



OKLAHOMA DEPARTMENT OF INSURANCE ANNUAL STATEMENT FILINGS

General Information for Annual Statement Filings

The Oklahoma Insurance Department no longer mails Annual Filing Instruction Packages to licensed insurers. Companies may obtain all necessary forms and filing information directly from our website at:

<http://www.oid.ok.gov>

At the top of our home page, go to Producer/Adjuster/Financial/2010 Annual Statement Filings. Click on "Financial Forms" and then click on the type of company to download the forms.

Companies may request a hard copy of the Annual Filing Instruction Packages by contacting the Financial Division of the Oklahoma Insurance Department at (405) 521-3966; by e-mail at the following address: kim.lopez@oid.ok.gov; or by mail at:

Oklahoma Insurance Department
Financial Division – Premium Tax Unit
Five Corporate Plaza
3625 N.W. 56th Street, Suite 100
Oklahoma City, OK 73112

Things to Remember

1. Pursuant to 36 O.S. §624(A)(2), a reduction in taxable premium will be allowed only for premiums paid by a county, city, town or school district or by their duly constituted authorities performing a public service. Premiums paid by other state agencies do not qualify.
2. No electronic filings are required or accepted for annual statements. Foreign companies are required to file a Jurat Page unless the Oklahoma Insurance Department issues a written request for the company to file hard copies. Domestic companies must file hard copies for both annual and quarterly filings.
3. Several credit lines were added to the premium tax return. Please note that there is a worksheet provided in the instructions for the Home Office Credit, Venture Capital Credit, and Historic Rehabilitation Credit. This form can be e-mailed upon request in Excel format for use in calculating these credits. Contact kim.lopez@oid.ok.gov for the Excel worksheet. Each credit must be accompanied by proper documentation to receive the credit and credits used on lines 6c thru 6i will need to be verified by two officers of the company.(See Form)
4. The Life and Health Guaranty Credit is given pursuant to 36 O.S. §2030(I). The Oklahoma Life Guaranty Association will provide each eligible company with a letter in December as to the amount of credit that can be taken on the annual return. If you feel there is an error in the computation of the company credit for this year, please contact the Oklahoma Life and Health Guaranty Association at (405) 272-9221. The Oklahoma Department of Insurance does not have jurisdiction over these credits.

5. The Property and Casualty Guaranty Credit is given pursuant to 36 O.S. §625.4(A). The Oklahoma Property and Casualty Guaranty Association will provide each eligible company with a letter in December as to the amount of credit that can be taken on the annual return. If you feel there is an error in the computation of the company credit for this year, please contact the Oklahoma Property and Casualty Guaranty Association at (405) 843-5454. The Oklahoma Department of Insurance does not have jurisdiction over these credits.
6. The state checklist is essential in completing and submitting all necessary state filings. Please include a copy of the checklist on top of each filing. The Oklahoma ID number must appear on each page of the filings (four-digit license number located on each license.) Forms must appear in the order stated on the checklist. Any additional submissions should be attached to the end of the filing packet.

