



OKLAHOMA STATE TREASURER UNCLAIMED PROPERTY

August 10, 2021

To whom it may concern:

The Oklahoma Office of State Treasurer Unclaimed Property Division (OST) requests that all securities be remitted electronically to OST's custodian, Bank of Oklahoma. OST does not accept securities that have no apparent market value, have been deemed or declared worthless or restricted. Before delivering securities electronically, a list of the securities **MUST** be sent to Bank of Oklahoma either by fax at (405) 272-2365 or by e-mail to: rkindt@bokf.com or ewalter@bokf.com.

Please include the following information:

- *Delivering Broker's DTC ID*
- *Delivering Broker's contact information*
- *Delivery Date*
- *Issue Name*
- *Issue CUSIP*
- *Share Quantity per Issue*

DTC Eligible Securities Delivery Instructions

DTC Participant Number: 901
DTC Participant Name: The Bank of New York
Account Number: 016071
Account Name: Bank of Oklahoma, Trust
FBO: State of Oklahoma Unclaimed Property Account #71-8140-01-5

Certificates Not DTC Eligible Must be Registered to:

Oklahoma Office of the State Treasurer
Unclaimed Property Division
9520 N. May Ave., LL
Oklahoma City, OK. 73120
Tax ID: 73-6017987

Certificates Not DTC Eligible Must be Mail to:

Oklahoma Office of the State Treasurer
Unclaimed Property Division
9520 N May Ave., LL
Oklahoma City, OK. 73120

Federal Reserve Bank Delivery Instructions

Bank Name: BOK Tulsa/Trust

ABA: #103900036 (use receive code "Trust")

FBO: State of Oklahoma Unclaimed Property Account #71-8140-01-5

Direct Registration/Book-Entry Shares

Direct Registration/Book-Entry shares are no longer accepted by OST. These issues should be transferred via DTC per the DTC instructions herein. If the securities cannot be sent DTC, then refer to physical certificate instructions herein.

Dividend Reinvestment Plans

Shares of issues invested in Dividend Reinvestment plans should be delivered to Bank of Oklahoma via DTC per the DTC instructions provided herein. Whole shares only will be accepted. Any fractional shares should be liquidated and the proceeds sent to OST. Please provide Bank of Oklahoma a list via fax with the following information:

- *Delivery Broker's DTC ID*
- *Delivery Date*
- *Issue Name*
- *Issue CUSIP*
- *Share Quantity per Issue*
- *Identification that the Issue is part of a Dividend Reinvestment Program*
- *Verification that both Dividends and Capital Gains are to be Reinvested*

The dividends that are included on the OST Unclaimed Property report should be remitted to OST with the report. Dividends declared after the securities have been delivered to Bank of Oklahoma should be sent to Bank of Oklahoma. Please contact Robert Kindt with Bank of Oklahoma by phone at (405)272-2330 or by fax at (405)272-2365 for further information on security delivery. A report of securities delivered to OST's custodian **MUST** be filed with OST.

Mutual Funds

All mutual fund shares **MUST** be delivered to OST's custodian, Bank of Oklahoma. A list of all mutual funds being delivered **MUST** be first sent to OST's Custodian by fax or email at:

Fax – (405)272-2365

Email (copy to all): rkindt@bokf.com
[and ewalter@bokf.com](mailto:ewalter@bokf.com)

Please include the following information:

- Delivering Broker's contact information, including phone number
- Delivery Date
- Issue Name
- Issue CUSIP
- Issue Ticker
- Share Quantity per Issue

Bank of Oklahoma will contact the reporting entity regarding specific mutual fund delivery instructions after the receipt of the requirements provided herein.

If you have any questions, please contact Robb Knight by phone at 405-522-6759 or by fax at 405-522-6777.

Sincerely,

Kathy Janes
Unclaimed Property Administrator
Office of the State Treasurer

cc: Robert Kindt and Evan Walter
Bank of Oklahoma | Institutional Wealth
P O Box 24128
Oklahoma City, OK 73124