



## OKLAHOMA DEPARTMENT OF INSURANCE ANNUAL STATEMENT FILINGS

### **General Information for Annual Statement Filings**

The Oklahoma Insurance Department no longer mails Annual Filing Instruction Packages to licensed insurers. Companies may obtain all necessary forms and filing information directly from our website at:

<http://www.ok.gov/oid>

At the top of our home page, go to Producer/Adjuster/Financial/2008 Annual Statement Filings. Click on "Financial Forms" and then click on the type of company to download the forms.

Companies may request a hard copy of the Annual Filing Instruction Packages by contacting the Financial Division of the Oklahoma Insurance Department at (405) 521-3966; by e-mail at the following address: [rachael.nalliah@oid.ok.gov](mailto:rachael.nalliah@oid.ok.gov); or by mail at:

Oklahoma Insurance Department  
Financial Division – Premium Tax  
2401 N.W. 23rd Street, Suite 28  
Oklahoma City, OK 73107

### **Things to Remember**

1. Pursuant to 36 O.S. §624(A)(2), a reduction in taxable premium will be allowed only for premiums paid by a county, city, town or school district or by their duly constituted authorities performing a public service. Premiums paid by other state agencies do not qualify.
2. No electronic filings are required or accepted for annual statements. Foreign companies are required to file a Jurat Page unless the Oklahoma Insurance Department issues a written request for the company to file hard copies. Domestic companies must file hard copies for both annual and quarterly filings.
3. Several credit lines were added to the premium tax return. Please note that there is a worksheet provided in the instructions for the Home Office Credit, Historic Rehabilitation Credit and Venture Capital Credit. This form can be e-mailed upon request in Excel format for use in calculating these credits. Each credit must be accompanied by proper documentation to receive the credit. Contact [rachael.nalliah@oid.ok.gov](mailto:rachael.nalliah@oid.ok.gov) for the Excel worksheet.
4. The Life and Health Guaranty Credit is given pursuant to 36 O.S. §2030(I). The Oklahoma Life Guaranty Association will provide each eligible company with a letter in December as to the amount of credit that can be taken on the annual return. If you feel there is an error in the computation of the company credit for this year, please contact the Oklahoma Life and Health Guaranty Association at (405) 272-9221. The Oklahoma Department of Insurance does not have jurisdiction over these credits.

5. The Property and Casualty Guaranty Credit is given pursuant to 36 O.S. §625.4(A). The Oklahoma Property and Casualty Guaranty Association will provide each eligible company with a letter in December as to the amount of credit that can be taken on the annual return. If you feel there is an error in the computation of the company credit for this year, please contact the Oklahoma Property and Casualty Guaranty Association at (405) 843-5454. The Oklahoma Department of Insurance does not have jurisdiction over these credits.
6. The state checklist is essential in completing and submitting all necessary state filings. Please include a copy of the checklist on top of each filing. The Oklahoma ID number must appear on each page of the filings (four-digit license number located on each license.) Forms must appear in the order stated on the checklist. Any additional submissions should be attached to the end of the filing packet.