FRATERNAL SOCIETIES

COMPANY NAME: _____ NAIC Company Code: _____

Contact: _____ Telephone: _____

REQUIRED FILINGS IN THE STATE OF: Oklahoma Filings Made During the Year 2011

(1) Check-list	(2) Line #	(3) REQUIRED FILINGS FOR THE ABOVE STATE	(4) NUMBER OF COPIES*			(5) DUE DATE	(6) FORM SOURCE**	(7) APPLICABLE NOTES
			Domestic		Foreign			
			State	NAIC	State			
		I. NAIC FINANCIAL STATEMENTS						
	1	Annual Statement (8 1/2"x14")	1	EO	xxx	3/1	NAIC	L
	1.1	Printed Investment Schedule detail (Pages E01-E27)	1	EO	xxx	3/1	NAIC	
	2	Quarterly Financial Statement (8 1/2" x 14")	1	EO	xxx	5/15, 8/15, 11/15	NAIC	L
	3	Separate Accounts Annual Statement (8 1/2"x 14")	1	EO	xxx	3/1	NAIC	L
		II. NAIC SUPPLEMENTS						
	10	Accident & Health Policy Experience Exhibit	1	EO	xxx	4/1	NAIC	
	11	Actuarial Certification Related Annuity Nonforfeiture Ongoing Compliance for Equity Indexed Annuities	1	EO	xxx	3/1	Company	
	12	Actuarial Certifications Related to Hedging required by Actuarial Guideline XLIII	1	EO	xxx	3/1	Company	
	13	Actuarial Certification Related to Reserves required by Actuarial Guideline XLIII	1	EO	xxx	3/1	Company	
	14	Actuarial Opinion	1	EO	xxx	3/1	Company	
	15	Actuarial Opinion on X-Factors	1	EO	xxx	3/1	Company	
	16	Actuarial Opinion on Separate Accounts Funding Guaranteed Minimum Benefit	1	EO	xxx	3/1	Company	
	17	Actuarial Opinion on Synthetic Guaranteed Investment Contracts	1	EO	xxx	3/1	Company	
	18	Actuarial Opinion required by Modified Guaranteed Annuity Model Regulation	1	EO	xxx	3/1	Company	
	19	Analysis of Annuity Operations by Lines of Business	1	EO	xxx	4/1	NAIC	
	20	Analysis of Increase in Annuity Reserves During Year	1	EO	xxx	4/1	NAIC	
	21	Financial Officer Certification Related to Clearly Defined Hedging Strategy required by Actuarial Guideline XLIII	1	EO	xxx	3/1	Company	
	22	Health Care Exhibit (Parts 1, 2 and 3) Supplement	1	EO	xxx	4/1	NAIC	
	23	Health Care Exhibit's Allocation Report Supplement	1	EO	xxx	4/1	NAIC	
	24	Interest Sensitive Life Insurance Products Report	1	EO	xxx	4/1	NAIC	
	25	Investment Risk Interrogatories	1	EO	xxx	4/1	NAIC	
	26	Long-term Care Experience Reporting Forms	1	EO	xxx	4/1	NAIC	
	27	Management Certification that the Valuation Reflects Management's Intent required by Actuarial Guideline XLIII	1	EO	xxx	3/1	Company	
	28	Management Discussion & Analysis	1	EO	xxx	4/1	Company	
	29	Medicare Supplement Insurance Experience Exhibit	1	EO	xxx	3/1	NAIC	
	30	Medicare Part D Coverage Supplement	1	EO	xxx	3/1, 5/15, 8/15, 11/15	NAIC	
	31	Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV	1	EO	xxx	3/1, 5/15, 8/15, 11/15	Company	
	32	Reasonableness & Consistency of Assumptions Certification required by Actuarial Guideline XXXV	1	EO	xxx	3/1, 5/15, 8/15, 11/15	Company	
	33	Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI	1	EO	xxx	3/1, 5/15, 8/15, 11/15	Company	
	34	Reasonableness & Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value)	1	EO	xxx	3/1, 5/15, 8/15, 11/15	Company	
	35	Reasonableness & Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value)	1	EO	xxx	3/1, 5/15, 8/15, 11/15	Company	
	36	Risk-Based Capital Report	1	N/A	xxx	3/1	NAIC	
	37	RBC Certification required under C-3 Phase I	1	N/A	xxx	3/1	Company	
	38	RBC Certification required under C-3 Phase II	1	N/A	xxx	3/1	Company	
	39	Statement on non-guaranteed elements – Exhibit 5 Int. #3	1	EO	xxx	3/1	Company	
	40	Statement on participating/non-participating policies – Exhibit 5, Inter. #1&2	1	EO	xxx	3/1		
	41	Supplemental Compensation Exhibit	1	N/A	N/A	3/1	NAIC	
	42	Trusted Surplus Statement	1	EO	xxx	3/1, 5/15, 8/15, 11/15	NAIC	
		III. ELECTRONIC FILING REQUIREMENTS						
	50	Annual Statement Electronic Filing	xxx	1	xxx	3/1	NAIC	
	51	March .PDF Filing	xxx	1	xxx	3/1	NAIC	
	52	Separate Accounts Electronic Filing	xxx	1	xxx	3/1	NAIC	
	53	Separate Accounts .PDF Filing	xxx	1	xxx	3/1	NAIC	
	54	Supplemental Electronic Filing	xxx	1	xxx	4/1	NAIC	
	55	Supplemental .PDF Filing	xxx	1	xxx	4/1	NAIC	
	56	Quarterly Statement Electronic Filing	xxx	1	xxx	5/15, 8/15 & 11/15	NAIC	
	57	Quarterly .PDF Filing	xxx	1	xxx	5/15, 8/15 & 11/15	NAIC	
	58	June .PDF Filing	xxx	1	xxx	6/1	NAIC	

