



OKLAHOMA ETHICS COMMISSION

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NOTICE OF REGULAR MEETING

The Ethics Commission of the State of Oklahoma will hold a regular meeting September 10, 2021, at 10:00 o'clock a.m. The Commission will meet at the Oklahoma State Capitol, Room 230, 2300 N. Lincoln Blvd., Oklahoma City, Oklahoma.

Livestream: This is an in-person meeting that will be livestreamed. The link to the live feed will be posted the morning of the meeting by 9:30 a.m. at www.ethics.ok.gov and emailed &/or texted to those signed up to receive Commission meeting information.

AGENDA

1. Call to order and determination of quorum present. Chair Stocker
2. Open Meeting Act Compliance. Chair Stocker
3. Introductions and Announcements. Chair Stocker
4. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed on the Commission agenda. General Counsel McCord
5. Consideration, discussion, and possible action on minutes for the regular meeting and executive session held August 13, 2021. Chair Stocker
6. Executive Director's Report. Ms. Kemp
 - a. Agency expenditures for the month of August 2021
 - b. Guardian System Change Order Requests and Estimates
 - c. Administrative Compliance Order Process Update
 - d. Compliance and Education Report
 - e. COGEL Conference 2021
7. General Counsel's Report. Ms. McCord
 - a. Notable cases and trends in Oklahoma and other jurisdictions
8. Consideration, discussion, and possible action on adoption as a Commission policy that the lobbyist principal be notified of any registration or renewal of a legislative liaison or legislative lobbyist on behalf of the lobbyist principal as contemplated in Rule 5.3. Commissioner Laster
9. Consideration, discussion, and possible action on adoption as a Commission policy that the lobbyist principal be notified of any registration or renewal of an executive lobbyist on behalf of the lobbyist principal as contemplated in Rule 5.5. Commissioner Laster

The Ethics Commission may discuss or decide not to discuss any item on the agenda.

10. Consideration, discussion, and possible action on adoption as a Commission policy that a notification be sent to a legislative liaison or legislative lobbyist of filing deadlines seven days prior to any report filing deadline in Rule 5.19, and, also, one day prior to said deadline and within 24 hours after the deadline for any unfiled report. Commissioner Laster
11. Consideration, discussion, and possible action on adoption as a Commission policy that a notification be sent to an executive lobbyist of filing deadlines seven days prior to any report filing deadline in Rule 5.20, and, also, one day prior to said deadline and within 24 hours after the deadline for any unfiled report. Commissioner Laster
12. Consideration, discussion, and possible action on directing the Executive Director to determine the cost of re-programming the Guardian System to send notices of a proposed compliance order and related communications only to the Executive Lobbyist, Legislative Lobbyist, or Legislative Liaison, and not the Lobbyist Principal, until such time that a Compliance Order becomes final and the compliance fee has not been paid within 30 days of that finality. Further, that notice will, thereafter, be sent to the Lobbyist Principal if a final Compliance Order has not been paid within 30 days of finality. Commissioner Laster
13. Consideration, discussion, and possible action on entering closed/executive session as authorized and required by Title 25 O.S. Supp. 2017, § 307 (B)(4) and (7) of the Oklahoma Open Meeting Act and Constitutional Ethics Rules 6.5, 6.6, and 6.9 for the purpose of discussing matters identified in agenda item 14. Chair Stocker
 - a. **Statement by Attorney.** The information to be discussed in agenda item 14 requires confidential communications between the Ethics Commission and its attorney concerning pending investigations, claims, or actions, where disclosure will seriously impair the ability of the Ethics Commission to process the claims or conduct the pending investigations, litigation, or proceedings in the public interest. In addition, disclosure of any of the items to be discussed would violate confidentiality requirements of state law.
 - b. Determination, pursuant to Counsel's advice as set forth above, to go into executive session in the Ethics Commission office, Room G-27, of the Oklahoma State Capitol.

14. Executive Session. Chair Stocker

a. LAWSUITS

1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. COMPLAINTS

1. C-20-3
2. C-20-8
3. C-20-12
4. C-20-13
5. C-20-17
6. C-20-18

c. CASES/INVESTIGATIONS

1. Case No. 2018-10, alleging violations of Campaign Finance Ethics Rule 2
2. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2

15. Action to return to open session. Chair Stocker

16. Announcement(s) and/or action for Ethics Rule 1.6 on Ethics Commissioner disqualification or recusal in any matter listed for discussion in Executive Session in agenda item 14.
General Counsel McCord

17. Consideration, discussion, and possible action on the following items considered in executive session. Chair Stocker

a. LAWSUITS

1. *Institute for Justice v. Laster, et al.*, Western District of Oklahoma, Case No. CIV-19-858-D

b. COMPLAINTS

1. C-20-3
2. C-20-8
3. C-20-12
4. C-20-13
5. C-20-17
6. C-20-18

c. CASES/INVESTIGATIONS

1. Case No. 2018-10, alleging violations of Campaign Finance Ethics Rule 2
2. Case No. 2019-06, alleging violations of Campaign Finance Ethics Rule 2

18. New Business. This business, in accordance with Oklahoma Statutes, Title 25, § 311(A)(9) is limited to any matter not known about or which could have not been reasonably foreseen prior to the time of posting of this agenda. Chair Stocker

19. Adjournment. Chair Stocker

-NEXT REGULAR MEETING-
October 8, 2021 at 10:00 a.m.
Oklahoma State Capitol Room 230
Oklahoma City, Oklahoma