

**Viatical Settlement Provider Checklist**  
**Renewal Application**

Viatical Settlement Provider

SBS #

Complete Renewal Application

Application Fee - \$500.00

Has an approved antifraud plan on file with OID

If not, was an updated one submitted for approval    Yes                      No

A copy of the most recent audited financial or an unaudited financial statement attested to by two of the officers of the corporation

Provide the identity of any **new** stockholders with a 10% or over ownership, partners, officers, directors and members affiliated with entity.

A UCAA Biographical and independent third party verification (background check for each new individual listed

List of all partners, officers, members and designated employees

Detailed plan of operation

Certificate of Good Standing from the state of domicile

Comments: