Rule 4.18. State Officer or Employee Representation of Others in Transactions involving the State.

A. No state officer or employee shall receive or agree to receive compensation to represent or assist another individual or other entity in any transaction involving the state or to represent another individual or other entity before any state agency, unless authorized by law. The prohibitions in this section shall not apply to the practice of law before any court, nor shall they apply to members of boards, commissions, authorities and similar public bodies of state agencies for representation before state agencies other than the agency the member serves.

B. No individual who previously served as a state officer or employee shall serve as a lobbyist or consultant on lobbying, until a period of Two (2) Years has passed since the state officer or employee left state service.

C. No individual who previously served as a state officer or employee shall represent another entity, or act as a consultant for another entity on any matter involving the agency of which he or she previously served or had oversight until a period of Two (2) Years has passed.

D. Provided, however, the Two (2) Year period in subsections (b) and (c) will not begin for any state officer who is elected,
retained, or appointed until the term of office for which he or she was elected, retained, or appointed has ended.

E. Subsections B, C, and D of this Rule shall not apply to an attorney representing a client in a legal, non-lobbying capacity in a court of law.

Rule 4.22. Waiver for Preexisting Relationship.

A. Pre-Existing Relationship. A state officer or employee may apply to the Commission for a waiver of a Rule based on a preexisting relationship between the state officer or employee and a person whose status restricts or prohibits certain activities under these Rules.

B. Post-Service. An individual who is currently a state officer or employee, or who is impacted by the restrictions within Rule 4.18 as a former state officer or employee, may request a waiver of the applicable provisions of Rule 4.18.

C. The Commission shall have exclusive authority and discretion to grant a waiver on a case-by-case basis, based upon the totality of circumstances and a finding that the purposes of these Rules will not be impeded or hindered by the waiver.

D. A waiver shall have only prospective application.

E. The Commission may request whatever information it deems appropriate from an applicant, including but not limited to verified statements by the applicant and/or the person with whom
a preexisting relationship is claimed other persons impacted by the restriction.

F. The restrictions between a state officer or employee and a person whose status restricts or prohibits certain activities under these Rules shall not apply when the two are family members.

Rule 5.3. Annual Registration for Legislative Liaison and Legislative Lobbyist.

a. Each legislative liaison or legislative lobbyist shall be required to register with the Ethics Commission each year that the legislative liaison or legislative lobbyist engages in lobbying. Each legislative liaison or legislative lobbyist shall register or renew his or her registration with the Commission and pay any applicable fees no earlier than December 1 of each year nor later than January 15 of the following year for the calendar year beginning January 1 or within five (5) days after engaging in lobbying on behalf of one or more lobbyist principals. Registration by legislative liaisons or legislative lobbyists shall be filed electronically, unless otherwise ordered by the Commission or the Executive Director of the Commission. Information on the registration shall include the legislative liaison or legislative lobbyist’s name, business
address, including electronic mail address and business
telephone numbers, the name and address of each lobbyist
principal by whom the liaison or lobbyist is employed or
retained and the date of the registration.

b. No individual who previously served as a state officer or
employee may register as a legislative lobbyist until a period
of two years has passed since the individual served as a state
officer or employee. For any individual who was elected,
retained, or appointed to serve or complete a term of office,
the Two (2) Year period will not begin until the term of office
for which he or she was elected, retained or appointed has
ended.

Rule 5.5. Annual Registration and Termination of Registration
for Executive Lobbyist.

a. Each executive lobbyist shall be required to register with
the Ethics Commission. An executive lobbyist may register and
pay any applicable fees no earlier than December 1 of each year
nor later than January 15 of the following year for the calendar
year beginning January 1 or within five (5) days after engaging
in lobbying on behalf of one or more lobbyist principals.
Registration by executive lobbyists shall be filed
electronically, unless otherwise ordered by the Commission or
the Executive Director of the Commission. Information on the
registration shall include the executive lobbyist’s name, business address, including electronic mail address and business telephone numbers, the name and address of each lobbyist principal by whom the lobbyist is employed or retained, the agency or agencies before which the lobbyist is lobbying and the date of the registration. The executive lobbyist may terminate his or her registration at any time by electronically filing a notice of termination on a form provided by the Commission and filing a final Executive Lobbyist Report. An executive lobbyist whose registration has not been terminated by November 30 of any year shall be required to register as provided in this subsection for the calendar year beginning the following January 1. Until terminated, the registration shall remain effective, and the executive lobbyist shall be required to file Executive Lobbyist Reports as provided by these Rules.

b. No individual who previously served as a state officer or employee may register as an executive lobbyist until a period of two years has passed since the individual served as a state officer or employee for that agency. For any individual who was elected, retained, or appointed to serve or complete a term of office, the Two (2) Year period will not begin until the term of office for which he or she was elected, retained or appointed has ended.
Rule 5.25. Waiver for Preexisting Relationship.

A. Pre-existing Relationship. A state officer or employee may apply to the Commission for a waiver of a Rule based on a preexisting relationship between the state officer or employee and a legislative liaison, legislative lobbyist or executive lobbyist.

B. Post-Service Waiver. A state officer or employee, or person impacted by the restrictions in Rules 5.3 or 5.5 as a former state officer or employee, may request a waiver of the applicable rule(s).

C. The Commission shall have exclusive authority and discretion to grant a waiver on a case-by-case basis, based upon the totality of circumstances and a finding that the purposes of these Rules will not be impeded or hindered by the waiver.

D. A waiver shall have only prospective application.

E. The Commission may request whatever information it deems appropriate from an applicant, including but not limited to verified statements by the applicant and/or the legislative liaison, legislative lobbyist or executive lobbyist with whom a preexisting relationship is claimed other persons impacted by the restriction.
F. The restrictions between a state officer or employee and a legislative liaison, legislative lobbyist or executive lobbyist shall not apply when the two are family members.