	\$1,895.01
88076W103	TERADATA CORP	TDC	546.000	\$32,869.20
880770102	TERADYNE INC	TER	8.000	\$118.40
880779103	TEREX CORP	TEX	740.000	\$21,053.00
881609101	TESORO CORP	TSO	120.000	\$2,749.20
882508104	TEXAS INSTRUMENTS INC	TXN	245.000	\$8,043.35
882853203	TEXAS VANGUARD OIL CO	TVOC	250.000	\$2,387.50
883203101	TEXTRON INC	TXT	387.000	\$9,137.07
88321N106	TGFIN HOLDINGS INC	TGFN	13.000	\$0.14
587118100	THE MEN'S WEARHOUSE INC	MW	40.000	\$1,348.00
89417E109	THE TRAVELERS COMPANIES INC	TRV	448.000	\$26,154.24
254687106	THE WALT DISNEY CO.	DIS	709.000	\$27,679.36
883556102	THERMO FISHER SCIENTIFIC INC	TMO	191.000	\$12,298.49
88360H101	THERMOTECH TECHNOLOGIES	TTRIF	113,725.000	\$113.73
886305101	TIANRONG BUILDING MATER HLDG	TNRG	41,051.000	\$4.11

88632Q103	TIBCO SOFTWARE INC	TIBX	10.000	\$290.20
886352202	TIDALWAVE HOLDINGS INC	TWVH	1.000	\$1.00
886547108	TIFFANY & CO	TIF	3.000	\$235.56
88732J207	TIME WARNER CABLE	TWC	61.000	\$4,760.44
887317303	TIME WARNER INC	TWX	290.000	\$10,547.30
888339207	TITANIUM METALS CORPORATION	TIE	20.000	\$366.40
890516107	TOOTSIE ROLL INDS	TR	5.000	\$146.30
891027104	TORCHMARK CORP	TMK	3.000	\$192.42
891092108	TORO CO	TTC	52.000	\$3,146.00
891906109	TOTAL SYSTEM SERVICES INC	TSS	3.000	\$55.74
87262T106	TPI INTERNATIONAL INC	TPIL	20.000	\$1.00
893878108	TRANS COMPONENTS	TUIC	150.000	\$0.44
89319M100	TRANS CONTINENTAL ENTERTAINMENT		22.000	\$1.00
893349837	TRANS WORLD AIRLINES	TWAIQ	45.000	\$0.09
H8817H100	TRANSOCEAN LTD	RIG	245.000	\$15,817.20
894725993	TREK RESOURCES INC/DE (RESTRICTED)		2.000	\$500.00
895436103	TRI-CONTINENTAL CORPORATION FD	TY	13.000	\$194.09
895436111	TRI-CONTINENTAL CORP-PERP WT		30.000	\$0.00
89557G302	TRI-LITE INC	NRGG	4,887.000	\$73.31
896438306	TRINITY BIOTECH PLC - SPON ADR	TRIB	16.000	\$159.04
896502101	TRINITY ENERGY RES INC		2,800.000	\$0.84
896522109	TRINITY INDUSTRIES	TRN	198.000	\$6,906.24
897051116	TRONOX INC WRT SER A EXP	TROXW	7.000	\$544.60
897051124	TRONOX INC WRT SER B EXP	TROXG	9.000	\$675.00
H89128104	TYCO INTERNATIONAL LTD	TYC	346.000	\$17,102.78
902494103	TYSON FOODS INC-CL A	TSN	2,172.000	\$42,180.24
912023207	U S GOLD CORP	UXG	10.000	\$60.30
912833KM1	U S TREAS STRIP - INT 05/15/2017	UST515	1,000.000	\$877.34
912833KB5	U S TREAS STRIP - INT 11/15/2013		1,000.000	\$985.40
902681105	UGI CORP	UGI	2,636.000	\$84,062.04
904214103	UMPQUA HOLDINGS CORP	UMPQ	2.000	\$23.14
907818108	UNION PACIFIC CORP	UNP	108.000	\$11,275.20
909218109	UNIT CORP	UNT	20.000	\$1,218.60
910047109	UNITED CONTINTETAL HOLDINGS INC	UAL	313.000	\$7,083.19
911312106	UNITED PARCEL SERVICE-CL B	UPS	15.000	\$1,093.95
912909108	UNITED STATES STEEL CORP	X	21.000	\$966.84
913017109	UNITED TECHNOLOGIES CORP	UTX	1,084.000	\$95,944.84
91324P102	UNITEDHEALTH GROUP INC	UNH	12.000	\$618.96
913275103	UNITRIN INC		5.000	\$148.35
91349P103	UNIVERSAL EXPRESS INC		116.000	\$1.00
91359E105	UNIVERSAL HEALTH RLTY INCOME REIT	UHT	2.000	\$79.96
91529Y106	UNUM GROUP	UNM	71.000	\$1,809.08
903369106	US 1 INDUSTRIES INC	USOO	245.000	\$367.50
90341W108	US AIRWAYS GROUP INC	LCC	109.000	\$971.19
911771103	US DIABETES INST INC		1,200.000	\$1.00
911819100	US HOMECARE CORP	USHO	400.000	\$1.00
90341G103	USA MOBILITY INC	USMO	141.000	\$2,151.66
918076100	UTSTARCOM INC		1.000	\$1.56
