

MINUTES OF REGULAR MEETING AND PUBLIC HEARING  
OF THE ETHICS COMMISSION  
STATE OF OKLAHOMA  
HELD APRIL 14, 2017

Call to Order

Upon notice, with agenda being properly posted at the Commission office at least twenty-four (24) hours prior to the commencement of the meeting and notice being filed at least 48 hours in advance with the Office of the Secretary of State, a regular meeting and public hearing of the Ethics Commission of the State of Oklahoma ["Commission"] was called to order on Friday, April 14, 2017, at 10:05 a.m. Chair Jo Pettigrew ["Pettigrew"], opened the meeting, which was held in the Governor's Blue Room, State Capitol Building, Oklahoma City, Oklahoma.

Determination of Quorum

Roll was called to determine the existence of a quorum for the transaction of business. Commissioners answering present were: Chair Jo Pettigrew ["Pettigrew"], Vice Chair John Hawkins ["Hawkins"], Commissioner Cathy Stocker ["Stocker"], Commissioner Karen Long ["Long"] and Commissioner Holly Easterling ["Easterling"]. A quorum of members was declared.

Staff & Visitors

Commission staff members present at all or part of the meeting were Ashley Kemp ["Kemp"], Jan Preslar ["Preslar"], Stephanie Black ["Black"], and Tiffany Elczyn ["Elczyn"].

Observing all or part of the meeting: Denise Lawson, Attorney; Glenn Coffee, Attorney; Cara Rodriguez, Attorney; Geoff Long, Attorney; Caroline Denise, Senate Staff; Rep. Lewis Moore, House of Representatives.

Introductions and Announcements

Introduction and Announcements by Executive Director Kemp.

Consideration, discussion and possible action on minutes for the meeting and executive session held February 24, 2017

Vice Chair Hawkins moved to approve the regular meeting and executive session minutes as presented, held February 24, 2017.

Commissioner Easterling seconded.

Discussion or comments by Commissioners. None.

Roll was called and the vote was as follows: Hawkins - yes, Easterling - yes, Pettigrew - yes, Stocker - yes, Long - yes.

**Motion carried.**

Minutes of Regular Meeting held April 14, 2017

Discussion of agency expenditures for February 1 through February 28, 2017, and from March 1, through March 31, 2017.

Explanation of expenditures by Executive Director Kemp.

Consideration of Amendment 2018-01. This amendment modifies the Campaign Finance Rules in Rule 2 of the Constitutional Ethics Rules regarding the timing of contributions by limited political action committees to candidate committees.

Explanation of Amendment 2018-01 by Executive Director Kemp.

Comments by Commissioner Stocker.

Public comment. Rep. Lewis Moore commented.

Comments and questions by Commissioners and staff. None.

Discussion and possible action on Amendment 2018-01 of the Constitutional Ethics Rules.

No action taken. Public Hearing to be continued at the Commissions next meeting, May 12, 2017.

Request for advisory opinion 2017-02, request from Sharon Caldwell, at CMA Strategies.

Explanation of advisory opinion, 2017-02, by General Counsel Jan Preslar.

Comments by Commissioners and Staff. Comments by Commissioner Long, Executive Director Kemp, Vice Chair Hawkins, and Commissioner Stocker.

Commissioner Long moved to authorize the preparation of advisory opinion 2017-02 requested by Sharon Caldwell and the setting of the public hearing.

Commissioner Easterling seconded.

Roll was called and the vote was as follows: Long - yes, Easterling - yes, Pettigrew - yes, Hawkings - yes, Stocker - yes.

**Motion carried.**

Discussion on AOR-17-01, request from James C. Joseph, Oklahoma State Bond Advisor.

Explanation of advisory opinion, 2017-01, by General Counsel Jan Preslar.

Comments by Commissioners and Staff. Comments by Executive Director Kemp, Vice Chair Hawkins, and Commissioner Pettigrew.

Commissioner Long moved to authorize the preparation of advisory opinion 2017-01 requested by James C. Joseph, Oklahoma State Bond Advisor and the setting of the public hearing.

Vice Chair Hawkins seconded.

Roll was called and the vote was as follows: Long - yes, Hawkins – yes, Pettigrew - yes, Stocker - yes, Easterling - yes.

**Motion carried.**

Executive Director's Report.

Report was provided by Executive Director Kemp on Legislation, Agency News, Continuing Education Programs and Compliance.

General Counsel's Report

Recent Developments in ethics law by General Counsel Jan Preslar.

Consideration, discussion and possible action on Closed/Executive session

Commissioner Long moved to go into Closed/Executive session.

Commissioner Stocker seconded.

Discussion or comments by commissioners. None.

Roll was called and the vote was as follows: Long - yes, Stocker – yes, Pettigrew - yes, Hawkins - yes, Easterling - yes.

**Motion was carried to go into Executive/Closed session at 10:47 a.m.**

**Executive session was held in the Conference Room located within the Ethics Commission office in the Capitol basement, Rm. B-5.**

Possible Action to return to Open Session

**Vice Chair Hawkins moved to reconvene in Open Session at 12:01 p.m.**

Commissioner Easterling seconded.

Discussion or comments by Commissioners. None

Roll was called and the vote was as follows: Hawkins - yes, Easterling - yes, Pettigrew - yes, Stocker - yes, Long - yes

**Motion carried.**

Consideration, discussion and possible action on items considered in Executive Session

Commissioner Hawkins moved to proceed as directed in Executive Session on Case No. 2016-07.

Commissioner Stocker seconded.

Discussion or comments by Commissioners. None.

Roll was called and the vote was as follows: Hawkins -yes, Stocker – yes, Pettigrew- yes, Long -yes, Easterling – yes

**Motion carried.**

Commissioner Hawkins moved to dismiss Complaint C-17-03.

Commissioner Long seconded.

Discussion or comments by Commissioners. None.

Roll was called and the vote was as follows: Hawkins - yes, Long - yes, Pettigrew - yes, Stocker - yes, Easterling - yes

**Motion carried.**

Commissioner Long moved to authorize a formal investigation on Complaint C-17-04 based on the determination that there is reasonable cause to believe that the person or persons named in the complaint have violated conflicts of interest Ethics Rule 4.

Commissioner Stocker seconded.

Roll was called and the vote was as follows: Long - yes, Stocker - yes, Pettigrew - yes, Hawkins - yes, Easterling - yes

**Motion carried.**

Commissioner Long moved to authorize a formal investigation on Complaint C-17-07 based on the determination that there is reasonable cause to believe that the person or persons named in the complaint have violated campaign finance Ethics Rule 257 Chapter 10.

Commissioner Easterling seconded.

Roll was called and the vote was as follows: Long - yes, Easterling - yes, Pettigrew - yes, Hawkins - yes, Stocker - yes

**Motion carried.**

New Business

None

Adjournment


Commissioner Stocker moved to adjourn.

Commissioner Long seconded.

Roll was called and the vote was as follows: Stocker - yes, Long - yes, Pettigrew - yes, Hawkins - yes, Easterling - yes

**Motion carried.**

Meeting ended at 12:05 p.m.

  
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ASHLEY KEMP, Executive Director

Approved on Behalf of the Commission:

  
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JO PETTIGREW, Chair