IV. AUDIT/INTERNAL CONTROL RELATED REPORTS							
71	Accountants Letter of Qualifications	1	EO	N/A	6/1	Company	
72	Audited Financial Reports	1	EO	xxx	6/1	Company	
73	Audited Financial Reports Exemption Affidavit	1	N/A	N/A	12/1	Company	
74	Communication of Internal Control Related Matters Noted in Audit		N/A	N/A	6/1	Company	
75	Independent CPA (change)	1	N/A	N/A		Company	
76	Management's Report of Internal Control Over Financial Reporting	1	N/A	N/A	6/1	Company	
77	Notification of Adverse Financial Condition	1	N/A	N/A	Within 10 days of finding	Company	
78	Report of Significant Deficiencies in Internal Controls	1	N/A	N/A	6/1	Company	
79	Request for Exemption to File	1	N/A	N/A	12/1	Company	
V. STATE REQUIRED FILINGS							
101	Certificate of Compliance	0	0	1	3/1	State	
102	Certificate of Deposit	0	0	1	3/1	State	
103	Certificate of Valuation	0	0	1	3/1	State	
104	Filings Checklist (with Column I completed)	1	0	1	3/1	State	
105	Premium Tax return with Payment Voucher including a copy of the State Page (OPTins available)	1	0	1	3/1	State	B,M,O,P, R
106	Jurat Page with Original (wet) Signatures	1	0	1	3/1	State	G,L,N
107	Agreement and Application Form	1	0	1	3/1	State	G,N
108	Certificate of Compliance of Advertisements	1	0	1	3/1	State	N
109	Publication Notice (only CO, GA, IN, ND, SD, and OH)	0	0	1	3/1	State	
110	Uniform Consent to Service of Process (with separate payment)	0	0	If Applicable	3/1	State	G
111	Supplemental Filings	1	0	0	3/1	State	
112	Quarterly Estimated Premium Tax Payments with Payment Vouchers (OPTins available)	1	0	1	4/15,6/15,9/15,12/15	State	R
113	Holding Company Registration (Form B & C) and fee	1	0	0	5/1	State	S
114	Credit Life (Exhibit A)	1	0	1	3/1	State	
115	High Risk Pool	1	0	1	3/1	State	

*If XXX appears in this column, this state does not require this filing, if hard copy is filed with the state of domicile and if the data is filed electronically with the NAIC. If N/A appears in this column, the filing is required with the domiciliary state. EO (electronic only filing).

**If Form Source is NAIC, the form should be obtained from the appropriate vendor.

NOTES AND INSTRUCTIONS (A-K APPLY TO ALL FILINGS)		
A	Required Filings Contact Person:	Financial Division – Premium Tax Division (405) 521-3966
B	Mailing Address: NOTE: NEW ADDRESS	Via U.S. Mail or Courier: Oklahoma Insurance Department Financial Division – Premium Tax 5 Corporate Plaza 3625 NW 56 th St., Suite 100 Oklahoma City, OK 73112
C	Mailing Address for Filing Fees:	Same as B.
D	Mailing Address for Premium Tax Payments:	Same as B.
E	Delivery Instructions:	E-1: All filings are due on or before the dates indicated. E-2: Postmarks are acceptable. If the due date falls on a weekend or a holiday, the deadline is extended to the next business day. Metered mail must have a manually applied postmark to qualify as acceptable proof of mailing date.
F	Late Filings:	A penalty of the greater of \$250.00 or \$100.00 per day will be assessed for late filings. (36 O.S. §311.1(B)). Late tax payments will carry an additional penalty of 10% of the tax due plus 6% interest per annum until paid. (36 O.S. §630)
G	Original Signatures:	Original (wet) signatures are required on all documents requiring a signature.
H	Signature/Notarization/Certification:	Domestic insurers: Notarized signatures are required with the Company Seal on the Jurat Page of the Annual and Quarter Statements.
I	Amended Filings:	Amended items must be filed within 10 days of their amendment, along with an explanation. Signature requirements are covered in H. Electronic filings of the corrections must be filed with NAIC.
J	Exceptions from normal filings:	Foreign Companies must provide a written copy of any exemption or extension received from its State of Domicile at least 10 days prior to the filing due date in order to receive such from Oklahoma. Domestic Companies must apply prior to December 1 to receive exemption.
K	Bar Codes (State or NAIC):	Follow the directions in the NAIC Annual Statement Instructions.
L	Signed Jurat:	The Jurat Page must be notarized, have the Company Seal affixed, and have original (wet) signatures.
M	NONE Filings:	“NONE” filings must be made. Failure to file a “NONE” documents will be treated as a filing violation. The only exception is the Designation of Agent filing, which is only required if a change has occurred.
N	Company Seal:	The Company Seal must be applied to the following documents for all Companies: Jurat Page; Agreement and Application for License; and , Certificate of Advertisement.
O	State Business Page:	A copy of the State Business Page must accompany the Premium Tax Return. See checklist for placement in packet. If the State Business Page is “NONE”, then mark and file the page as “NONE”.
P	Payments of Licenses, Fees, and Taxes:	P-1: Premium Tax Return payments must be attached to the 1 st page of the return along with the provided voucher. Include annual license fee, review fee, fire marshal tax, and retaliatory tax if applicable. (OPTins available) P-2: For late payment fee, see F above.
Q	Premium Tax Forms:	Computer generated forms are acceptable as long as they are in the same format as Oklahoma’s forms. (OPTins available)
R	Worksheets:	Worksheets are provided to aid in the calculation of Home Office Credit, Venture Capital Credit,

			and Historic Rehabilitation Credit.
	S	Holding Company Filings	<p>ALL Holding Company filings <u>must</u> be filed electronically in PDF format in addition to the hard copy filing. This applies to Forms A, B, C, D, E, R, including all supplements/attachments thereto. The filings should be emailed to: HCAFilings@oid.ok.gov (The OID firewall limits total email size to 10MB or less. Use of secured website mail is not acceptable.)</p>
	T	Regulatory Asset Adequacy Issue Summary (RAAIS):	<p>RAAIS filings <u>must</u> be filed electronically in PDF format as an attachment to an email addressed to: HCAFilings@oid.ok.gov (The OID firewall limits total email size to 10MB or less. Use of secured website mail is not acceptable. Hard copy filings not needed.)</p>

**General Instructions
For Companies to Use Checklist**

Please Note: This state's instructions for companies to file with the NAIC are included in this Checklist. The NAIC will not be sending their own checklist this year.

Electronic filing is intended to include filing via the Internet or filing via diskette with the NAIC. Companies that file with the NAIC via the Internet are not required to submit diskettes to the NAIC. Companies are not required to file hard copy filings with the NAIC.

Column (1) (Checklist)

Companies may use the checklist to submit to a state, if the state requests it. Companies should copy the checklist and place an "x" in this column when mailing information to the state.

Column (2) (Line #)

Line # refers to a standard filing number used for easy reference. This line number may change from year to year.

Column (3) (Required Filings)

Name of item or form to be filed.

The **Annual Statement Electronic Filing** includes the annual statement data and all supplements due March 1, per the *Annual Statement Instructions*. This includes all detail investments schedules and other supplements for which the *Annual Statement Instructions* exempt printed detail.

The **March .PDF Filing** is .pdf files for annual statement data, detail for investment schedules and supplements due March 1.

The **Separate Accounts Electronic Filing** includes the separate accounts annual statement and investment schedule detail.

The **Separate Accounts .PDF Filing** is the .pdf file for the separate accounts annual statement and investment schedule detail.

The **Supplemental Electronic Filing** includes all supplements due April 1, per the *Annual Statement Instructions*.