Revised 12/08

**LIFE, ACCIDENT AND HEALTH INSURERS**

**COMPANY NAME:** \_\_\_\_\_ **NAIC Company Code:** \_\_\_\_\_  
**Contact:** \_\_\_\_\_ **Telephone:** \_\_\_\_\_

**REQUIRED FILINGS IN THE STATE OF:** OKLAHOMA **Filings Made During the Year 2009**

(1) Check-list	(2) Line #	(3) REQUIRED FILINGS FOR THE ABOVE STATE	(4) NUMBER OF COPIES*			(5) DUE DATE	(6) FORM SOURCE**	(7) APPLICABLE NOTES
			Domestic		Foreign			
			State	NAIC	State			
<b>I. NAIC FINANCIAL STATEMENTS</b>								
1		Annual Statement (8 1/2"x14")	1	EO	xxx	3/1	NAIC	L
1.1		Printed Investment Schedule detail (Pages E01-E27)	1	EO	xxx	3/1	NAIC	
2		Quarterly Financial Statement (8 1/2" x 14")	1	EO	xxx	5/15, 8/15, 11/15	NAIC	L
3		Separate Accounts Annual Statement (8 1/2"x14")	1	EO	xxx	3/1	NAIC	L
<b>II. NAIC SUPPLEMENTS</b>								
10		Accident & Health Policy Experience Exhibit	1	EO	xxx	4/1	NAIC	
11		Actuarial Certification Related Annuity Nonforfeiture Compliance	1	EO	xxx	3/1	Company	
12		Actuarial Opinion on X-Factors	1	EO	xxx	3/1	Company	
13		Actuarial Opinion on Separate Accounts Funding	1	EO	xxx	3/1	Company	
14		Actuarial Opinion on Synthetic Guaranteed Investment Contracts	1	EO	xxx	3/1	Company	
15		Credit Insurance Experience Exhibit	1	EO	xxx	4/1	NAIC	
16		Interest Sensitive Life Insurance Products Report	1	EO	xxx	4/1	NAIC	
17		Investment Risk Interrogatories	1	EO	xxx	4/1	NAIC	
18		Life, Health & Annuity Guaranty Assessment Base Reconciliation Exhibit	1	EO	xxx	4/1	NAIC	
19		Life, Health & Annuity Guaranty Assessment Base Reconciliation Exhibit Adjustment Form	1	EO	xxx	4/1	NAIC	
20		Long-term Care Experience Reporting Forms	1	EO	xxx	4/1	NAIC	
21		Management Discussion & Analysis	1	EO	xxx	4/1	Company	
22		Medicare Supplement Insurance Experience Exhibit	1	EO	xxx	3/1	NAIC	
23		Medicare Part D Coverage Supplement	1	EO	xxx	3/1, 5/15, 8/15, 11/15	NAIC	
24		Reasonableness of Assumptions Certification	1	EO	xxx	3/1,5/15, 8/15, 11/15	Company	
25		Reasonableness & Consistency of Assumptions Cert.	1	EO	xxx	3/1,5/15, 8/15, 11/15	Company	
26		Reasonableness of Assumptions Cert. for Implied Guaranteed Rate Method	1	EO	xxx	3/1,5/15, 8/15, 11/15	Company	
27		Reasonableness & Consistency of Assumptions Cert. (Updated Average Market Value)	1	EO	xxx	3/1,5/15, 8/15, 11/15	Company	
28		Reasonableness & Consistency of Assumptions Cert. (Updated Market Value)	1	EO	xxx	3/1,5/15, 8/15, 11/15	Company	
29		Risk-Based Capital Report	1	EO	N/A	3/1	NAIC	
30		RBC Certification required under C-3 Phase I	1	EO	N/A	3/1	Company	
31		RBC Certification required under C-3 Phase II	1	EO	N/A	3/1	Company	
32		Schedule SIS	1	N/A	N/A	3/1	NAIC	
33		Statement of Actuarial Opinion	1	EO	xxx	3/1	Company	
34		Statement on non-guaranteed elements - Exhibit 5 Int. #3	1	EO	xxx	3/1	Company	
35		Statement on par/non-par policies - Exhibit 5 Int. 1.1	1	EO	xxx	3/1	Company	
36		Supplemental Compensation Exhibit	1	N/A	N/A	3/1	NAIC	
37		Supplemental Schedule O	1	EO	xxx	3/1	NAIC	
38		Trusted Surplus Statement	1	EO	xxx	3/1, 5/15, 8/15, 11/15	NAIC	
39		Workers' Compensation Carve Out Supplement	1	EO	xxx	3/1	NAIC	
<b>III. ELECTRONIC FILING REQUIREMENTS</b>								
40		Annual Statement Electronic Filing	xxx	1	xxx	3/1	NAIC	
41		March .PDF Filing	xxx	1	xxx	3/1	NAIC	
42		Risk-Based Capital Electronic Filing	xxx	1	N/A	3/1	NAIC	
43		Risk-Based Capital .PDF Filing	xxx	1	N/A	3/1	NAIC	
44		Separate Accounts Electronic Filing	xxx	1	xxx	3/1	NAIC	
45		Separate Accounts .PDF Filing	xxx	1	xxx	3/1	NAIC	
46		Supplemental Electronic Filing	xxx	1	xxx	4/1	NAIC	
47		Supplemental .PDF Filing	xxx	1	xxx	4/1	NAIC	
48		Quarterly Statement Electronic Filing	xxx	1	xxx	5/15, 8/15, 11/15	NAIC	
49		Quarterly .PDF Filing	xxx	1	xxx	5/15, 8/15, 11/15	NAIC	
50		June .PDF Filing	xxx	1	xxx	6/1	NAIC	
<b>IV. AUDITED FINANCIAL STATEMENTS</b>								
61		Accountants Letter of Qualifications	1	N/A	N/A	6/1	Company	
62		Audited Financial Statements	1	EO	xxx	6/1	Company	
63		Audited Financial Statements Exemption Affidavit	1	N/A	N/A	12/1	Company	
64		Noted of change of Independent CPA	1	N/A	N/A	12/1	Company	
65		Notification of Adverse Financial Condition	1	N/A	N/A	Within 10 days of finding	Company	
66		Report of Significant Deficiencies in Internal Controls	1	N/A	N/A	6/1	Company	
67		Request for Exemption to File	1	N/A	N/A	12/1	Company	
<b>V. STATE REQUIRED FILINGS</b>								
101		Certificate of Compliance	0	0	1	3/1	State	
102		Certificate of Deposit	0	0	1	3/1,3/1	State	
103		Certificate of Valuation	0	0	1	3/1	State	
104		Filings Checklist (with Column 1 completed)	1	0	1	3/1	State	
105		Premium Tax Return with Payment Voucher including a copy of the State Page	1	0	1	3/1	State	B, M, N, P, Q
106		Jurat Page with Original (Wet) Signatures	1	0	1	3/1	State	L, O
107		Agreement and Application Form	1	0	1	3/1	NAIC	G, O
108		Certificate of Compliance of Advertisements	1	0	1	3/1	State	O
109		Publication Notice (only CO, GA, IN, ND, SD, and OH)	0	0	1	3/1	State	

