

Oklahoma Insurance Department Checklist for Surplus Lines Carrier Application

Company: _____

Received

- Complete the Agreement & Application for Approval Form (Foreign UCAA Form 1E and 2E.
- Remit fee of \$1,000 application review fee + \$10 consent to service of process appointment + \$150 certificate of approval for a **total of \$1,160** payable to the Oklahoma Insurance Department (Include copy of check).
- Submit a Signed Annual Statement filed with company state of domicile (Alien companies must submit statement filed with NAIC).
- Submit the UCAA Uniform Consent to Service of Process UCAA Form 12.
- Submit a copy of the Company's recorded Articles of Incorporation.
- If the Company is a member of a Holding Company System, submit a copy of the latest Form B & C.
- Submit a Certificate of Authority from Company's state of domicile or port of entry.
- Provide a copy of the Company's latest Financial & Market Conduct Examination Report.
- Capital & Surplus requirements:
Foreign: Fifteen million (\$15,000,000) or;
Alien: Must be listed on the NAIC Quarterly Listing of Alien Insurers with a reported Capital and Surplus of no less than fifteen million (\$15,000,000).
- Company appears on NAIC Quarterly Listing of Approved Carriers.
- Submit a Plan of Operation which must include the lines of business the company intends to accept and premium projections or UCAA Form 3. We will not accept lines of business that are not authorized in the Company's state of domicile.

Send all documents to: Oklahoma Insurance Department
Financial Division – Admission Application
3625 NW 56th Street, Suite 100
Oklahoma City, OK 73112