GOVERNOR
MARY FALLIN

INSURANCE COMMISSIONER
JOHN D. DOAK

OKLAHOMA INSURANCE DEPARTMENT
STATE OF OKLAHOMA

COMPANY NAME:_____ OK Lic. #_____

✓	Renewal Viatical Settlement Provider Application Checklist	OK Code
	Completed signed and notarized application.	**Title 36 O.S. § 4055.3(A)**
	Renewal application Fee $500 payable to the Oklahoma Insurance Department.	**Title 36 O.S. § 4055.3(C)**
>>>	**If there has been a change:** Amended designation of an agent for Service of Process completed on UCAA Form 12. Mail with the fee of $10 payable to the Oklahoma Insurance Department, attention Legal Division.	**Title 36 O.S. § 321(A)(3) and § 4055.3(G)**
	Provide a Certificate of Incorporation or Certificate of Organization from the Oklahoma Secretary of State to verify continued compliance.	**Title 18 O.S. § 1130**
>>>	Disclose and provide a Trade Name or Fictitious Name Certificate from the Oklahoma Secretary of State if a new Trade name or new Fictitious name is to be used by the company or the current Trade or Fictitious name has changed.	**Title 18 O.S. §§§ 1130, 1132, 1140**
	Certificate of Good Standing from the domicile state to verify proper licensure in that state.	**Title 36 O.S. § 4055.3(F)(5)**
>>>	**If there has been a change:** Amended Detailed Plan of Operation	**Title 36 O.S. § 4055.3(F)(1)**
	Current audited financial statement consistent with GAAP accounting practices and procedures, to include notes to financial statement and independent auditors report.	**Title 36 O.S. § 4055.3(F)(4)(c) and § 4055.7**
	Provide a continuation certificate of a surety bond executed and issued by an insurer authorized to issue surety bonds in this state, ***or*** policy of errors and omissions insurance issued by an insurer authorized to do business in this state, ***or*** a current maturity date and interest rate for the Certificate of Deposit.	**Title 36 O.S. § 4055.3(F)(4)(a)**
>>>	**If there has been a change:** An Anti-Fraud Plan that meets requirements of subsection G of Section 13 of Enrolled Senate Bill No. 1980 of the 2nd Session of the 51st Oklahoma Legislature.	**Title 36 O.S. § 4055.3(F)(6) and § 4055.13(G)**
>>>	**If there has been a change**, An amended list of all stockholders, partners, officers, members and employees owning 10% or greater of the company's stock. Also include a currently completed UCAA Form 11 biographical affidavit and third party background report from a NAIC approved vendor for each new or changed stockholder, partner, officer, member or employee owning 10% or greater of the company's stock.	**Title 36 O.S. § 4055.3(D) and (H)**
>>>	A copy of any new or changed advertisement intended for use in this state whether through written, radio or television medium for review and approval.	**OAC Title 365:25-11-7**