110	Credit Life (Exhibit A)	1	0	1	3/1	State	
111	High Risk Pool	1	0	1	3/1	State	
112	Uniform Consent to Service of Process (with separate payment)	0	0	If Applicable	3/1	State	M
113	Annual Statement (Hard Copy format)	1	0	0	3/1	NAIC	G, L, N, O
114	Supplemental Filings	1	0	0	3/1	State	
115	Quarterly Estimated Premium Tax Payments with Payment Vouchers	1	0	1	4/15, 6/15, 9/15, 12/15	State	
116	Holding company registration (Form B & C) and fee	1	0	0	5/1	State	

**\*If XXX appears in this column, this state does not require this filing, if hard copy is filed with the state of domicile and if the data is filed electronically with the NAIC. If N/A appears in this column, the filing is required with the domiciliary state. EO (electronic only filing).**

**\*\*If Form Source is NAIC, the form should be obtained from the appropriate vendor.**

	<b>NOTES AND INSTRUCTIONS (A-K APPLY TO ALL FILINGS)</b>	
A	Required Filings Contact Person:	Financial Division – Premium Tax Division (405) 521-3966
B	Mailing Address:	B-1: Via U.S. Mail: Oklahoma Insurance Department Financial Division – Premium Tax P.O. Box 53408 Oklahoma City, OK 73152-3408 B-2: Via Courier: Oklahoma Insurance Department Financial Division – Premium Tax 2401 NW 23 <sup>rd</sup> Street, Suite 28 Oklahoma City, OK 73107
C	Mailing Address for Filing Fees:	Same as B.
D	Mailing Address for Premium Tax Payments:	Same as B. Payment must be attached to the Premium Tax Return with Voucher.
E	Delivery Instructions:	E-1: All filings are due on or before the dates indicated. E-2: Postmarks are acceptable. If the due date falls on a weekend or a holiday, the deadline is extended to the next business day. Metered mail must have a manually applied postmark to qualify as acceptable proof of mailing date.
F	Late Filings:	A penalty of the greater of \$250.00 or \$100.00 per day will be assessed for late filings. (36 O.S. §311.1(B)). Late tax payments will carry an additional penalty of 10% of the tax due plus 6% interest per annum until paid. (36 O.S. §630)
G	Original Signatures:	Original (wet) signatures are required on all documents requiring a signature.
H	Signature/Notarization/Certification:	<b>Domestic and Foreign:</b> Notarized signatures are required with the Company Seal on the Jurat Page of the Annual Statement.
I	Amended Filings:	Amended items must be filed within 10 days of their amendment, along with an explanation. Signature requirements are covered in H.
J	Exceptions from normal filings:	Foreign Companies must provide a written copy of any exemption or extension received from its State of domicile at least 10 days prior to the filing due date in order to receive such from Oklahoma. Domestic Companies must apply prior to December 1 to receive exemption.
K	Bar Codes (State or NAIC):	Follow the directions in the NAIC Annual Statement Instructions.
L	Signed Jurat:	The Jurat Page must be notarized, have the Company Seal affixed, and have original (wet) signatures.
M	NONE Filings:	“NONE” filings must be made. Failure to file a “NONE” document will be treated as a filing violation. The only exception is the Designation of Agent

