



## Statewide Contract Addendum

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*This addendum is added to and is to be considered part of the subject contract.*

**Contract Issuance Date:** 04/11/2018

**Statewide Contract #:** SW0192

**Contract Title:** Construction Equipment

**Addendum Date:** 04/26/2019

**Addendum #:** Two (2)

This addendum is issued to add the Oklahoma Participating Addendum with Caterpillar and Assignment to Warren CAT for all Oklahoma entities.

Warren Equipment Company dba  
Warren CAT  
PO Box 270720  
Oklahoma City, OK 73127  
Buzz McDonald; (405)246.6072  
Buzz.mcdonald@warrencat.com  
Vendor ID# 0000186655  
Contract # 0-5530

**PARTICIPATING ADDENDUM**  
**NASPO ValuePoint COOPERATIVE PURCHASING PROGRAM**  
**Construction Equipment**  
**Administered by the State of Oklahoma**

MASTER AGREEMENT  
Master Agreement No: OK-SW-192.  
CATERPILLAR, INC.

And

State of Oklahoma by and through the Office of Management and Enterprise Services

Oklahoma Contract No.: OK-SW-192

THIS PARTICIPATING ADDENDUM (this "Addendum") is entered into effective as of the date of the last signature below (the "Effective Date"), by and between the State of Oklahoma by and through the Office of Management and Enterprise Services (the "State of Oklahoma" or "Participating State/Entity") and CATERPILLAR, INC. ("Contractor" or "Vendor"). The State of Oklahoma and Contractor are sometimes collectively referred to herein as the "Parties." Capitalized terms used but not defined herein have the meanings ascribed to such terms in that certain Master Agreement Award among the State of Oklahoma ("Lead State"), the State of Oklahoma (participating state/entity), Contractor and those states entering into a Participating Addendum thereto (the "Master Agreement").

WHEREAS, the Master Agreement is further identified as Master Agreement NO. OK-SW-192. This Participating Addendum will be coterminous with the Master Agreement and any extensions of the Master Agreement, unless terminated pursuant to the terms of this addendum and Section 28. Cancellation of the Master Agreement.

WHEREAS, the Master Agreement contemplates that all authorized entities in any state are welcome to use the Master Agreement through NASPO VALUEPOINT Cooperative Procurement Program (NASPO) with such state's chief procurement official and provides that any such state reserves the right to add state-specific terms and conditions and modify the scope of the contract in such state's Participating Addendum as allowed by the Master Agreement; and

WHEREAS, this Addendum is the State of Oklahoma's Participating Addendum contemplated by the Master Agreement;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Parties agree as follows:

1. Scope: This Addendum allows for the purchase of the Construction Equipment and Related Services lead by the State of Oklahoma for use by state agencies and other entities located in the participating state, Oklahoma, and authorized by that states statutes to utilize state contracts with the prior approval of the states chief procurement official.
2. Participation: Use of specific NASPO ValuePoint cooperative contracts by agencies, political subdivisions and other entities (including cooperatives) authorized by an individual state's statutes to use **state/entity** contracts are subject to the prior approval of the respective state chief procurement official. Notwithstanding anything to the contrary

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in the Master Agreement, all issues of interpretation and eligibility for participation are solely within the authority of the state's chief procurement official.

3. Participating State's Modifications and Additions to the Master Agreement:

**The Oklahoma Specific Terms and Conditions set forth in Exhibit No. 1, attached hereto, are hereby incorporated in and made a part of this Addendum.**

4. Primary Contacts: The primary contact individuals for the Participating Addendum are as follows (or their named successors):

Contractor

Name	
Address	Caterpillar, Inc. 100 Northeast Adams Street Peoria, IL 61629
Telephone	1-309-266-0471
Fax	N/A
E-mail	<a href="mailto:schreifels.ann.m@cat.com">schreifels.ann.m@cat.com</a>

Participating Entity

Name	Office of Management and Enterprise Services, Central Purchasing Division
Address	5005 N. Lincoln Blvd. Ste. 300, Oklahoma City, OK 73105
Telephone	(405) 521-2289
Fax	N/A
E-mail	<a href="mailto:purchasing@omes.ok.gov">purchasing@omes.ok.gov</a>

5. Partner Utilization: Each state represented by NASPO ValuePoint participating in the Master Agreement independently has the option of utilizing partners. Only partners approved by this Participating State/ Entity may be deployed. The Participating State will define the process to add and remove partners and may define the partner's role in this Participating Addendum. The Contractor's partners' participation will be in accordance with the terms and conditions set forth in the Master Agreement. Approved partners are

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only upon written approval from the State of Oklahoma.

6. Terms: The Participating State/Entity agrees to the terms and conditions of the Master Agreement only to the extent the terms and conditions are not in conflict with this Addendum, applicable law, or both.

7. Orders: Any order placed by a Participating State/ Entity or any Purchasing Entity for a product and/or service available through the Master Agreement shall be deemed to be a sale under (and governed by the prices and other terms and conditions of) the Master Agreement unless the parties to the order agree in writing that another contract or agreement applies to such order.

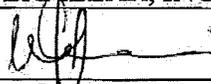
8. Counterparts: This Addendum may be executed in counterparts, each of which shall be deemed to be an original, but all of which, taken together, shall constitute one and the same Addendum. Delivery of an executed counterpart of this Addendum by electronic means, including, without limitation, by facsimile transaction or by electronic delivery in portable document format (".pdf") or tagged image file format (".tiff"), shall be equally effective as delivery of a manually executed counterpart thereof.

