

LINE OF BUSINESS: Surety

LINE(S) OF INSURANCE
Surety

CODES
24.0000

Code: 24.0000

IF CHECKLIST IS NOT APPLICABLE, PLEASE EXPLAIN:

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
GENERAL REQUIREMENTS FOR ALL FILINGS			
COPIES, RETURN ENVELOPES, ETC.			
COVER LETTER AND EXPLANATORY MEMORANDUM			
Effective Date Wording			
FILING SUBMISSION			
FREE CONTRACT PROHIBITED			
HIGHLY PROTECTED RISKS			
LIMITATIONS/RESTRICTIONS ON TRANACTING BUSINESS			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
LINE OF AUTHORITY			
NAIC #			
NO FILE OR FILING EXEMPTIONS	36 O.S. 997 36 O.S. 3610	Rates/Rules and Forms Exempt From Filing.	
GENERAL REQUIREMENTS FOR ALL FILINGS			
THIRD PARTY FILERS AUTHORITY			
TRANSACTIONING OTHER BUSINESS			
FORMS—POLICY PROVISIONS			
ACCESS TO COURTS			
AMBIGUOUS & MISLEADING			
APPLICATIONS			
APPRAISALS			
ARBITRATION			
ASSESSIBLE POLICIES			
BANKRUPTCY PROVISIONS			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
BLANK ENDORSEMENTS			
CANCELLATION & NON-RENEWAL			
Calculation of Unearned/Return Premium			
Conditional Renewal			
Minimum Retained Premium			
Notice of Cancellation			
Notice of Non-renewal			
Permissible Reasons for Cancellation			
Permissible Reasons for Non-renewal			
Required Policy Period			
Return Premium			
FORMS—POLICY PROVISIONS			
CERTIFICATIONS			
CONINSURANCE			
CONSUMER INFORMATION			
Credit Scoring Notice			
Privacy notice			
VSI Warning			
Notification Form			
CONTENT OF POLICIES			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
COUNTERSIGNATURES			
DECLARATIONS PAGE			
DISCLOSURES			
DEFINITIONS			
DISCRIMINATION			
Domestic Abuse			
DUTY TO DEFEND			
EXCESS COVERAGE			
EXCLUSIONS & LIMITATIONS			
Asbestos			
Lead			
Mold			
Terrorism			
Windstorm			
FORMS—POLICY PROVISIONS			
FICTITIOUS GROUPS			
FORMS MISCELLANEOUS			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
FRAUD WARNING			
GROUP POLICIES			
Extra-Territorial Approval Authority			
INSURANCE TO VALUE			
LIBERALIZATION CLAUSE			
LIMITS			
LOSS PAYEE			
LOSS SETTLEMENTS			
Appraisal Action Against Company			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
Arbitration			
Deductibles			
Defense Costs			
Loss Valuation			
Notice Requirements			
Payment of Loss Time Period			
Appraisal			
MORTGAGEE/LIENHOLDER			
ORDINANCE/LAW PROVISIONS			
PARTICIPATING POLICIES			
FORMS—POLICY PROVISIONS			
PERSONAL INJURY PROTECTION			
POLICY MUST CONTAIN ENTIRE CONTRACT			
PREMIUM AUDIT			
PREMIUM REFUND			
PRIMARY/UNDERLYING COVERAGE			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
PUNITIVE DAMAGES			
READABILITY			
REBATES			
STANDARD FIRE POLICY			
SUBROGATION Suit			
TIMELINESS			
WATER/SEWER BACK-UP			
VALUED POLICIES			
VOIDANCE			
WARRANTIES			
FORMS—POLICY PROVISIONS			
OTHER			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
Execution of Policies			
Policy Restrictions Voided			
Filing Standards			
Prior Approval-Policy Forms			
Terrorism Risk Insurance Act of 2002			
Optional Plan for Commercial only <u>not</u> including Workers' Compensation			
Agent Commissions			
Policy Fees			
Required Transmittal Forms			
Filing Fees			
Fee Requirements			
Withdrawal of Pending Filings			
Postage Requirements			
Unfair Discrimination			
Rating/Advisory Organization			
WAIVER OF PREMIUM			
CATASTROPHE HAZARDS			
CONSENT-TO-RATE			
CREDIBILITY AND OTHER FACTORS			
DEFENSE COSTS			
DISCOUNTS			
EXPIRATION DATE(S) FOR APPROVED RATES			
GROUP POLICIES			
Extra-Territorial Approval Authority			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
RATE, RULE, RATING PLAN, CLASSIFICATION, AND TERRITORY FILING REQUIREMENTS			
REVIEW REQUIREMENTS			
LOSS RATIO STANDARDS			
MID TERM CHANGES			
LOSS COST MULTIPLIERS			
PREMIUM REFUND OR RETENTION			
PRICING			
Charges			
Minimum Premium Rules			
Multi-tier			
Payment Plans			
Premiums			
Service Charges			
Surcharges			
Other Fees			
RATE RANGES			
RATING PLAN REQUIREMENTS			
Expense Modification Plan			
Experience Rating			
IRPM			
Large Deductible			
Retrospective Rating			
Schedule Rating			
Small Deductible			
Wrap-up Rating			
RATE, RULE, RATING PLAN, CLASSIFICATION, AND TERRITORY FILING REQUIREMENTS			

REVIEW REQUIREMENTS	REFERENCE	DESCRIPTION OF REVIEW STANDARDS REQUIREMENTS	LOCATION OF STANDARD IN FILING
RATE/LOSS COST SUPPORTING INFORMATION			
Competition			
REVIEW REQUIREMENTS			
Expenses			
Experience			
Judgment			
Credibility			
Profit Loading			
RETURN ON EQUITY//INVESTMENT INCOME			
RISK CLASSIFICATION			
SUPPORTING DATA			
TRENDING			
OTHER			