			filing, which is only required if a change has occurred.
	N	Filings new, discontinued or modified materially since last year:	<p>N-1: <b>No electronic filings</b> are required or accepted for the Annual Statement.</p> <p>N-2: Foreign Companies are only required to send the Jurat Page. <b>Do Not send</b> a hard copy of the Annual Statement or Quarterly Statement filings unless there has been a specific written request by the Department.</p> <p>N-3: Several credit lines have been added to the Premium Tax return. Please note that there is a worksheet provided in the instructions for the Home Office, Venture Capital, and Historic Rehab Credit calculation. Each Credit must be accompanied by proper documentation to receive the credit.</p> <p>N-4: First (1<sup>st</sup>) quarter estimated payments will be <b>due on April 15<sup>th</sup></b>.</p> <p>N-5: Please place filings in the listed order of the checklist. A checklist was added to the filing packet to ensure inclusion of all documents required by the State of Oklahoma.</p> <p>N-6: The Jurat Page will replace the Affidavit of Filing for all Companies.</p>
	O	Company Seal:	<b>The Company Seal must be applied to the following documents for all Companies:</b> Jurat Page; Agreement and Application for License; and Certificate of Advertisement.
	P	State Business Page:	A copy of the State Page must accompany the Premium Tax Return. See checklist for placement in packet. If the State Business Page is "NONE", then mark and file the page as "NONE".
	Q	Payments of Licenses, Fees, and Taxes:	<p>Q-1: Premium Tax Return payments must be attached to the 1<sup>st</sup> page of the return along with the provided voucher. Include annual license fee, review fee, fire marshal tax, and retaliatory tax if applicable.</p> <p>Q-2: For late payment fees, see F – late Filings.</p>
	R	Premium Tax Forms:	Computer generated forms are acceptable as long as they are in the same format as Oklahoma's forms.
	S	Worksheets:	Worksheets are provided to aid in the calculation of Home Office Credit, Venture Capital Credit, and Historic Rehab Credit.

**General Instructions  
For Companies to Use Checklist**

**Please Note:** This state's instructions for companies to file with the NAIC are included in this Checklist. The NAIC will not be sending their own checklist this year.

**Electronic filing is intended to include filing via the Internet or via diskette with the NAIC. Companies that file with the NAIC via the Internet are not required to submit diskettes to the NAIC. Companies are not required to file hard copy filings with the NAIC.**

**Column (1) (Checklist)**

Companies may use the checklist to submit to a state, if the state requests it. Companies should copy the checklist and place an "x" in this column when mailing information to the state.

**Column (2) (Line #)**

Line # refers to a standard filing number used for easy reference. This line number may change from year to year.

**Column (3) (Required Filings)**

Name of item or form to be filed.

The *Annual Statement Electronic Filing* includes the annual statement data and all supplements due March 1, per the *Annual Statement Instructions*. This includes all detail investment schedules and other supplements for which the *Annual Statement Instructions exempt* printed detail.

The *March .PDF Filing* is the .pdf file for annual statement data, detail for investment schedules and supplements due March 1.

The *Risk-Based Capital Electronic Filing* includes all risk-based capital data.

The *Risk-Based Capital .PDF Filing* is the .pdf file for risk-based capital data.

The *Separate Accounts Electronic Filing* includes the separate accounts annual statement and investment schedule detail.

The *Separate Accounts .PDF Filing* is the .pdf file for the separate accounts annual statement and all investment schedule detail.

The *Supplemental Electronic Filing* includes all supplements due April 1, per the *Annual Statement Instructions*.

The *Supplement .PDF Filing* is the .pdf file for all supplemental schedules and exhibits due April 1.

The *Quarterly Electronic Filing* includes the quarterly statement data.

The *Quarterly .PDF Filing* is the .pdf for quarterly statement data.

The *June .PDF Filing* is the .pdf file for the Audited Financial Statements.