The **Supplemental .PDF Filing** is the .pdf file for all supplements due April 1.

The **Quarterly Electronic Filing** includes the quarterly statement data.

The **Quarterly .PDF Filing** is the .pdf for quarterly statement data.

The **June .PDF Filing** is the .pdf file for the Audited Financial Statements and Accountants Letter of Qualifications.

Column (4) (Number of Copies)

Indicates the number of copies that each foreign or domestic company is required to file for each type of form. The Blanks (E) Task Force modified the 1999 *Annual Statement Instructions* to waive paper filings of certain NAIC supplements and certain investment schedule detail, if such investment schedule data is available to the states via the NAIC database. The checklists reflect this action taken by the Blanks (E) Task Force. XXX appears in the "Number of Copies" "Foreign" column for the appropriate schedules and exhibits. **Some states have chosen to waive printed quarterly and annual statements from their foreign insurers and to rely upon the NAIC database for these filings. This waiver could include supplemental annual statement filings. The XXX in this column might signify that the state has waived the paper filing of the annual statement and all supplements.**

Column (5) (Due Date)

Indicates the date on which the company must file the form.

Column (6) (Form Source)

This column contains one of three words: "NAIC," "State," or "Company." If this column contains "NAIC," the company must obtain the forms from the appropriate vendor. If this column contains "State," the state will provide the forms with the filing instructions (generally, on its website). If this column contains "Company," the company, or its representative (e.g., its CPA firm), is expected to provide the form based upon the appropriate state instructions or the NAIC *Annual Statement Instructions*.

Column (7) (Applicable Notes)

This column contains references to the Notes to the Instructions that apply to each item listed on the checklist. The company should carefully read these notes before submitting a filing.



Oklahoma Insurance Department
Domestic Fraternal Societies Checklist

- _____ 1. Checklist
- _____ 2. Premium Tax Form with Voucher and Check for \$500.00 attached
- _____ 3. Copy of State Page
- _____ 4. Agreement and Application (with original signatures and seal)
- _____ 5. Certificate of Compliance of Advertisements (with original signatures and seal)
- _____ 6. High Risk Form (if none, indicate "None" and return form)
- _____ 7. Annual Statement (Hard Copy Format – see NAIC listing for complete detail on required filings)
- _____ 8. Supplemental Filings (See NAIC listing for complete detail on Required filings)

Revised 11/10



Annual Premium Tax Form
Oklahoma Insurance Department

For the year ending December 31, 2010

Check appropriate box:

Original Filing Amended Filing

Due March 1, 2011 to:

Oklahoma Insurance Department
Financial Division-Premium Tax Unit
3625 N.W. 56th Street, Suite 100
Oklahoma City, Oklahoma 73112

Section A: Domestic Fraternal Company Information

Company Name, Oklahoma License #, NAIC #, Address(1), Address(2), Domicile, City, State, Zip Code, Preparer's Name and Phone #

Section B: Tax Liability and Fee Computation

Table with columns for description and Oklahoma Basis. Rows include Exemption from taxation, Direct Written Premium, Premium Credits, Taxable Premium, Premium Tax Liability, Credits (6a-6j), Net Premium Tax Liability, Prior Year Overpayment, Current Year Prepayment, Total Prepayments, Premium Tax Due, Amount to be refunded, Premium tax liability due carryforward, Annual Statement Review Fee, Total Taxes and Fees.

Attach voucher and check here

This is to be the amount of the check enclosed. Check cannot be less than the total of lines 8c through 12.
Line 8a or 8b may not be used as a deduction for lines 9 through 12.

Section C: Notary Certificate

By signing below, I certify that I have reviewed this filing and the information contained herein. I further certify that the information contained herein is correct and complete, to the best of my knowledge.