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IN WITNESS WHEREOF, the Parties have executed this Addendum through the duly authorized representative of each Party.

Participating State:	Contractor: CATERPILLAR, INC.
By: 	By: 
Name: Ferris Barger	Name: Colne Timmermans
Title: Purchasing Director	Title: Marketing Manager
Date:	Date: 4/16/19

For questions on executing a participating addendum, please contact:

NASPO ValuePoint

Cooperative Development Coordinator	Tim Hay
Telephone	503-428-5705
E-mail	thay@naspovaluepoint.org

[Please email fully executed PDF copy of this document to  
[PA@naspovaluepoint.org](mailto:PA@naspovaluepoint.org) to support documentation of participation and posting in appropriate  
 data bases]

## Exhibit No. 1 Oklahoma Specific Terms and Conditions

Any and all licensing, maintenance, or order-specific agreements referenced within the terms and conditions of this Master Agreement are agreed to only to the extent that the terms do not conflict with the terms of the Participating Addendum, and to the extent the terms are not in conflict with applicable Oklahoma law. In the event of conflict among the terms and conditions, the Participating Addendum shall take precedence. This Participating Addendum shall take precedence over all other Contract Documents.

**1. Definitions: The parties agree that, when used in the Agreement, the following terms are defined as set forth below:**

**A. Acquisition**

The term ("Acquisition") means items, products, materials, supplies, services, and equipment a state agency acquires by purchase, lease purchase, lease with option to purchase, or rental pursuant to the Oklahoma Central Purchasing Act.

**B. Contract Document**

The term ("Contract Document") means this Agreement, any statement of work, work order, or other similar ordering document related hereto and executed by the Vendor and the State of Oklahoma, as applicable; any purchase order related hereto; other mutually agreed documents; and any Addendum to any of the foregoing.

**C. Purchasing Entity**

The term ("Purchasing Entity") shall include the State of Oklahoma (the "State") and (a) any board, commission, committee, department or other instrumentality or entity designated to act on behalf of the State of Oklahoma or a political subdivision thereof; (b) any governmental entity specified as a political subdivision of the State of Oklahoma pursuant to the Governmental Tort Claims Act, including, without limitation, (i) any associated institution, instrumentality, board, commission, committee department, or other entity designated to act on behalf of the political subdivision; and (ii) a county or local governmental entity; and (c) entities authorized to utilize contracts awarded by the State of Oklahoma via a multistate or multi-governmental contract.

**D. Destination**

The term ("Destination") means delivered to the receiving dock or other point specified in the applicable Contract Document.

**E. Indemnified Parties**

The term ("Indemnified Parties") means the State of Oklahoma and Customers, and/or their officers, agents, employees, representatives, contractors, assignees and/or designees.

**2. Limitation of Authority**

## Exhibit No. 1 Oklahoma Specific Terms and Conditions

With respect to procurement transactions for Customers, Vendor shall have no authority to act for or on behalf of Customers or the State of Oklahoma, except as expressly provided for in this Agreement; no other authority, power or use is granted or implied. Vendor may not incur any debts, obligations, expenses or liabilities of any kind on behalf of Customers or the State of Oklahoma.

### 3. Administrative Fees

For Oklahoma-based Purchasing Entities, Contractor agrees to submit a Contract Usage Report to the State of Oklahoma on a quarterly basis. "Contract Usage Report" shall include the following: (i) the applicable state contract number; (ii) report amount(s); (iii) reporting period covered; and (iv) the applicable state agency name(s). Contract Usage Reports shall also include usage of the Participating Addendum by any other governmental entities (i.e. county, city, etc.). Continuous failure to submit Contract Usage Reports as required herein may result in termination of the Participating Addendum.

All Contract Usage Reports shall meet the following criteria:

- a) Must be submitted electronically in Microsoft Excel format.
- b) Reports shall be submitted quarterly regardless whether this Addendum has been used during the applicable quarterly reporting period.
- c) Quarterly reporting periods
  - a. January 01 through March 31, due April 30
  - b. April 01 through June 30, due July 31
  - c. July 01 through September 30, due October 31
  - d. October 01 through December 31, due January 31

All Contract Usage Reports shall be delivered to:

E-mail: [strategic.sourcing@omes.ok.gov](mailto:strategic.sourcing@omes.ok.gov)

For Oklahoma-based Purchasing Entities, the State of Oklahoma assesses an administrative fee in the sum of one percent (1%) on all net sales transacted by any Purchasing Entity under the Participating Addendum (the "Oklahoma Admin Fee").

Contractor shall submit the Oklahoma Admin Fee on a quarterly basis. Failure to remit the Oklahoma Admin Fee quarterly may result in cancellation of the Participating Addendum. Oklahoma Admin Fees shall not be reflected as a separate line item in Contractor's billing to participating state agencies and authorized users.

Payment of the Oklahoma Admin Fee shall be made via company check payable to OMES within forty five (45) calendar days from the completion of the applicable quarterly reporting period set forth above.

Contractor agrees to notify OMES-ISD Procurement via the email address set forth below twenty-four (24) hours in advance of Contractor's submitting payment of the Oklahoma Admin Fee.

To ensure payment is properly accounted for, Contractor shall identify payment in the

## Exhibit No. 1 Oklahoma-Specific Terms and Conditions

applicable Contract Usage Report as an "Administrative Fee" and shall include the following information: (i) the applicable state contract number, (ii) Oklahoma Admin Fee amount(s) paid, and (iii) the applicable quarterly reporting period.

Oklahoma Admin Fees shall be mailed to:  
Office of Management and Enterprise Services  
Attention: Accounts Receivable  
5005 N. Lincoln Boulevard, Suite 200  
Oklahoma City, OK 73105

### 4. Pricing

- A. Pursuant to 68 O.S. § 1404, 68 O.S. § 1352, and 68 O.S. § 1356, Customers under the Contract that are Oklahoma state agencies are exempt from the assessment of State sales, use, and excise taxes. Further, such Customers and Customers that are political subdivisions of the State of Oklahoma are exempt from Federal Excise Taxes pursuant to Title 26 of the United States Code. Customers will provide Vendor with a tax exemption certificate upon request. Any taxes of any nature whatsoever payable by the Vendor shall not be reimbursed by the Customer.
- B. Pursuant to Okla. Stat. tit. 74, § 85.40, Oklahoma Purchasing Entities shall not pay Contractor any travel expenses in addition to the total price of the products and/or services purchased; therefore, Contractor shall not invoice Oklahoma-based Purchasing Entities for any travel expenses in addition to the total price of the products and/or services purchased hereunder.
- C. The price to the Customer under the Participating Addendum shall include and Vendor shall prepay all shipping, packaging, delivery and handling fees. Shipping fees may vary according to product and will be quoted to the customer prior to purchase. All Product deliveries will be Free on Board Customer's Destination. No additional fees, other than the standard shipping and handling fee, shall be charged to the Customer for standard shipping and handling. If the Customer requests expedited or special delivery, Customer may be responsible for any charges for expedited or special delivery.

### 5. Invoices and Payment

As applicable, the Parties shall comply with applicable Oklahoma law with respect to invoicing and making payments hereunder. Payments for goods and services are generally due thirty (30) days after receipt of a proper invoice; provided, however, Contractor acknowledges and agrees that payment received in accordance with applicable Oklahoma law allowing forty-five (45) days to pay Contractor shall not constitute default hereunder nor entitle Contractor to late payment fees or interest. Any applicable late fees or interest incurred after forty-five (45) days of nonpayment shall be paid only in accordance with Oklahoma law.

## Exhibit No. 1 Oklahoma Specific Terms and Conditions

Additional terms shall be no less than 10 days increasing in 5 day increments up to 30 days. Vendor will provide a discount of \_\_\_\_\_ percent for Net 10, a discount of \_\_\_\_\_ percent for Net 15, a discount of \_\_\_\_\_ percent for Net 20, a discount of \_\_\_\_\_ percent for Net 25, and \_\_\_\_\_ a discount of \_\_\_\_\_ percent for Net 30. The date from which discount time is calculated shall be the date of a valid invoice. An invoice is considered valid if sent to the proper recipient and goods or services have been received.

### 6. Termination for Non-appropriation

With respect to all Oklahoma-based transactions and all Oklahoma-based Purchasing Entities, Participating State may terminate any order if funds sufficient to pay its obligations under the Participating Addendum are not appropriated by the applicable state legislature, federal government or other appropriate government entity or received from an intended third party funding source. In the event of such insufficiency, Participating State shall provide ten (10) calendar days' written notice of intent to terminate. Notwithstanding the foregoing, if a Purchasing Entity issues an order and has accepted the products and/or services under such order, the Purchasing Entity shall be obligated to pay for such products and/or services. In the event of termination of an order as provided in the foregoing, Participating State shall not be considered to be in default or breach under the Participating Addendum nor under the Master Agreement, nor shall it be liable for any further payments ordinarily due under, with respect to, related to, or arising out of such order, nor shall it be liable for any damages or any other amounts which are caused by or associated with such termination.

### 7. Notices

If a party is to give notice under the Participating Addendum, all notices to the State of Oklahoma shall be address as follows:

#### If sent to the State of Oklahoma:

Ferris J. Barger  
State Purchasing Director  
5005 North Lincoln Blvd., Suite 200  
Oklahoma City, Oklahoma 73105

#### With a copy to:

OMES Purchasing Deputy General Counsel  
5005 North Lincoln Boulevard  
Oklahoma City, Oklahoma 73105

### 8. Choice of Law

## Exhibit No. 1 Oklahoma-Specific Terms and Conditions

Any claim, dispute, or litigation relating to the execution, interpretation, performance, or enforcement of the Contract Documents shall be governed by the laws of the State of Oklahoma without regard to application of choice of law principles.

### 9. Choice of Venue

Venue for any action, claim, dispute, or litigation relating in any way to the execution, interpretation, performance, or enforcement of the Agreement, or any of the Contract Documents, shall be in Oklahoma County, Oklahoma. Further, notwithstanding any provision in the Agreement, the State does not waive the doctrine of sovereign immunity and immunity from suit to the extent authorized by the Constitution and laws of the State of Oklahoma nor any other right or defense available to the State.

### 10. Conflict of Interest

In addition to any requirement of law or through a professional code of ethics or conduct, the Vendor, its employees, agents and subcontractors are required to disclose any outside activity or interest that conflicts or may conflict with the best interest of the State. Further, as long as the Vendor has an obligation under the Agreement, any plan, preparation or engagement in any such activity or interest shall not occur without prior written approval of the State.

