

GENERAL PROVISIONS
OKLAHOMA DEPARTMENT OF CORRECTIONS (the State Agency)

1.0 DEFINITIONS

- 1.1 As used herein, the following terms shall have the following meaning unless the context clearly indicates otherwise:
- 1.10 “Acquisition” means items, products, materials, supplies and equipment a state agency acquires by purchase, Lease purchase, lease with option to purchase, or rental pursuant to the Oklahoma Central Purchasing Act;
- 1.11 “Bid” means an offer in the form of a bid, proposal or quote a bidder submits in response to a solicitation;
- 1.12 “Bidder” means an individual or business entity that submits a bid in response to solicitation;
- 1.13 “Solicitation” means a request or invitation by the State Purchasing Director or a state agency for a supplier to submit a priced offer to sell acquisitions to the state. A solicitation may be an Invitation to Bid (ITB), Request for Quotation (RFQ) or Request for Proposal (RFP); and
- 1.14 “Vendor/Supplier” means an individual or business entity that sells or desires to sell acquisitions to state agencies.

2.0 BID SUBMISSION

- 2.1 By submitting a response to this solicitation, the supplier attests that the supplies or services conform to specified contract requirements.
- 2.2 Submitted bids shall be in strict conformity with the instructions to bidders, and shall be submitted with any other forms completed as required by the solicitation.
- 2.3 Bids shall be submitted to the State Agency in a single envelope, package, or container and shall be sealed. The name and address of the bidder shall be inserted in the upper left corner of the single envelope, or container. **SOLICITATION NUMBER AND THE SOLICITATION RESPONSE DUE DATE AND TIME MUST APPEAR ON THE FACE OF THE SINGLE ENVELOPE, PACKAGE, OR CONTAINER.**
- 2.4 It is the bidder’s sole responsibility to ensure that proposals are received by DOC’s Contracts & Acquisition Unit on or before the posted bid/proposal closing date and time. Under no circumstances will DOC be responsible for vendor’s, U.S. mail’s or special courier’s failure to make timely delivery of the bid response. Official time/date stamp within the DOC Contracts & Acquisition Unit is the Official Time of the bid’s delivery and DOC’s receipt thereof.
- 2.5 The required certification statement, “Certification for Competitive Bid and Contract (Non-Collusion Certification)”, DCS-FORM-CP-004, must be made out in the name of the bidder and must be properly executed by an authorized person, with full knowledge and acceptance of all its provisions.
- 2.6 The required certification statement, “Professional Service Contract Affidavit”, DCS-FORM-CP-021 (if applicable), must be made out in the name of the bidder, must be properly executed by an authorized person, with full knowledge and acceptance of all its provisions.

- 2.7 All bids shall be legibly written or typed. Any corrections made by the bidder, including mark-thru's, white out or any other methods, to the bid shall be initialed. Penciled bids and penciled corrections shall **NOT** be accepted and will be rejected as non-responsive.
- 2.8 All bids submitted shall be subject to the Oklahoma Central Purchasing Act, Central Purchasing Rules, other Oklahoma statutory regulations as applicable, these General Provisions, any Special Provisions, solicitation specifications, required certification statements, and all other terms and conditions listed or attached herein- all of which are made part of this solicitation.
- 2.9 Bidders may at their request, bid "ALL OR NONE". In order to bid "ALL OR NONE" bidders must indicate on the front of the first page of the bid that they are bidding "ALL OR NONE".
- 2.10 The State shall assume no responsibility and bears no liability for costs incurred by a bidder in the preparation and submittal of a bid or proposal in response to the ITB/RFQ or RFP.
- 2.11 Any agreement that bidder will require the State Agency to sign prior to purchase under this contract shall be submitted by the bidder with their bid proposal. The State Agency reserves the right to reject any agreement that contains provisions inconsistent with state law or policy, or provisions which are determined to not be in the best interest of the state. The State Agency will not sign any agreement that is submitted after the award.
- 2.12 "Any limitation of liability submitted by the vendor does not apply to the extent a court of competent jurisdiction (including any appellate court of final review) determines such limitation of liability violates Oklahoma law, in which case the specific limitation that the court determines is in violation of Oklahoma law shall be void."