**Column (4) (Number of Copies)**

Indicates the number of copies that each foreign or domestic company is required to file for each type of form. The Blanks (E) Task Force modified the 1999 *Annual Statement Instructions* to waive paper filings of certain NAIC supplements and certain investment schedule detail, if such investment schedule data is available to the states via the NAIC database. The checklists reflect this action taken by the Blanks (EX4) Task Force. XXX appears in the "Number of Copies" "Foreign" column for the appropriate schedules and exhibits. **Some states have chosen to waive printed quarterly and annual statements from their foreign insurers and to rely upon the NAIC database for these filings. This waiver could include supplemental annual statement filings. The XXX in this column might signify that the state has waived the paper filing of the annual statement and all supplements.**

**Column (5) (Due Date)**

Indicates the date on which the company must file the form.

**Column (6) (Form Source)**

This column contains one of three words: “NAIC,” “State,” or “Company.” If this column contains “NAIC,” the company must obtain the forms from the appropriate vendor. If this column contains “State,” the state will provide the forms with the filing instructions. If this column contains “Company,” the company, or its representative (e.g., its CPA firm), is expected to provide the form based upon the appropriate state instructions or the NAIC *Annual Statement Instructions*.

**Column (7) (Applicable Notes)**

This column contains references to the Notes to the Instructions that apply to each item listed on the checklist. The company should carefully read these notes before submitting a filing.

Company Name: \_\_\_\_\_ OK ID# \_\_\_\_\_

**Oklahoma Department of Insurance  
Annual Filing Checklist  
Domestic Life Insurers**

This checklist provides a format for filings required by the Oklahoma Department of Insurance. Using this form will aid the insurer in completing all the requirements as instructed and in the order necessary for processing by the Department.

- \_\_\_\_\_ 1. Checklist completed.
- \_\_\_\_\_ 2. Premium Tax Return (with original signatures and notarized.)
- \_\_\_\_\_ 3. Voucher and Check attached to Return
- \_\_\_\_\_ 4. Copy of the State Page.
- \_\_\_\_\_ 5. Agreement and Agreement (with original signatures and seal.)
- \_\_\_\_\_ 6. Certificate of Compliance of Advertisement (with original signatures and seal.)
- \_\_\_\_\_ 7. Credit Life – **Exhibit A Only** (if none, state “None” on form and return.)
- \_\_\_\_\_ 8. Health Insurance High Risk Pool Form (if none, state “None” and return)
- \_\_\_\_\_ 9. Annual Statement Hard Copy with original signatures on the Jurat Page (refer to the NAIC checklist for all filings due March 1<sup>st</sup> with annual statement.)
- \_\_\_\_\_ 10. Supplemental Filings (refer to the NAIC checklist for all filings due March 1<sup>st</sup> with supplemental filings.)



**Oklahoma Insurance Department  
Domestic Life, Accident and Health  
Premium Tax Return Voucher  
Per 36 O.S. §629(A)**

**Due on or before  
March 1st, 2009**

Company Name \_\_\_\_\_

Oklahoma Lic # \_\_\_\_\_  
(4 digit #)

NAIC Number \_\_\_\_\_  
(5 digit #)

**Return To:** Oklahoma Insurance Department  
**Financial Division**  
P.O. Box 53408, 2401 N.W. 23rd, Suite 28  
Oklahoma City, OK 73152-3408

**A. Net premium tax liability**

Payment with return \$ \_\_\_\_\_  
1310280

**B. Annual Review Fee**

(All companies) \$ \_\_\_\_\_ 500.00  
Source 2461401

**C. Check Amount**

\$ \_\_\_\_\_  
Check No. \_\_\_\_\_  
Check Date \_\_\_\_\_

Rev. 12/08



Oklahoma ID# \_\_\_\_\_

INSURANCE COMMISSIONER  
STATE OF OKLAHOMA

## AGREEMENT AND APPLICATION FOR OKLAHOMA LICENSE

Whereas, the \_\_\_\_\_, (company) located in the city of \_\_\_\_\_ in the State of \_\_\_\_\_, hereby applies for license in the State of Oklahoma for the year \_\_\_\_\_ and agrees, under the signature of its President and Secretary, hereto attached, and the corporate seal of the said Company, that after receiving authority so to do from the Insurance Commissioner of the State of Oklahoma it will transact the lines of business, currently authorized, currently transacting, which the insurer is applying to transact as indicated below. In the State of Oklahoma, in accordance with the provisions of the laws of said State, and will pay such taxes and fees as may at any time be imposed by law or act of the Legislature, upon insurance companies engaged in the business herein enumerated.