### 11. Force Majeure

Either party shall be temporarily excused from performance to the extent delayed as a result of unforeseen causes beyond its reasonable control including fire or other casualty, act of God, strike or labor dispute, war or other violence, or any law, order or requirement of any governmental agency or authority provided the party experiencing the force majeure event has prudently and promptly acted to take any and all steps within the party's control to ensure continued performance and to shorten duration of the event. In the event that a party's performance of its obligations is materially hindered as a result of a force majeure event, such party shall promptly notify the other party of its best reasonable assessment of the nature and duration of the force majeure event and steps it is taking, and plans take, to mitigate the effects of the force majeure event. The party shall use commercially reasonable best efforts to continue performance to the extent possible during such event and resume full performance as soon as reasonably practicable. Subject to the conditions set forth above, such non-performance shall not be deemed a default. However, a Purchasing Entity may terminate a purchase order if Vendor cannot cause delivery of Products or Services in a timely manner to meet the business needs of the Purchasing Entity.

### 12. Invalid Term or Condition

To the extent any term or condition in the Participating Addendum conflicts with an applicable Oklahoma and/or United States law or regulation, such Contract term or

## Exhibit No. 1 Oklahoma Specific Terms and Conditions

condition is void and unenforceable. By executing any Contract Document which contains a conflicting term or condition, Purchasing Entity makes no representation or warranty regarding the enforceability of such term or condition and Purchasing Entity does not waive the applicable Oklahoma and/or United States law or regulation which conflicts with the Contract term or condition.

### 13. Audits and Records Clause

- A. As used in this clause, "records" includes books, documents, accounting procedures and practices, and other data, regardless of type and regardless of whether such items are in written form, in the form of computer data, or in any other form. Vendor agrees any pertinent federal or State agency or governing entity of a Purchasing Entity shall have the right to examine and audit all records relevant to the execution and performance of the Contract except costs of Vendor that comprise pricing under the Contract, unless otherwise agreed.
- B. The Vendor is required to retain records relative to the Contract for the duration of the Contract and for a period of seven (7) years following completion or termination of an Acquisition. If a claim, audit, litigation or other action involving such records is started before the end of the seven-year period, the records are required to be maintained for two (2) years from the date that all issues arising out of the action are resolved, or until the end of the seven (7) year retention period, whichever is later.

### 14. Compliance with Applicable Laws

- A. As long as Vendor has an obligation under the terms of the Contract and in connection with performance of its obligations, the Vendor shall comply with all applicable federal, State, and local laws, rules, regulations, ordinances, and orders, as amended, including but not limited to the following:
  - i. Drug-Free Workplace Act of 1988 set forth at 41 U.S.C. § 81.
  - ii. Section 306 of the Clean Air Act, Section 508 of the Clean Water Act, Executive Order 11738, and Environmental Protection Agency Regulations which prohibit the use of facilities included on the EPA List of Violating Facilities under nonexempt federal contracts, grants or loans;
  - iii. Prospective participant requirements set at 45 C.F.R. part 76 in connection with debarment, suspension and other responsibility matters;
  - iv. 1964 Civil Rights Act, Title IX of the Education Amendment of 1972, Section 504 of the Rehabilitation Act of 1973, Americans with Disabilities Act of 1990, and Executive Orders 11246 and 11375;

## Exhibit No. 1 Oklahoma Specific Terms and Conditions

- v. Anti-Lobbying Law set forth at 31 U.S.C. § 1325 and as implemented at 45 C.F.R. part 93;
  - vi. Obtaining certified independent audits conducted in accordance with Government Auditing Standards and Office of Management and Budget Circular A-133 with approval and work paper examination rights of the applicable procuring entity;
  - vii. Be compliant with the Oklahoma Taxpayer and Citizen Protection Act of 2007, 25 O.S. § 1312, and be registered and participate in the Status Verification System. The Status Verification System is defined at 25 O.S. § 1312, includes but is not limited to the free Employment Verification Program (E-Verify) through the Department of Homeland Security, and is available at [www.dhs.gov/E-Verify](http://www.dhs.gov/E-Verify); and
  - viii. Be registered as a business entity licensed to do business in the State, have obtained a sales tax permit, and be current on franchise tax payments to the State, as applicable.
- B. The Vendor shall maintain all applicable licenses and permits required in association with its obligations under the Contract.
- C. As applicable, Vendor agrees to comply with Governor's Executive Order 2012-01, effective August 06, 2012, which prohibits the use of any tobacco product on any and all properties owned, leased, or contracted for use by the State, including but not limited to all buildings, land and vehicles owned, leased, or contracted for use by agencies or instrumentalities of the State.
- 15. Employment Relationship**  
The Addendum does not create an employment relationship. Individuals performing Services required by the Addendum are not employees of the Purchasing Entity. The Vendor's employees shall not be considered employees of the Purchasing Entity for any purpose, and accordingly shall not be eligible for rights or benefits accruing to such employees.
- 16. Publicity**  
Vendor acknowledges and agrees that the existence of the Contract or any Acquisition thereunder is not in any way an endorsement by the Purchasing Entity, the Products or the Services and shall not be so construed by Vendor in any advertising or publicity materials. Vendor agrees to submit to the State all advertising, sales, promotion, and other publicity matters relating to the Participating Addendum wherein the name of the Purchasing Entity

## Exhibit No. 1 Oklahoma Specific Terms and Conditions

is mentioned or language used from which the connection of the Purchasing Entity therewith may, in the State's judgment, be inferred or implied as an endorsement. Vendor further agrees not to publish or use such advertising, sales promotion, or publicity matter or release any informational pamphlets, notices, press releases, research reports, or similar public notices without obtaining the prior written approval of the State.