91911K102	VALEANT PHARMACEUTICALS	VRX	63.000	\$3,273.48
920253101	VALMONT INDUSTRIES	VMI	8.000	\$771.12
92220P105	VARIAN MEDICAL SYSTEMS INC	VAR	80.000	\$5,601.60
92276F100	VENTAS INC REIT	VTR	23.000	\$1,212.33
Y93691106	VERIGY LTD	VRGY	46.000	\$688.62
92343V104	VERIZON COMMUNICATIONS INC	VZ	5,702.000	\$212,285.46
925117202	VERSACOM INTERNATIONAL INC	VRCM	2,000.000	\$0.20
92532R203	VERTICAL COMPUTER SYSTEMS	VCSY	1,000.000	\$23.50
92553P201	VIACOM INC - CLASS B	VIA B	709.000	\$36,159.00
92553P102	VIACOM INC-CLASS A	VIA	5.000	\$287.40
92552R406	VIAD CORP	VVI	56.000	\$1,248.24
92659F107	VIDIKRON TECH	VIDI	2.000	\$1.00
92828Q109	VIRTUS INVESTMENT PARTNERS	VRTS	3.000	\$182.10

928366103	VISTA INTERNATIONAL TECHNOLOGIES	VVIT	2.000	\$0.08
92857W209	VODAFONE GROUP PLC - SP ADR	VOD	5,171.000	\$138,169.12
929ESC911	VOYAGER LEARNING CORP ESCROW		10.000	\$0.00
930059100	WADDELL & REED FINANCIAL-A	WDR	22.000	\$799.70
930128202	WADE COOK FINANCIAL CORP	WADCQ	30.000	\$0.02
931422109	WALGREEN CO	WAG	232.000	\$9,850.72
93114W107	WALMART DE MEXICO S.A.B. DE C.V.	WMMVY	356.000	\$10,549.35
931142103	WAL-MART STORES INC	WMT	4,902.000	\$260,492.28
939322103	WASHINGTON MUTUAL INC	WAMUQ	4.000	\$0.52
94106L109	WASTE MANAGEMENT INC	WM	6.000	\$223.62
94107F101	WASTECH INC	WTCH	1,524.000	\$15.24
H27013103	WEATHERFORD INTL LTD	WFT	876.000	\$16,425.00
94770V102	WEBMD HEALTH CORP	WBMD	16.000	\$729.28
94973Y101	WELLNESS UNIVERSE CORP	WELU	700.000	\$0.07
94973V107	WELLPOINT INC	WLP	26.000	\$2,048.02
949746101	WELLS FARGO & COMPANY	WFC	13.000	\$364.78
950587105	WENDY'S/ARBY'S GROUP INC		1,270.000	\$6,438.90
950755108	WERNER ENTERPRISES INC	WERN	9.000	\$225.45
95709T100	WESTAR ENERGY INC	WR	255.000	\$6,862.05
959802109	WESTERN UNION CO	WU	119.000	\$2,383.57
962166104	WEYERHAEUSER CO	WY	2.000	\$43.72
92924F106	WGL HOLDINGS INC	WGL	1.000	\$38.49
963320106	WHIRLPOOL CORP	WHR	57.000	\$4,635.24
965042104	WHITEHALL ENTERPRISES INC	WTHL	500.000	\$0.05
96536P105	WHITEMARK HOMES INC	WTMK	272.000	\$0.46
966837106	WHOLE FOODS MARKET INC	WFM	8.000	\$507.60
969455104	WILLIAMS COMMUNICATIONS-CL A		663.000	\$0.00
969457100	WILLIAMS COS INC	WMB	167.000	\$5,051.75
974637100	WINNEBAGO INDUSTRIES	WGO	20.000	\$193.20
976656306	WISC ELEC POWER PFD SER 3.6% PERPETUAL	WELPP	10.000	\$670.00
976657106	WISCONSIN ENERGY CORP	WEC	22.000	\$689.70
978093102	WOLVERINE TUBE INC		200.000	\$0.40
981419104	WORLD ACCEPTANCE CORP	WRLD	9.000	\$590.13
98149R106	WORLD MEDIA GROUP INC		1,115.000	\$0.00
98157K100	WORLD SURVEILLANCE GROUP INC	WSGI	33.000	\$3.47
98156Q108	WORLD WRESTLING ENTMNT INC	WWE	16.000	\$152.48
98159A100	WORLDWIDE BIOTECH & PHARMACEUTICAL	WWBP	200.000	\$0.40
981811102	WORTHINGTON INDUSTRIES	WOR	531.000	\$12,266.10
98389B100	XCEL ENERGY INC	XEL	2,967.000	\$72,098.10
983701103	XCL LTD	XCLT	79.000	\$0.01
984121103	XEROX CORP	XRX	2,430.000	\$25,296.30
988498101	YUM! BRANDS INC	YUM	1,286.000	\$71,038.64
98950P884	ZHONE TECHNOLOGIES INC	ZHNE	6.000	\$14.34
989696109	ZION OIL & GAS INC	ZN	9.000	\$53.55
98981X104	ZUNICOM INC	ZNCM	13.000	\$6.50
989834106	ZWEIG FUND INC FD	ZF	1,154.000	\$3,958.22
989837109	ZWEIG TOTAL RETURN FUND INC FD	ZTR	185.000	\$627.15
989859103	ZYMETX INC	ZMTX	1,152.000	\$1.15
			<u>2,691,576.612</u>	<u>\$10,504,811.28</u>