



INSURANCE COMMISSIONER
State of Oklahoma

September 6, 2011

Alan Edward Kuzma
829 Mulder Drive.
Lincoln, NE 68510

Re: Case No. 11-0882-DEN
State v. Alan Edward Kuzma

Dear Mr. Kuzma:

Enclosed find Consent Orders drafted as a voluntary settlement to the above referenced case.

The terms of the Consent Orders call for a fine in the amount of Two Hundred Fifty and No/100 Dollars(\$250.00). Fine to be paid immediately. Please review the Order, if you find it acceptable, please sign on the approved line and return to this office as soon as possible. This file will remain opened until I receive this Order. Any objection must be made within ten (10) days of receipt of this Order.

Thank you for your cooperation. If you have any questions, do not hesitate to contact me.

Sincerely,

A handwritten signature in black ink that reads "Julie Meaders". The signature is written in a cursive style.

Julie Meaders
General Counsel

/mt

**BEFORE THE INSURANCE COMMISSIONER OF THE
STATE OF OKLAHOMA**

STATE OF OKLAHOMA, ex rel. JOHN)	
D. DOAK, Insurance Commissioner,)	
)	
)	
Petitioner,)	
)	
v.)	Case No. 11-0882-DEN
)	
ALAN EDWARD KUZMA,)	
)	
Respondent.)	

CONSENT ORDER

The State of Oklahoma, ex rel. John D. Doak, Insurance Commissioner, and the Respondent, Alan Edward Kuzma, stipulate to the following facts and applicable laws. The parties consent to the entry of this Order.

JURISDICTION AND AUTHORITY

1. The Insurance Commissioner is charged with the duty of administration and enforcement of the Oklahoma Insurance Code, 36 O.S. § 101 et seq., including the Oklahoma Producer Licensing Act, 36 O.S. § 1435.1 et seq.
2. The Insurance Commissioner may censure, suspend, revoke or refuse to issue or renew a producer license and or may levy a civil fine up to \$1,000.00 for each violation of the Oklahoma Insurance Code. 36 O.S. § 1435.13.
3. Respondent has been apprised of his rights including the right to a public hearing and has knowingly and freely waived said rights and enters into this Consent Order as a voluntary settlement to the issues and questions raised in the above captioned case.

STIPULATION OF FACTS

1. Respondent submitted an electronic application to the Oklahoma Insurance Department for a non-resident producer license. The application asks the question "Have you ever been named or involved as a party in an administrative proceeding regarding any professional license?" Respondent answered the question "no".

2. A background check by the Licensing Division revealed that Respondent received a twenty-day suspension and a \$5,000.00 fine from the National Association of Securities Dealers ("NASD") on June 14, 2007 for failing to inform his member firm or obtain the firm's approval regarding invitations he used for a financial services workshop.

3. Respondent's failure to answer the question correctly was due to oversight.

AGREED CONCLUSIONS OF LAW

1. Respondent is in violation of 36 O.S. § 1435.13(A)(1); providing incorrect, misleading, incomplete or materially untrue information in the license application.

ORDER AND CONSENT

IT IS THEREFORE ORDERED by the Insurance Commissioner and **CONSENTED** to by the Respondent that a \$250.00 civil fine is imposed against the Respondent.

2. The \$250.00 civil fine shall be paid by money order or cashier's made payable to the Oklahoma Insurance Department.

3. Upon execution of this Consent Order and payment of the \$250.00 fine, Respondent's non-resident producer license will be issued.

WITNESS My Hand and Official Seal on this _____ day of September, 2011.

LEAMON FREEMAN
HEARING EXAMINER

VERIFICATION AND CONSENT

I, Alan Edward Kuzma, the Respondent, state:

I have read this Consent Order. The contents and facts set forth in the order are true to the best of my knowledge. I consent to the entry of the order by the Insurance Commissioner and I waive my right to appeal this order.

ALAN EDWARD KUZMA

STATE OF _____
COUNTY OF _____

This instrument was acknowledged before me on _____ by
ALAN EDWARD KUZMA

Seal

Signature of Notarial Officer

Title

My commission expires:

APPROVED:

Julie Meaders
Assistant General Counsel
Oklahoma Insurance Department

CERTIFICATE OF SERVICE

On September 6, 2011, a copy of the attached order was sent via electronic mail and by certified mail, return receipt requested, to:

Alan Edward Kuzma
829 Mulder Drive
Lincoln NE 68510

CERTIFIED MAIL NO: 7008 1830 0003 9411 8238

Notification was sent to:

NAIC/RIRS

All Appointing Insurers

A copy was delivered to:

Leah Scoles
Licensing Division

Julie Meaders
Assistant General Counsel

U.S. Postal Service[®]
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Lincoln, NE 68510
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