### 17. Open Records Act

Vendor acknowledges that Purchasing Entity are subject to the Oklahoma Open Records Act. Vendor also acknowledges that such Purchasing Entity will comply with the Oklahoma Open Records Act and with all opinions of the Oklahoma Attorney General concerning this Act. Except for a provision of the Contract specifically designated as confidential in a writing executed by both parties or a provision protected from disclosure in the Open Records Act, no Contract provision is confidential information and, therefore, any provision is subject to disclosure under the Open Records Act.

### 18. Confidentiality

- A. The Vendor shall maintain strict security of all State data and records entrusted to it or to which the Vendor gains access, in accordance with and subject to applicable federal and State laws, rules, regulations, and policies and shall use any such data and records only as needed by Vendor for performance of its obligations under the Contract. The Vendor further agrees to evidence such confidentiality obligation in a separate writing if required under such applicable federal or State laws, rules and regulations. If Vendor utilizes a subcontractor, Vendor shall obtain specific written assurance, and provide a copy to the State, that the subcontractor shall maintain this same level of security of all data and records entrusted to or accessed by the subcontractor and agree to the same obligations as Vendor, to the extent applicable. Such written assurance may be set forth in the required subcontractor agreement referenced herein.
- B. No State data or records shall be provided or the contents thereof disclosed to a third party unless specifically authorized in advance to do so in writing by the State Purchasing Director, the individual with administrative control over a Customer or in compliance with a valid court order. The Vendor shall immediately forward to the State and the State Purchasing Director any request by a third party for data or records in the possession of the Vendor or any subcontractor or to which the Vendor or subcontractor has access and Vendor shall fully cooperate with all efforts to protect the security and confidentiality of such data or records in response to a third party request.

### 19. Assignment and Permitted Subcontractors

## Exhibit No. 1 Oklahoma Specific Terms and Conditions

- A. Vendor's obligations under the Addendum may not be assigned or transferred to any other person or entity without the prior written consent of the State which may be withheld at the State's sole discretion. Should Vendor assign its rights to payment, in whole or in part, under the Addendum, Vendor shall provide the State of Oklahoma with written notice of the assignment. Such written notice shall contain details sufficient for the Participating Entity to perform its payment obligations without any delay caused by the assignment.
- B. If the Vendor is permitted to utilize subcontractors in support of the Addendum, the Vendor shall remain solely responsible for its obligations under the terms of the Addendum and for its actions and omissions and those of its agents, employees and subcontractors. Any proposed subcontractor shall be identified by entity name, and by employee name if required by the particular Acquisition, in the applicable proposal and shall include the nature of the services to be performed. Prior to a subcontractor being utilized by the Vendor, the Vendor shall obtain written approval of the State of such subcontractor and each employee, as applicable to a particular Acquisition, of such subcontractor proposed for use by the Vendor. Such approval is within the sole discretion of the State. As part of the approval request, the Vendor shall provide a copy of a written agreement executed by the Vendor and subcontractor setting forth that such subcontractor is bound by and agrees to perform, as applicable, the same covenants and be subject to the same conditions, and make identical certifications to the same facts and criteria, as the Vendor under the terms of all applicable Contract Documents. Vendor agrees that maintaining such agreement with any subcontractor and obtaining prior approval by the State of any subcontractor and associated employees shall be a continuing obligation. The State of Oklahoma further reserves the right to revoke approval of a subcontractor or an employee thereof in instances of poor performance, misconduct or for other similar reasons.
- C. All payments under the Addendum shall be made directly to the Vendor, except as provided in Section A above regarding the Vendor's assignment of payment. No payment shall be made to the Vendor for performance by unapproved or disapproved employees of the Vendor or a subcontractor.

### 20. **Failure to Enforce**

Failure by the State or a Customer, as applicable, at any time to enforce a provision of, or exercise a right under, the Addendum shall not be construed as a waiver of any such provision. Such failure to enforce or exercise shall not affect the validity of any Contract Document, or any part thereof, or the right of the State or a Customer to enforce any provision of, or exercise any right under, the Addendum at any time in accordance with its terms. Likewise, a waiver of a breach of any provision of a Contract Document shall not affect or waive a subsequent breach of the same provision or a breach of any other provision in the Addendum.

### 21. **Mutual Responsibilities of the Parties**

## Exhibit No. 1      Oklahoma Specific Terms and Conditions

- A. Neither the State nor the Vendor grants the other the right to use any trademarks, trade names, other designations in any promotion or publication without the express written consent by the other party.
- B. The Addendum is a non-exclusive contract, and each party is free to enter into similar agreements with others.
- C. The Customer and Vendor each grant the other only the licenses and rights specified in the Addendum and all other rights and interests are expressly reserved.
- D. The State and Vendor shall reasonably cooperate with each other and any vendor to which Products and/or Services under the Contract may be transitioned after termination or expiration of the Addendum.
- E. Except as otherwise set forth herein, where approval, acceptance, consent, or similar action by either Customer, the State or the Vendor is required under the Addendum, such action shall not be unreasonably delayed or withheld.