IN WITNESS WHEREOF, We have hereto subscribed our names and affixed the corporate seal of the Company, this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_, President

\_\_\_\_\_, Secretary

(Seal)

Referring to Title 36, please check all that apply:

- |   |   |
|---|---|
| <input type="checkbox"/> Accident & Health (§703)                 | <input type="checkbox"/> Prepaid Dental (§6143)               |
| <input type="checkbox"/> Accredited Reinsurer (§5122)             | <input type="checkbox"/> Property (§704)                      |
| <input type="checkbox"/> Casualty (§707)                          | <input type="checkbox"/> Reciprocal (§2901)                   |
| <input type="checkbox"/> Chiropractic Services (§2691.1)          | <input type="checkbox"/> Risk Retention (§6454)               |
| <input type="checkbox"/> Dental Services (§2671)                  | <input type="checkbox"/> Surety (§708)                        |
| <input type="checkbox"/> Fraternal (§2727.1)                      | <input type="checkbox"/> Surplus Lines (§1101)                |
| <input type="checkbox"/> Health Maintenance Organizations (§6901) | <input type="checkbox"/> Title (§709)                         |
| <input type="checkbox"/> Hospital Services (§2601)                | <input type="checkbox"/> Variable Annuity (§§6061 & 6062)     |
| <input type="checkbox"/> Life (§702)                              | <input type="checkbox"/> Variable Life (§§6061 & 6062)        |
| <input type="checkbox"/> Lloyds (§3001)                           | <input type="checkbox"/> Vehicle (§706)                       |
| <input type="checkbox"/> Marine (§705)                            | <input type="checkbox"/> Workers Compensation (§§608 & 612.2) |
| <input type="checkbox"/> Optometric Services (§2651)              | <input type="checkbox"/> Other                                |

Revised 12/08



INSURANCE COMMISSIONER  
STATE OF OKLAHOMA

Oklahoma License # \_\_\_\_\_

**CERTIFICATE OF COMPLIANCE OF ADVERTISEMENTS**

**Pursuant to OAC §365:10-3-18(b) and §365:10-3-37(c)**

I hereby certify, to the best of my knowledge, information, and belief, that  
\_\_\_\_\_  
(Company), located at \_\_\_\_\_  
is in compliance with Oklahoma Administrative Code §§ 365: 10-3-18 and 10-3-37 with  
regard to advertising. All advertisements disseminated by the insurer during the  
preceding statement year complied, or were made to comply, in all respects with the  
provisions of the insurance laws of the State of Oklahoma as implemented by the rules  
and interpreted by the Insurance Commissioner.

Given under our hands and Seal of said Company this \_\_\_\_\_ day of \_\_\_\_\_,  
\_\_\_\_\_.

[SEAL]

\_\_\_\_\_  
Company Officer

\_\_\_\_\_  
Title



INSURANCE COMMISSIONER  
**State of Oklahoma**

**\* BULLETIN \***

TO: All Credit Insurers

FROM: Oklahoma Insurance Commissioner

DATE: October 2003

RE: Credit Insurance Compensation Affidavits

Pursuant to Rule 365:10-5-60-73(c) and (d), a notarized affidavit must be completed by **BOTH** credit insurers and its agents which declares upon oath that the insurer has not paid and the agent has not received more than 40% of the net written premiums on credit insurance. Enclosed herein are two affidavits that may be used by the insurer and its agents.

Exhibit A (Insurance Company Notarized Credit Insurance Affidavit), is to be signed by the President and mailed to the Oklahoma Insurance Commissioner **with the annual statement** as a single filing. These filings are due on or before the first day of March.

Exhibit B (Credit Insurance Agent Notarized Affidavit) is to be distributed to your agents. The agents are required to sign and return these affidavits to your company's office. The company will retain these records. They are **not** to be sent to the Insurance Commissioner's office.

Enclosures





**OKLAHOMA HEALTH INSURANCE HIGH RISK POOL**  
**2008 PREMIUM REPORTING FORM - TITLE 36 OS §§ 6531 et. seq.**

ALL property and casualty insurance companies, life insurance companies, reinsurers, health maintenance organizations (HMO's), preferred provider organizations (PPO's), prepaid health plans, fraternal benefit societies, and not-for-profit hospital service and medical indemnity plans writing accident and health premiums in Oklahoma must complete and attest to the following information. THIS FORM IS DUE WITH THE FILING OF THE ANNUAL STATUTORY STATEMENT FOR THE YEAR ENDED DECEMBER 31, 2008.

COMPANY NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

CONTACT PERSON \_\_\_\_\_

PHONE NUMBER (\_\_\_\_) \_\_\_\_\_ OK COMPANY LICENSE# \_\_\_\_\_

LINE	OKLA PREMIUM*	EXEMPT**	NET PREMIUM	COVERED LIVES***
Group Policies	_____	_____	_____	_____
Collectively Renewable	_____	_____	_____	_____
Non-cancelable	_____	_____	_____	_____
Guaranteed Renewable	_____	_____	_____	_____
Nonrenewable	_____	_____	_____	_____
Other Accident	_____	_____	_____	_____
All other A&H	_____	_____	_____	_____
<b>TOTALS</b>	_____	_____	_____	_____

**Please provide additional information on the type of policies any claim for exemption represents.**

**IMPORTANT NOTICE:** If this form is not returned by 3/1/09 with your annual filing, the A&H premiums from your state page will be used in all calculations to determine assessments under 36 OS § 6536.4 and 6539.

\*This is "Direct Written Premium". If your company filed a state page with Oklahoma, this amount must match the exhibit. **Note:** stop loss coverage for self-insured plans is included. **Please attach a letter providing information about the exemptions claimed, specifically the types of policies and the amounts for each type.**

\*\* Exempt policies are policies covering: short-term accidents only; fixed-indemnity; limited benefit; specified accident; specified disease; Medicare supplement; Medicare; long term care; limited benefit expense; medical payment or personal injury coverage in a motor vehicle policy; coverage issued as a supplement to liability insurance; disability; workers compensation (36 OS § 6532(8)). FEHB premiums (federal employees) are also exempt.

\*\*\* For informational purposes only. Include Oklahoma insureds including spouses and dependents. Do not include exempt policies and reinsurance coverage where the primary insurer is also reporting covered lives.

**Certification:** I, \_\_\_\_\_, as an appropriate officer of the above listed Oklahoma Insurance Company, do certify the above information as true and correct to the best of my knowledge.

**All inquires to:**

Frazier Farley, Mgr.

P.O. Box 50429

Midwest City, OK 73140-5429

(405) 741-8434 and fax #(405) 732-8953

\_\_\_\_\_

Officer

\_\_\_\_\_

Title

\_\_\_\_\_

Print Name/Date

**Oklahoma Insurance Department  
Life, Accident and Health Only  
Estimated Tax Voucher #1**

Per 36 O.S. §629(A)

**Due on or before  
April 15th, 2009**

Company Name \_\_\_\_\_

Oklahoma Lic #      NAIC Number  
(4 digit #)      (5 digit #)

Return To: Oklahoma Insurance Department  
Financial Division  
P.O. Box 53408, 2401 N.W. 23rd, Suite 28  
Oklahoma City, OK 73152-3408

A. Total prior year net tax liability  
(Per prior year tax return)  
(Section B, Line 6j) \$ \_\_\_\_\_

B. Total prior year applied to  
future tax (Line 8b of prior year) \$ \_\_\_\_\_

C. Amount of quarterly  
payment due 25% of (A) above \$ \_\_\_\_\_

D. Less portion allocated from  
(B) above \$ \_\_\_\_\_

E. Less credits used this quarter  
Type: \_\_\_\_\_ \$ \_\_\_\_\_

F. Balance due this quarter \$ \_\_\_\_\_

Check No. \_\_\_\_\_ Source No. 1310319  
Check Date \_\_\_\_\_

Rev. 12/08

**Oklahoma Insurance Department  
Life, Accident and Health Only  
Estimated Tax Voucher #2**

Per 36 O.S. §629(A)

**Due on or before  
June 15th, 2009**

Company Name \_\_\_\_\_

Oklahoma Lic #      NAIC Number  
(4 digit #)      (5 digit #)

Return To: Oklahoma Insurance Department  
Financial Division  
P.O. Box 53408, 2401 N.W. 23rd, Suite 28  
Oklahoma City, OK 73152-3408

A. Total prior year net tax liability  
(Per prior year tax return)  
(Section B, Line 6j) \$ \_\_\_\_\_

B. Total prior year applied to  
future tax (Line 8b of prior year) \$ \_\_\_\_\_

C. Amount of quarterly  
payment due 25% of (A) above \$ \_\_\_\_\_

D. Less portion allocated from  
(B) above \$ \_\_\_\_\_

E. Less credits used this quarter  
Type: \_\_\_\_\_ \$ \_\_\_\_\_

F. Balance due this quarter \$ \_\_\_\_\_

Check No. \_\_\_\_\_ Source No. 1310322  
Check Date \_\_\_\_\_

Rev. 12/08

**Oklahoma Insurance Department  
Life, Accident and Health Only  
Estimated Tax Voucher #3**

Per 36 O.S. §629(A)