3.0 CLARIFICATION OF SOLITATION

- 3.1 Clarification or questions pertaining to the contents of this bid/proposal shall be submitted by mail (address: Department of Corrections, Contracts & Acquisitions, P.O. Box 18919, Oklahoma City, OK 73154-0919), by email to the "Buyer" listed at the top of the "Solicitation Request" or facsimile (Fax number 405-425-2574) to the Department of Corrections Contracts & Acquisitions. Any and all communication must include the ITB/RFQ or RFP number. The exact deadline for vendor clarifications is referenced on the cover page of the solicitation packet.
- 3.2 If a supplier fails to notify the State of an error, ambiguity, conflict, discrepancy, omission or other error in the Solicitation, known to the supplier, or an error that reasonably should have been known by the supplier, the supplier shall submit a response at its own risk; and if awarded the contract, the supplier shall not be entitled to additional compensation, relief, or time, by reason of the error or its later correction. If a supplier takes exception to any requirement or specification contain in this solicitation, these exceptions must be clearly and prominently stated in their response.
- 3.3 Suppliers who believe proposal requirements or specifications are unnecessarily restrictive or limit competition may submit a request for administrative review, in writing, to the State Agency Contracting Officer listed on this solicitation. This request shall be made prior to the closing date of this solicitation.

4.0 SOLICITATION AMENDMENTS

- 4.1 Any information given to a vendor concerning a solicitation will be provided promptly to all other vendors as an amendment, if that information is necessary in submitting a response or if the lack of it would be prejudicial to other vendors.

- 4.2 If an amendment is issued, then the bidder shall acknowledge receipt of any/all amendment(s) to solicitations by signing and returning the solicitation amendments(s). Amendment acknowledgement(s) may be submitted with the bid or may be forwarded separately. If forwarded separately, amendment acknowledgement(s) must contain the solicitation number and response due date and time on the front of the envelope and must be received by the State Agency prior to the solicitation closing date and time. Failure to acknowledge solicitation amendments may be grounds for rejection.
- 4.3 Oral Agreements: No oral statement of any person shall modify or otherwise affect the terms, conditions, or specifications stated in the solicitation or resulting contract. All modifications to the solicitation or resulting contract must be made in writing and approved by the State Agency Contracts & Acquisitions Unit.
- 4.4 No oral statement of any person shall modify or otherwise affect the terms, conditions, or specifications stated in the solicitation. All amendments to the solicitations shall be made in writing by the State Agency.
- 4.5 It is the Bidder's responsibility to check frequently for any possible amendments that may be issued. the State Agency is not responsible for a bidder's failure to acquire any amendment documents required to complete a solicitation.

5.0 BID CHANGE

If the bidder needs to change a bid prior to the solicitation response due date, a new bid shall be submitted to the State Agency with the following statement "This bid supersedes the bid previously submitted" in a single envelope, package, or container and shall be sealed. The name and address of the bidder shall be inserted in the upper left corner of the single envelope, package, or container. SOLICITATION NUMBER AND SOLICITATION RESPONSE DUE DATE AND TIME MUST APPEAR ON THE FACE OF THE SINGLE ENVELOPE, PACKAGE, OR CONTAINER.

6.0 CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

6.1 By submitting a response to this solicitation, the prospective primary participant and any subcontractor certifies to the best of their knowledge and belief, that they and their principals or participants:

- 6.1.1** Are not presently debarred, proposed for debarment, suspended, declared ineligible, or voluntarily excluded by any Federal, State or local department or agency;
- 6.1.2** Have not within a three-year period preceding this proposal been convicted of or had a civil judgment render against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) contract; or for violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statement, or receiving stolen property;
- 6.1.3** Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State local) with commission of any of the offense enumerated in paragraph of this certification; and
- 6.1.4** Have not within three-year period preceding this application/proposal had one or more public (Federal, State or local) contracts terminated for cause or default.

- 6.1.5** Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to its solicitation response.

7.0 BID OPENING

Sealed bids shall be opened by the CPO within the Contracts & Acquisitions Unit, Department of Corrections located at 3400 Martin Luther King Avenue, Oklahoma City, OK 73111 at the time and date specified in the solicitation.

8.0 BIDS SUBJECT TO PUBLIC DISCLOSURE

Unless Otherwise specified in the Oklahoma Open records Act, Central Purchasing Act, or other applicable law, documents and information a bidder submits as part of or in connection with a bid are public records and subject to disclosure. Bidders claiming any portion of their bid as proprietary or confidential must specifically identify what documents or portions of documents they consider confidential and identify applicable law supporting their claim of confidentiality. The Chief Administrative Officer of the requesting agency shall make the final decision as to whether documents or information is confidential.

