

Oklahoma Employment Security Commission



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Gayle Harris, Commissioner

Representing the Public

Rev. W. B. Parker, Chairman

Representing Employees

Rev. Mike Wester, Commissioner
Susan Stoll, Commissioner

Oklahoma Employment and Training Issuance #18-2010

TO: WIA Board Staff
WIA Fiscal Agents

FROM: Richard J. Gilbertson, Director
Workforce Integrated Programs Division

DATE: August 30, 2010

SUBJECT: Oklahoma's Final Policy on Transfer of Formula Funds

PURPOSE: To transmit Oklahoma's policy regarding the transfer of WIA funds effective August 1, 2010.

REFERENCE: WIA Section 133(b) (4) provides the authority for workforce investment areas, with approval of the Governor, for the transfer of WIA funds between the Adult and Dislocated Worker programs. The Department of Labor Appropriations Act 2010 provides "that notwithstanding the transfer limitation under section 133(b) (4) of the WIA, up to 30 percent of such funds may be transferred by a local board if approved by the Governor."

ACTION: Please distribute this issuance to appropriate staff and include it as part of your permanent records. This policy rescinds OETI #20-2000, OETI #20-2000 Change 1, and OETI #20-2000 Change 2.

INQUIRIES: If you have any questions pertaining to this issuance, please contact Jackie Younge at (405) 557-5314, Jackie.younge@oesc.state.ok.us, or Tami Decker at (405) 962-7595, tdecker@oesc.state.ok.us.

OKLAHOMA TRANSFER OF FUNDS POLICY

I. PURPOSE

To transmit procedures for the transfer of funds from WIA Adult program to WIA Dislocated Worker program, WIA Dislocated Worker program to WIA Adult program.

II. AUTHORITY

Section 133(b)(4) of the Act, and the DOL Appropriation Act 2010 permits LWIBs to transfer a percentage of funds allocated to local areas for a fiscal year.

III. TRANSFERS ALLOWED

- An amount up to 30 percent of a program year allocated to a LWIB for adult employment and training activities may be transferred to the dislocated worker employment and training activities.
- An amount up to 30 percent of a program year allocated to a LWIB for dislocated worker employment and training activities may be transferred to the adult employment and training activities.
- LWIB's **may not** transfer funds to or from the youth program.

IV. RESTRICTIONS

The transfer of funds cannot result in an overall deficit in any program. Once the funds are transferred, they become part of the total funds available in the receiving title/part. The transferred funds are subject to all of the rules of the receiving title/part, including cost limitations, and eligibility requirements. Expenditures associated with transferred funds are not tracked or accounted for separately; they are reported as part of the total available funds in the receiving title/part. Performance standards apply to titles and funds expended under those titles.

Only current program year funds may be transferred between titles, no carryover funds may be transferred. Transfer of funds may be done only once per program year. Funds may not be transferred to simply avoid recapture procedures or grant under/over procedures. Funds transferred to the adult employment and training activities or the dislocated workers employment and training activities will be a part of the base amount for determining compliance with Section 132(c) and 133(c) of the Act for reallocation of unobligated funds in excess of 20 percent.

V. REQUESTS

Grant modifications to reflect these transfers must be made in accordance with existing state policy. A copy of the LWIB minutes showing approval of the transfer of funds must be submitted with your request.

A narrative description must be included using the following format:

- The grant numbers, dollar amount, and the percentage of the transfer;
- Impact on jointly funded employment and training programs;
- Impact on current LWIB employment and training systems;
- Changes in labor market conditions; and,
- Usage of funds to be transferred and consistency of usage with local plans.

VI. APPROVAL PROCESS

OESC, as the Governor's designated operating entity, will approve all transfer of funds. Grant modifications and instructions will be issued upon receipt of all proper documentation. Requests and documentation may be emailed to jackie.younge@oesc.state.ok.us.