**Due on or before  
September 15th, 2009**

Company Name \_\_\_\_\_

Oklahoma Lic #  
(4 digit #)

NAIC Number  
(5 digit #)

Return To: Oklahoma Insurance Department  
**Financial Division**  
P.O. Box 53408, 2401 N.W. 23rd, Suite 28  
Oklahoma City, OK 73152-3408

A. Total prior year net tax liability  
(Per prior year tax return)  
(Section B, Line 6j) \$ \_\_\_\_\_

B. Total prior year applied to  
future tax (Line 8b of prior year) \$ \_\_\_\_\_

C. Amount of quarterly  
payment due 25% of (A) above \$ \_\_\_\_\_

D. Less portion allocated from  
(B) above \$ \_\_\_\_\_  
Less credits used this quarter \_\_\_\_\_

E. Type: \_\_\_\_\_ \$ \_\_\_\_\_

F. Balance due this quarter \$ \_\_\_\_\_  
Check No. \_\_\_\_\_ Source No. 1310326  
Check Date \_\_\_\_\_

Rev. 12/08

**Oklahoma Insurance Department  
Life, Accident and Health Only  
Estimated Tax Voucher #4**

Per 36 O.S. §629(A)

**Due on or before  
December 15th, 2009**

Company Name \_\_\_\_\_

Oklahoma Lic #  
(4 digit #)

NAIC Number  
(5 digit #)

Return To: Oklahoma Insurance Department  
**Financial Division**  
P.O. Box 53408, 2401 N.W. 23rd, Suite 28  
Oklahoma City, OK 73152-3408

A. Total prior year net tax liability  
(Per prior year tax return)  
(Section B, Line 6j) \$ \_\_\_\_\_

B. Total prior year applied to  
future tax (Line 8b of prior year) \$ \_\_\_\_\_

C. Amount of quarterly  
payment due 25% of (A) above \$ \_\_\_\_\_

D. Less portion allocated from  
(B) above \$ \_\_\_\_\_

E. Less credits used this quarter  
Type: \_\_\_\_\_ \$ \_\_\_\_\_

F. Balance due this quarter \$ \_\_\_\_\_  
Check No. \_\_\_\_\_ Source No. 1310329  
Check Date \_\_\_\_\_

Rev. 12/08

**Oklahoma Department of Insurance - Calendar Year 2008**  
**Home Office, Venture Capital, and Historical Building Rehabilitation Credit Worksheet**

Please contact OID financial Division (405)521-3966  
for an emailed copy of spreadsheet.

	<b>Direct premiums =</b>		
Less Non-taxable premium:		<b>Credits</b>	
Premium public service authorities			
Federal crop, flood insurance			
	<b>Total non-taxable premium =</b>		
	<b>Taxable premium =</b>		
	<b>Tax rate =</b>		2.25%
	<b>Gross premium tax =</b>		
	<b>Pension allotment rate =</b>		0.571
	<b>Amount allocated to pension fund =</b>		
	<b>Amount allocated to general revenue =</b>	<b>Note A</b>	
<b>Home office credit information:</b>			
	# of Employees Full Time in Oklahoma		
	Per Statute 625.1 (A) or (B) allowable credit %		0%
	Home office credit against tax =	<b>Note A</b>	
	Tax liability remaining after regional H.O. credit =		
<b>Venture Capital &amp; Historic Rehab Credit Information:</b>			
	Percentage of general revenue allotment remaining =		100%
	Amount of general revenue allotment remaining =		
	Venture capital credit taken =	<b>Note A/B</b>	
	Tax liability remaining after venture capital credit =		
	Historic rehab credit allowable =		
	Historic rehab credit taken =	<b>Note A/B</b>	
	Tax liability remaining after historic rehab credit taken =		
	<b>Total Credit Taken</b>		

**Notes:**

- A - The sum of Home Office Credit, Venture Credit, and Historic Rehab Credit cannot be more than the amount allotted to the pension funds. This figure must be positive.
- B - Venture Credit may be carried forward 3 years and applied to future taxes, Historic Rehab Credit may be carried forward 10 years and applied to future taxes, but may not be refunded in cash.