9.0 LATE BIDS

Bids received by the State Agency after the response due date and time shall be deemed non-responsive and shall NOT be considered for any resultant award.

10.0 LEGAL CONTRACT

10.1 Submitted bids are rendered as a legal offer and any bid, when accepted by the State Agency, shall constitute a contract.

10.2 The Contract resulting from this solicitation will consist of the following documents in order of preference: Contract award documents, including but not limited to the Purchase Order, Contract Modifications, required certification statement, affidavit, and change order; the solicitation including any amendments; and the successful bid to the extent that the bid does not conflict with the requirements of the Contract award documents or solicitation or applicable law. In the event there is a conflict between any of the preceding documents, the Contract award documents prevail over the solicitation and both the Contract award documents and the solicitation shall prevail over the successful bid.

11.0 PRICING

11.1 Bids shall remain firm for a minimum of sixty (60) days from the solicitation closing date.

11.2 Bidders guarantee unit prices to be correct.

11.3 In accordance with 74 .O.S. § 85.40, ALL travel expenses to be incurred by the supplier in performance of the Contract shall be included in the total bid price/contract amount.

12.0 MANUFACTURERS' NAME AND APPROVED EQUIVALENTS

Unless otherwise specified in the solicitation, manufacturers' names, brand name, information and/or catalog numbers listed in a specification are for information and not intended to limit competition. Bidder may offer and brand for which they are an authorized representative, which meets or exceeds the specification for any item(s). However, if bids are based on equivalent products, indicate on the bid form the manufacture's name and number. Bidder shall submit sketches, descriptive literature, and complete specifications with their bid.

07/15/2015

Reference to literature submitted with a previous bid will not satisfy this provision. The bidder shall also explain in detail the reason(s) why the proposed equivalent will meet the specifications and not to be considered an exception thereto. Bids that do not comply with these requirements are subject to rejection.

13.0 REJECTION OF BID

The State reserves the right to reject any bids that do not comply with the requirements and specification of the solicitation. A bid May be rejected when the bidder imposes terms or conditions that would modify requirements of the solicitation of the solicitation or limit the bidder's liability of the State. Other possible reasons for rejection of bids are listed in OAC 580:15-4-11.

14.0 NEGOTIATIONS

14.1 The vendor is advised that under the provisions of this Request for Proposal, the State Agency reserves the right to conduct negotiations of the proposals received or to award a contract without negotiations. The State may negotiate if deemed necessary, and will determine the scope and subject of any negotiations. However, the Vendor should not expect that the State will negotiate to give the Vendor an opportunity to strengthen its proposal. Therefore, the Vendor must submit its best offer based on the terms and conditions set forth in this solicitation. If such negotiations are conducted, the following conditions shall apply.

14.2 Negotiations may be conducted in person, in writing, or by telephone.

14.3 The State Agency reserves the right to limit negotiations to those proposals that received the highest rankings during the initial evaluation phase. All vendors involved in the negotiations process will be invited to submit a best and final offer.

14.4 The mandatory requirements of this Request for Proposal shall not be negotiable and shall remain unchanged unless the State Agency determines that a change in such requirements is in the best interest of the State of Oklahoma.

15.0 AWARD OF CONTRACT

15.1 The State Agency may award the Contract to more than one bidder by awarding the Contract(s) by item or groups of items, or may award the Contract on an ALL OR NONE basis, whichever is deemed by the State Agency to be in the best interest of the State of Oklahoma.

15.2 Contract awards will be made based on lowest and best evaluation criteria, unless the solicitation specifies that best value criteria are being used. Requests for "Tab Sheets" will be made in writing or by email to the contracting officer.

15.3 In order to receive an award or payments from the State of Oklahoma, suppliers must be registered. The vendor registration process can be completed electronically through the DCS website at the following link: http://www.ok.gov/DCS/Central_Purchasing/Vendor_Registration/index.html

16.0 CONTRACT MODIFICATION

16.1 The Contract is issued under the authority of the State Agency who signs the Contract. The Contract may be modified only through a written Contract Modification, signed by the State Agency approving official.

16.2 Any change to the Contract, including the addition of work or materials, the revision of payment terms, or the substitution of work or materials, directed by a person who is not specifically authorized by the State Agency in writing, or made unilaterally by the Supplier, is a breach of the Contract.

07/15/2015

Unless otherwise specified by applicable law or rules, such changes, including unauthorized written Contract Modifications, shall be void and without effect, and the Supplier shall not be entitled to any claim under this Contract based on those changes. No oral statement of any person shall modify or otherwise affect the terms, conditions, or specifications stated in the resultant Contract.

