

## RISK RETENTION GROUP CHECKLIST

**Risk Retention Group Name:** \_\_\_\_\_

**Date of Registration:** \_\_\_\_\_ **Reviewed by:** \_\_\_\_\_

**Financial approval:** \_\_\_\_\_

1. **RRG Uniform Registration Part A** completed with a current date and signed by the company's President and Secretary. \_\_\_\_\_
  
2. **RRG Uniform Registration Part B** Designation of Agent (LRRRA §3902(3)(a)-1-(D) completed with a current date and signed by the company's President and Secretary. \_\_\_\_\_
  
3. **Certificate of Authority or Compliance** from the state of domicile, indicating the lines of authority for which the company is licensed (pursuant to Title 36 O.S. §6455 (A) (1). \_\_\_\_\_
  
4. **Plan of Operations** provided (pursuant to LRRRA §3902 (3)(d)-2-A&B) \_\_\_\_\_
  
5. **Financial Statements** (pursuant to LRRRA §3902 (3)(d)-3-A&B)
  - A. **Financial Statement** as submitted to the state in which the group is chartered as an insurance company. \_\_\_\_\_
  - B. **Statement of Opinion** on loss and loss adjustment expense (must be updated annually) \_\_\_\_\_
  - C. **Certified by CPA and/or Independent actuary** annually. \_\_\_\_\_
  - D. **Actuarial certification** of nationwide rates (updated annually) \_\_\_\_\_
  
6. **Policy Forms** (pursuant to LRRRA §3902 (3)(a)-1-I). Provide a copy of the policy blanks reflecting the required notice, pursuant to the Risk Retention Act, 15 USC §3902 and Title 36 O.S. §6455 (G). \_\_\_\_\_