### 22. Indemnification

#### A. Acts or Omissions

Vendor shall indemnify and hold harmless the Indemnified Parties, as applicable, from any and all liability, including costs, expenses and attorney fees, for actions, claims, demands and suits arising out of, or resulting from any action or claim for bodily injury, death, or property damage brought against any of the Indemnified Parties to the extent arising from any negligent act or omission or willful misconduct of the Vendor or its agents, employees, or subcontractors in the execution or performance of the Addendum.

#### B. Coordination of Defense

IN CONNECTION WITH INDEMNIFICATION OF A PURCHASING ENTITY WHEN AN OKLAHOMA STATE AGENCY IS A NAMED DEFENDANT IN ANY LAWSUIT, THE DEFENSE OF THE OKLAHOMA STATE AGENCY SHALL BE COORDINATED BY THE ATTORNEY GENERAL OF OKLAHOMA. THE ATTORNEY GENERAL OF OKLAHOMA MAY, BUT HAS NO OBLIGATION TO, AUTHORIZE CONTRACTOR TO CONTROL THE DEFENSE AND ANY RELATED SETTLEMENT NEGOTIATIONS; PROVIDED, HOWEVER, THAT, IN SUCH EVENT, CONTRACTOR SHALL NOT AGREE TO ANY SETTLEMENT OF CLAIMS AGAINST THE STATE OF OKLAHOMA WITHOUT FIRST OBTAINING A CONCURRENCE FROM THE ATTORNEY GENERAL OF OKLAHOMA. IF THE ATTORNEY GENERAL OF OKLAHOMA DOES NOT AUTHORIZE SOLE CONTROL OF THE DEFENSE

## Exhibit No. 1 Oklahoma Specific Terms and Conditions

AND SETTLEMENT NEGOTIATIONS FOR CONTRACTOR, CONTRACTOR SHALL BE GRANTED AUTHORIZATION TO EQUALLY PARTICIPATE IN ANY PROCEEDING RELATED TO THIS SECTION; PROVIDED, HOWEVER, NOTWITHSTANDING ANYTHING TO THE CONTRARY HEREIN, CONTRACTOR SHALL CONTINUE TO BE OBLIGATED TO INDEMNIFY THE PARTICIPATING ENTITY AND, TO THE EXTENT APPLICABLE, ANY AND ALL PURCHASING ENTITIES, IN ACCORDANCE WITH AND TO THE EXTENT CONTRACTOR PROVIDES SUCH INDEMNITY UNDER THIS MASTER AGREEMENT.

### 23. Miscellaneous

#### A. Severability

If any provision of a Contract Document, or the application of any term or condition to any party or circumstances, is held invalid or unenforceable for any reason, the remaining provisions shall continue to be valid and enforceable and the application of such provision to other parties or circumstances shall remain valid and in full force and effect.

#### B. Section Headings

The headings used in any Contract Document are intended for convenience only and do not constitute terms of the contract.

#### C. Sovereign Immunity

Notwithstanding any provision of any Contract Document, the State does not waive its sovereign immunity or immunity from suit.

#### D. Survival

As applicable, performance under all license, subscription, service agreements and other similar Contract Documents entered into between Vendor and any Customer under the terms of the Contract shall survive expiration or termination of the contract. Additionally, rights and obligations under the Addendum which by their nature should survive including, but not limited to, payment obligations invoiced prior to expiration or termination; confidentiality obligations and indemnification remain in effect after expiration or termination of the contract.

#### E. Entire Agreement

The Contract Documents taken together as a whole constitute the entire agreement between a Customer and Vendor. No statement, promise, condition, understanding, inducement or representation, oral or written, expressed or implied, which is not contained in a Contract Document shall be binding or valid.

Exhibit No. 1 Oklahoma Specific Terms and Conditions

## ASSIGNMENT AND CONSENT TO ASSIGNMENT AGREEMENT

This Assignment and Consent to Assignment Agreement (the "Assignment") is made and entered into effective as of April 17, 2019 (the "Effective Date") by and between the state of Oklahoma, as the Lead State under the Oklahoma NASPO Valuepoint Master Agreement No. OK-SW-192 ("Lead State"), the state of Oklahoma under the Participating Addendum to the NASPO Valuepoint Master Agreement No. OK-SW-192 ("Participating State"), Caterpillar Inc. ("Assignor") and Warren Cat, having an address at 4501 W Reno Ave. Oklahoma City, OK 73127 ("Assignee").

**WHEREAS**, Caterpillar and the State of Oklahoma executed the NASPO Valuepoint Master Agreement No. OK-SW-192 on or about December 13<sup>th</sup>, 2018 (the "Master Agreement");

**WHEREAS**, the State of Oklahoma is the Lead State on the Master Agreement and Section 25 Assignment/Subcontracts only permits Caterpillar to assign, sell, transfer, subcontract or sublet rights, or delegate responsibilities under the Master Agreement with the written consent of the Lead State;

**WHEREAS**, the State of Oklahoma ("Participating State") and Caterpillar executed a Participating Addendum ("Participating Addendum") to the Master Agreement on or about April 16, 2019 and Section 19 of the Participating Addendum permits Caterpillar to assign the Participating Addendum only with the prior written consent of the State of Oklahoma;

**WHEREAS**, Warren Cat is an authorized Caterpillar dealer located in the state of Oklahoma;

**WHEREAS**, Assignor desires to assign all its rights, interests, duties, liabilities, responsibilities and obligations under the Participating Addendum to Assignee;