17.0 DELIVERY, INSPECTION AND ACCEPTANCE

17.1 Unless otherwise specified in the solicitation or awarding documents, all deliveries shall be F.O.B. Destination. The bidder(s) awarded the Contract shall prepay all packaging, handling, shipping and delivery charges and firm prices quoted in the bid shall include all such charges. All products and/or services to be delivered pursuant to the Contract shall be subject to final inspection and acceptance by the State at destination. "Destination" shall mean delivered to the receiving the State Agency or other point specified in the purchase order. The State assumes no responsibility for goods until accepted by the State at the receiving point in good condition. Title and risk of loss or damage to all items shall be the responsibility of the supplier until accepted by the receiving agency. The supplier(s) awarded the Contract shall be responsible for filling, processing, and collecting and all damage claims accruing prior to acceptance.

17.2 Supplier(s) awarded the Contract shall be required to deliver products and services as bid on or before the required date. Deviations, substitutions or changes in products and services shall not be made unless expressly authorized in writing by the Contracts & Acquisitions Unit.

18.0 INVOICING AND PAYMENT

18.1 Pursuant to 74 O.S. § 85.44(B), invoices will be paid in arrears after products have been delivered or services provided.

18.2 Interest on late payments made by the State of Oklahoma is governed by 62 O.S. §34.71 and 62 O.S. §34.72.

19.0 TAX EXEMPTION

State agency acquisitions are exempt from sales taxes and federal excise taxes. Bidders shall not include the taxes in price quotes.

20.0 AUDITS AND RECORDS CLAUSE

20.1 As used in this clause, "records" includes books, documents, accounting procedures and practices, and other data, regardless of type and regardless of whether such items are in written form, in the form of computer data, or in any other form. In accepting and Contract with the State, the successful bidder(s) agree any pertinent State or Federal agency will have the right to examine and audit all records relevant to execution and performance of the resultant Contract.

20.2 The successful bidder(s) awarded the Contract(s) is required to retain records relative to the Contract for the duration of the Contract and for a period of seven (7) years following completion and/or termination of the Contract. If an audit, litigation, or other action involving such records is started before the end of the seven (7) year period, the records are required to be maintained for two (2) years from the date that all issues arising out of the action are resolved, or until the end of the seven (7) year retention period, whichever is later.

21.0 NON-APPROPRIATION CLAUSE

The terms of any Contract resulting from the solicitation and any Purchase Order issued for multiple years under the Contract are contingent upon sufficient appropriations being made by the Legislature or other

07/15/2015

appropriate government entity. Notwithstanding any language to the contrary in the solicitation, purchase order, or any other Contract the State Agency, the State Agency may terminate its obligations under the Contract if sufficient appropriations are not made by the Oklahoma Legislature or other appropriate governing entity to pay amounts due for multiple year agreements. The Requesting Agency's decisions as to whether sufficient appropriations are available shall be accepted by the supplier and shall be final and binding.

22.0 CHOICE OF LAW

Any claims, disputes, or litigation relating to the solicitation, or the execution, interpretation, performance, or enforcement of the Contract shall be governed by the laws of the State of Oklahoma.

23.0 CHOICE OF VENUE

Venue for any action, claim, dispute or litigation relating in any way to the Contract shall be in Oklahoma County, Oklahoma.

24.0 TERMINATION FOR CAUSE

24.1 The supplier may terminate the Contract for default or other just cause with a 30-day written request and upon written approval from the State Agency. The State may terminate the Contract for default or any other just cause upon a 30- day written notification to the supplier.

24.2 The State may terminate the Contract immediately, without a 30-day written notice to the supplier, when violations are found to be an impediment to the function of an agency and detrimental to its cause, when conditions preclude the 30-day notice, or when the State Agency determines that an administrative error occurred prior to Contract performance.

24.3 If the Contract is terminated, the State shall be liable only for payment for products and/or services delivered and accepted.

25.0 TERMINATION FOR CONVENIENCE

25.1 The State may terminate the Contract, in whole or in part, for convenience if the State Agency determines that termination is in the State's best interest. The agency shall terminate the Contract by delivering to the supplier a Notice of Termination for Convenience specifying the terms and effective date of Contract termination. The Contract termination date shall be a minimum of 60 days from the date the Notice of Termination for Convenience is issued by the State Agency.