President (Signature) Secretary (Signature)

President (Type or Print) Secretary (Type or Print)

Signed and sworn to before me by the President and Secretary of

on State of: County of:

Notary (Signature) Notary Public. My Commission Expires

[SEAL]

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1 Provide supporting documentation for these deductions. If supporting documentation is inadequate, the deductions will be disallowed for premium tax purposes (certain credits should be verified by two officers see instructions)

2 PSA credits shall only be allowed for premiums or fees paid by any county, city, town or school district funds or by their duly constituted authorities performing a public service

**Oklahoma Insurance Department
Domestic Fraternal
Premium Tax Return Voucher
Per 36 O.S. §629(A)**

**Due on or before
March 1st, 2011**

Company Name _____

Oklahoma Lic # _____
(4 digit #)

NAIC Number _____
(5 digit #)

Return To: Oklahoma Insurance Department
Financial Division
3625 N.W. 56th Street, Suite 100
Oklahoma City, OK 73112

A. Annual Review Fee
payment with return \$ 500.00
Source 2461401

C. Check Amount \$ 500.00
Check No. _____
Check Date _____



Oklahoma ID# _____

INSURANCE COMMISSIONER
STATE OF OKLAHOMA

AGREEMENT AND APPLICATION FOR OKLAHOMA LICENSE

Whereas, the _____, (company) located in the city of _____ in the State of _____, hereby applies for license in the State of Oklahoma for the year _____ and agrees, under the signature of its President and Secretary, hereto attached, and the corporate seal of the said Company, that after receiving authority so to do from the Insurance Commissioner of the State of Oklahoma it will transact the lines of business, currently authorized, currently transacting, which the insurer is applying to transact as indicated below. In the State of Oklahoma, in accordance with the provisions of the laws of said State, and will pay such taxes and fees as may at any time be imposed by law or act of the Legislature, upon insurance companies engaged in the business herein enumerated.

IN WITNESS WHEREOF, We have hereto subscribed our names and affixed the corporate seal of the Company, this _____ day of _____, 20_____.

_____, President

_____, Secretary

(Seal)

Referring to Title 36, please check all that apply:

- | | |
|---|---|
| <input type="checkbox"/> Accident & Health (§703) | <input type="checkbox"/> Prepaid Dental (§6143) |
| <input type="checkbox"/> Accredited Reinsurer (§5122) | <input type="checkbox"/> Property (§704) |
| <input type="checkbox"/> Casualty (§707) | <input type="checkbox"/> Reciprocal (§2901) |
| <input type="checkbox"/> Chiropractic Services (§2691.1) | <input type="checkbox"/> Risk Retention (§6454) |
| <input type="checkbox"/> Dental Services (§2671) | <input type="checkbox"/> Surety (§708) |
| <input type="checkbox"/> Fraternal (§2727.1) | <input type="checkbox"/> Surplus Lines (§1101) |
| <input type="checkbox"/> Health Maintenance Organizations (§6901) | <input type="checkbox"/> Title (§709) |
| <input type="checkbox"/> Hospital Services (§2601) | <input type="checkbox"/> Variable Annuity (§§6061 & 6062) |
| <input type="checkbox"/> Life (§702) | <input type="checkbox"/> Variable Life (§§6061 & 6062) |
| <input type="checkbox"/> Lloyds (§3001) | <input type="checkbox"/> Vehicle (§706) |
| <input type="checkbox"/> Marine (§705) | <input type="checkbox"/> Workers Compensation (§§608 & 612.2) |
| <input type="checkbox"/> Optometric Services (§2651) | <input type="checkbox"/> Other |

Revised 11/10



INSURANCE COMMISSIONER
STATE OF OKLAHOMA

Oklahoma License # _____

CERTIFICATE OF COMPLIANCE OF ADVERTISEMENTS

Pursuant to OAC §365:10-3-18(b) and §365:10-3-37(c)

I hereby certify, to the best of my knowledge, information, and belief, that _____ (*Company*), located at _____ is in compliance with Oklahoma Administrative Code §§ 365: 10-3-18 and 10-3-37 with regard to advertising. All advertisements disseminated by the insurer during the preceding statement year complied, or were made to comply, in all respects with the provisions of the insurance laws of the State of Oklahoma as implemented by the rules and interpreted by the Insurance Commissioner.

Given under our hands and Seal of said Company this _____ day of _____, _____.

[SEAL]

Company Officer

Title

OKLAHOMA HEALTH INSURANCE HIGH RISK POOL
2010 PREMIUM REPORTING FORM - TITLE 36 OS §§ 6531 et. seq.

