

RISK RETENTION GROUP CHECK LIST

Risk Retention Group: _____

Date of Registration: _____ **Reviewed by:** _____

Financial approval: _____

1. Certificate of Authority or Compliance from state of domicile, indicating lines of authority for which the company is licensed (pursuant to Title 36 O.S. §6455 (A) (1)) _____
2. Plan of Operations provided (pursuant to LRRRA §3902 (3) (d)-2-A&B) _____
3. Designation of Agent (pursuant to LRRRA §3902 (3)(a)-1-(D))
 - A. UCAA Consent to Service of Process form completed _____
 - B. Currently dated and signed by the President & Secretary _____
4. Financial Statements (pursuant to LRRRA §3902 (3)(d)-3-A&B)
 - A. Financial Statement as submitted to the state in which the group is chartered as an insurance company _____
 - B. Statement of Opinion on loss and loss adjustment expense (must be updated annually) _____
 - C. Certified by CPA and/or independent actuary annually _____
 - D. Actuarial certification of nationwide rates (updated annually) _____
5. Policy form (pursuant to LRRRA §3902 (3)(a)-1-I)
 - A. Provide a copy of the policy blanks reflecting the required Notice, pursuant to the Risk Retention Act, 15 USC §3902 And Title 36 O.S. §6455 (G) _____