**WHEREAS**, Assignee agrees to accept the assignment of all the rights, interests, duties, liabilities, responsibilities and obligations from Assignor of the Participating Addendum and the Master Agreement as applied to the Participating Addendum;

**WHEREAS**, pursuant to the Master Agreement, Assignor must receive the Lead State's prior written consent to assign rights under the Master Agreement;

**WHEREAS**, pursuant to the Participating Addendum, Assignor must receive the Participating State's prior written consent to assign the Participating Addendum;

**WHEREAS**, the State of Oklahoma as Lead State under the Master Agreement and as the Participating State under the Participating Addendum consents to the assignment of the rights, interests, duties, liabilities, responsibilities and obligations of the Participating Addendum from Assignor to Assignee as set forth in this Assignment;

**NOW, THEREFORE**, intending to be legally bound and for good and valuable consideration, the parties agree as follows:

1. Assignor and Assignee each represent that this Assignment is for all of Assignor's rights, interests, duties, liabilities, responsibilities and obligations under the Participating Addendum.
2. Assignee assumes all rights, interests, duties, liabilities, responsibilities and obligations under the Participating Addendum as of the Effective Date. Assignee hereby accepts all the terms and conditions of the Participating Addendum and consents to be bound by such terms and conditions.
3. Lead State and Participating State hereby acknowledge and consent to the assignment of the Participating Addendum from Assignor to Assignee as set forth herein.
4. Assignor, Assignee, Lead State and Participating State hereby agree that by executing this Assignment, the parties accept the terms and conditions of this Assignment.
5. The notice address for Assignee for purposes of the Agreement is as follows:

Name: Howard Wickersham  
Address: Warren Cat  
4501 W Reno Ave  
Oklahoma City, OK 73127

6. This Assignment shall be binding upon and inure to the benefit of the parties hereto and their respective successors and permitted assigns.
7. This Assignment shall be construed, performed and enforced in accordance with, and governed by, the laws of the State of Oklahoma (without giving effect to the principles of conflicts of law thereof).
8. All capitalized terms used in this Assignment that are not otherwise defined herein shall have the respective meanings ascribed to such terms in the Master Agreement or the Participating Addendum, as appropriate. Except as specifically modified herein, the Master Agreement and Participating Addendum remains in full force and effect. This Assignment may be executed in any number of counterparts, all of which when taken together shall constitute one and the same document, and each party hereto may execute this Assignment by signing any of such counterparts.

*Signature Page to Follow*

IN WITNESS WHEREOF, the parties have executed this Assignment by their duly authorized representatives, to be effective as of the Effective Date.

STATE OF OKLAHOMA ("LEAD STATE")

By: Ferris S. Berger

Name: FAB

Title: State Purchasing Director

STATE OF OKLAHOMA ("PARTICIPATING STATE")

By: FAB

Name: Ferris S. Berger

Title: State Purchasing Director

CATERPILLAR INC. ("ASSIGNOR")

By: [Signature]

Name: C. Thummermann

Title: Marketing Manager

WARREN CAT ("ASSIGNEE")

By: [Signature]

Name: Howard Wickensham

Title: Regional Sales Manager



# CONTRACT

## State of Oklahoma

Dispatch via Print

**Supplier** 0000186655  
 WARREN CAT  
 PO BOX 60662  
 MIDLAND TX 79711-0662  
 USA

<b>Contract ID</b> 000000000000000000000000005530		Page 1 of 4	
<b>Contract Dates</b> 04/25/2019 to 04/10/2020	<b>Currency</b> USD	<b>Rate Type</b> CRRNT	<b>Rate Date</b> PO Date
<b>Description:</b> SW0192-Construction Equip		<b>Contract Maximum</b> 0.00	
<b>Allow Open Item Reference</b>			
<b>TYPE: STATEWIDE</b>			

Tax Exempt? Y      Tax Exempt ID:736017987

**Contract Lines:**

Line #	Cat CD / Item ID / Item Desc	UOM	Minimum Order Qty	Amt	Maximum / Open Qty	Amt
1	22101532 / Tracked Loaders and/or Accessories & Attachments Caterpillar Track Loaders (model 973) at 23% Percentage Discount Caterpillar Track Loaders (model 963) at 22% Percentage Discount Caterpillar Track Loaders (models 239, 249, 259, 279, 289 & 299) at 21% Percentage Discount Caterpillar Track Loaders (model 953) at 19% Percentage Discount  Attachments and Accessories at Same Percentage Discount (same as base unit when ordered with base unit). Options at Same Percentage Discount	EA	0.00	0.00	0.00	0.00
	Contract Base Pricing		0.00000	EA		0001
2	22101528 / Wheel Loaders and/or Attachments & Accessories Caterpillar Wheel Loaders (models 918, 926, 930, & 938) at 24% Percentage Discount Caterpillar Wheel Loaders (models 903, 905, 907, 908, 910, & 914) at 23% Percentage Discount Caterpillar Wheel Loaders (model 950GC) at 20% Percentage Discount Caterpillar Wheel Loaders (models 950 & 962) at 18% Percentage Discount Caterpillar Wheel Loaders (model 966) at 15% Percentage Discount Caterpillar Wheel Loaders (models 972, 980, 982, & 988) at 11% Percentage Discount  Attachments and Accessories at Same Percentage Discount (same as base unit when ordered with base unit). Options at Same Percentage Discount	EA	0.00	0.00	0.00	0.00
	Contract Base Pricing		0.00000	EA		0001
3	25101600 / Telehandler and/or Attachments & Accessories Caterpillar Telehandler (models TH3510, TH357, TH408, TH514, TL642, TL943, TL1055, & TL1255) at 24% Percentage Discount Caterpillar Telehandler (model TH255) at 23% Percentage Discount  Attachments and Accessories at Same Percentage Discount (same as base unit when ordered with base unit). Options at Same Percentage Discount	EA	0.00	0.00	0.00	0.00
	Contract Base Pricing		0.00000	EA		0001