ALL property and casualty insurance companies, life insurance companies, reinsurers, health maintenance organizations (HMO's), preferred provider organizations (PPO's), prepaid health plans, fraternal benefit societies, and not-for-profit hospital service and medical indemnity plans writing accident and health premiums in Oklahoma must complete and attest to the following information. THIS FORM IS DUE WITH THE FILING OF THE ANNUAL STATUTORY STATEMENT FOR THE YEAR ENDED DECEMBER 31, 2010.

COMPANY NAME _____

ADDRESS _____

CONTACT PERSON _____

PHONE NUMBER (____) _____ OK COMPANY LICENSE# _____

LINE	OKLA PREMIUM*	EXEMPT**	NET PREMIUM	COVERED LIVES***
Group Policies	_____	_____	_____	_____
Collectively Renewable	_____	_____	_____	_____
Non-cancelable	_____	_____	_____	_____
Guaranteed Renewable	_____	_____	_____	_____
Nonrenewable	_____	_____	_____	_____
Other Accident	_____	_____	_____	_____
All other A&H	_____	_____	_____	_____
TOTALS	_____	_____	_____	_____

Please provide additional information on the type of policies any claim for exemption represents.

IMPORTANT NOTICE: If this form is not returned by 3/1/11 with your annual filing, the A&H premiums from your state page will be used in all calculations to determine assessments under 36 OS § 6536.4 and 6539.

*This is "Direct Written Premium". If your company filed a state page with Oklahoma, this amount must match the exhibit. **Note:** stop loss coverage for self-insured plans is included. **Please attach a letter providing information about the exemptions claimed, specifically the types of policies and the amounts for each type.**

** Exempt policies are policies covering: short-term accidents only; fixed-indemnity; limited benefit; specified accident; specified disease; Medicare supplement; Medicare; long term care; limited benefit expense; medical payment or personal injury coverage in a motor vehicle policy; coverage issued as a supplement to liability insurance; disability; workers compensation (36 OS § 6532(8)). FEHB premiums (federal employees) are also exempt.

*** For informational purposes only. Include Oklahoma insureds including spouses and dependents. Do not include exempt policies and reinsurance coverage where the primary insurer is also reporting covered lives.

Certification: I, _____, as an appropriate officer of the above listed Oklahoma Insurance Company, do certify the above information as true and correct to the best of my knowledge.

All inquires to:

Frazier Farley, Mgr.

P.O. Box 50429

Midwest City, OK 73140-5429

(405) 741-8434 and fax #(405) 732-8953

Officer

Title

Print Name/Date

Oklahoma Department of Insurance - Calendar Year 2010
Home Office, Venture Capital, and Historical Building Rehabilitation Credit Worksheet

Please contact OID financial Division (405)521-3966
for an emailed copy of spreadsheet.

	Direct premiums =		
Less Non-taxable premium:		Credits	
Premium public service authorities			
Federal crop, flood insurance			
	Total non-taxable premium =		0
	Taxable premium =		0
	Tax rate =		2.25%
	Gross premium tax =		0
	Pension allotment rate =		0.53
	Amount allocated to pension fund =		0
	Amount allocated to general revenue =	Note A	0
Home office credit information:			
	# of Employees Full Time in Oklahoma		
	Per Statute 625.1 (A) or (B) allowable credit %		0%
	Home office credit against tax =	Note A	0
	Tax liability remaining after regional H.O. credit =		0
Venture Capital & Historic Rehab Credit Information:			
	Percentage of general revenue allotment remaining =		100%
	Amount of general revenue allotment remaining =		0
	Venture capital credit taken =	Note A/B	0
	Tax liability remaining after venture capital credit =		0
	Historic rehab credit allowable =		0
	Historic rehab credit taken =	Note A/B	0
	Tax liability remaining after historic rehab credit taken =		0
	Total Credit Taken		0

Notes:

- A - The sum of Home Office Credit, Venture Credit, and Historic Rehab Credit cannot be more than the amount allotted to the pension funds. This figure must be positive.
- B - Venture Credit may be carried forward 3 years and applied to future taxes, Historic Rehab Credit may be carried forward 10 years and applied to future taxes, but may not be refunded in cash.