25.2 If the Contract is terminated, the State shall be liable only for products and/or services delivered and accepted, and for costs and expenses (exclusive of profit) reasonably incurred prior to the date upon which the Notice of Termination for Convenience was received by the supplier.

26.0 INSURANCE

The successful bidder(s) awarded the Contract shall obtain and retain insurance, including worker's compensation, automobile Insurance, medical malpractice, and general liability, as applicable, or as required by State or Federal law, prior to commencement of any work in connection with the Contract. The supplier awarded the Contract shall timely renew the policies to be carried pursuant to this section throughout the term of the Contract and shall provide the State Agency with evidence of such insurance and renewals. Certificate holder will be made out to: Oklahoma Department of Corrections, Contracts & Acquisitions, 3400 N. Martin Luther King Ave, PO Box 11400, Oklahoma City, OK 73136.

27.0 EMPLOYMENT RELATIONSHIP

The Contract does not create an employment relationship. Individuals performing services required by this Contract are not employees of the State of Oklahoma or the State Agency. The supplier's employees shall not be considered employees of the State of Oklahoma nor of the State Agency for any purpose, and accordingly shall not be eligible for rights or benefits accruing to state Employees.

28.0 ASSIGNMENT OF INTEREST VIA SUB-CONTRACTS

The Contractor shall neither assign nor transfer any interest in this contract whatsoever, without the prior written consent of the DOC contract manager. If assignments are made without the consent required herein, the contract shall terminate upon the date the assignment is made and no payments by DOC will thereafter be due to any party.

29.0 PRISON RAPE ELIMINATION ACT (28 C.F.R. §115.17 and §115.77)

Any sexual assault or sexual misconduct or attempted sexual assault or sexual misconduct between the Contractor, its employees, agents, or representative and an offender is expressly forbidden.

In addition, by accepting a contract with DOC, Contractor attest that no employee, agent or representative of the Contractor who may have direct contact with DOC offenders while performing the requirements of this contract has:

- (1) Engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997);
- (2) Been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or
- (3) Been civilly or administratively adjudicated to have engaged in the activity described above. Any contractor who engages in sexual abuse will be prohibited from contact with offenders and will be reported to law enforcement agencies and to relevant licensing bodies.

Any violation of this provision will be reported to law enforcement agencies and to relevant licensing bodies and will result in the filing of criminal charges as warranted. DOC may also terminate the contract immediately when violations are found.

30.0 COMPLIANCE WITH THE OKLAHOMA TAXPAYER AND CITIZEN PROTECTION ACT OF 2007.

By submitting a bid for services, the bidder certifies that they, and any proposed subcontractors, are in compliance with 25 O.S. §1313 and participate in the Status Verification System. The Status Verification System is defined in 25 O.S. §1312 and includes but is not limited to the free Employment Verification Program (E-Verify) available at www.dhs.gov/E-Verify.

31.0 SAMPLES

- 31.1 Sample submission.** A solicitation may specify submission of samples of the required items or products when essential to the assessment of product quality during bid evaluation. When required, samples shall be received no later than the date and time specified by the solicitation.
- 31.2 Sample identification.** The bidder shall clearly identify the sample the bidder submits by placing the bidder's name, bidder's address, requisition number and closing date/time on both the sample container and on the sample shipping container.
- 31.3 Sample costs.** The bidder shall pay costs for the sample and submission to the State Agency.

- 31.4** Sample requirements. A bidder shall submit a sample that represents the quality of the whole.
- 31.5** Sample tests. Whenever testing is determined necessary by the State Agency Administrator of General Services, appropriate standard testing procedures will be used. All samples submitted may be subject to consumption or destruction as a result of tests by the State Agency.
- 31.6** Sample tests costs. If the sample a bidder submits fails to meet the specification or standards the solicitation requires, the bidder shall be required to pay testing costs the State Agency incurs.
- 31.7** Return of sample to bidder. If the return of samples is stipulated in a bidder's solicitation response, samples not destroyed by testing shall be returned at the bidder's expense. If the return of samples is not included in the bidder's solicitation response, samples shall become property of the State Agency.

32.0 COMPLIANCE WITH APPLICABLE LAWS

The products and services supplied under the Contract shall comply with all applicable federal, state and local laws, and the supplier shall maintain all applicable licenses and permits requirements.

33.0 SPECIAL PROVISIONS

Special Provisions set forth in the "Solicitation Request" apply with the same force and effect as these General Provisions. However, conflicts or inconsistencies shall be resolved in favor of the Special Provisions.