Final = The price is final after adjustments  
 Hard = Apply adjustments regardless of other adjustments  
 Skip = Skip adjustments if any other adjustments have been applied

**Authorized Signature**



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**Contract Lines:**

Line #	Cat CD / Item ID / Item Desc	UOM	Minimum Order Qty	Amt	Maximum / Open Qty	Amt
4	22101509 / Backhoe and/or Attachments & Accessories Caterpillar Backhoe (models 415, 416, 430, 440, & 450) at 22% Percentage Discount	EA	0.00	0.00	0.00	0.00
	Attachments and Accessories at Same Percentage Discount (same as base unit when ordered with base unit). Options at Same Percentage Discount					
	Contract Base Pricing		0.00000	EA		0001
5	22101529 / Skid Steer and/or Attachments & Accessories Caterpillar Skid Steer (models 226, 232, 236, 242, 246, 262, 272, 257, 277, 287, 297, 239, 249, 259, 279, 289, & 299) at 21% Percentage Discount	EA	0.00	0.00	0.00	0.00
	Attachments and Accessories at Same Percentage Discount (same as base unit when ordered with base unit). Options at Same Percentage Discount					
	Contract Base Pricing		0.00000	EA		0001
6	22101526 / Track & Wheeled Excavator and/or Attachments & Accessories Caterpillar Track Excavators (models 300.9, 301.4, 301.5, 301.7, 301.8, 302.4, 302.7, 303, 303.5, 304, 304.5, 305, 305.5, 307, 307.5, 308, 309, & 310) at 20% Percentage Discount Caterpillar Track Excavators (models 315 & 316) at 19% Percentage Discount Caterpillar Track Excavators (model 311) at 18% Percentage Discount Caterpillar Track Excavators (models 313GC, 318, & 320GC) at 16% Percentage Discount Caterpillar Track Excavators (models 313, 320, 323, 325, 326, 330, 335, 336) at 15% Percentage Discount Caterpillar Track Excavators (models 349, 352, & 374) at 10% Percentage Discount  Caterpillar Wheeled Excavators (models M314, M315, M16, M317, M318, M320, & M322) at 26% Percentage Discount	EA	0.00	0.00	0.00	0.00
	Attachments and Accessories at Same Percentage Discount (same as base unit when ordered with base unit). Options at Same Percentage Discount					
	Contract Base Pricing		0.00000	EA		0001

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Tax Exempt? Y Tax Exempt ID:736017987

### Contract Lines:

Line #	Cat CD / Item ID / Item Desc	UOM	Minimum Order Qty	Amt	Maximum / Open Qty	Amt
7	22101502 / Motor Grader and/or Attachments & Accessories Caterpillar Motor Grader (model 120) at 34% Percentage Discount Caterpillar Motor Grader (models 12, 140, & 160) at 30% Percentage Discount Caterpillar Motor Grader (model 14) at 19% Percentage Discount  Attachments and Accessories at Same Percentage Discount (same as base unit when ordered with base unit). Options at Same Percentage Discount	EA	0.00	0.00	0.00	0.00
	Contract Base Pricing		0.00000	EA	0001	
8	22101522 / Dozer and/or Attachments & Accessories Caterpillar Dozer (models D3, D4, & D5) at 23% Percentage Discount Caterpillar Dozer (model D6) at 21% Percentage Discount Caterpillar Dozer (models D7 & D8) at 19% Percentage Discount Caterpillar Dozer (models 814, 824, & 834) at 15% Percentage Discount Caterpillar Dozer (models 815 & 825) at 13% Percentage Discount Caterpillar Dozer (models D9 & D10) at 10% Percentage Discount  Attachments and Accessories at Same Percentage Discount (same as base unit when ordered with base unit). Options at Same Percentage Discount	EA	0.00	0.00	0.00	0.00
	Contract Base Pricing		0.00000	EA	0001	
9	22101540 / Site Dumper Track and Wheeled, Articulated Dump, Hauler and/or Attachments & Accessories Caterpillar Site Dumper (models 621, 623, 627, & 637)at 18% Percentage Discount Caterpillar Site Dumper(models 725, 730, 735, 740GC, & 745)at 17% Percentage Discount  Attachments and Accessories at Same Percentage Discount (same as base unit when ordered with base unit). Options at Same Percentage Discount	EA	0.00	0.00	0.00	0.00
	Contract Base Pricing		0.00000	EA	0001	

### COMMENTS:

SAM-Warren CAT  
 Caterpillar Brands

Warren CAT Point of Contact: Buzz McDonald

Contract Period: 04/11/2019 - 04/10/2019  
 Agreement Period: 04/11/2019 - 04/10/2023

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Award based on OMES Solicitation OK-SW-192, where Oklahoma is the lead state for a NASPO ValuePoint Contract.

Pricing - Attachment C - Caterpillar

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