



Solicitation

1. **Solicitation#:** 0470000009 - REBID

2. **Solicitation Issue Date:** March 31, 2017

3. **Brief Description of Requirement:**

The State of Oklahoma Office of Management and Enterprise Services, Central Purchasing, on behalf of the Oklahoma Indigent Defense System is seeking proposals from qualified suppliers for the procurement of a proven and successfully public defender specific commercial off-the-shelf (COTS) case management system (CMS) web-based application software solution, implementation, legacy data import, training, and maintenance and support, to replace an existing system.

4. **Response Due Date:** May 18, 2017

Time: 3 p.m. Central Time

5. **Issued By and Return Sealed Bid To:**

Office of Management and Enterprise Services
ATTN: Jessy Dang
5005 N. Lincoln Blvd., Suite 300
Oklahoma City, OK 73105

6. **Contracting Officer:**

Name: Jessy Dang
Email: jessy.dang@omes.ok.gov

Communications Concerning Solicitation

The contracting officer listed on the cover page of this solicitation is the only individual in which the bidder should be in contact with concerning any issues with this solicitation. Failure to comply with this requirement may result in the bidder response being considered non-responsive and not considered for further evaluation.

TABLE OF CONTENTS

- A. GENERAL PROVISIONS3
- A.1. Definitions3
- A.2. Certification Regarding Debarment, Suspension, and Other Responsibility Matters.....3
- A.3. Bid Public Opening.....4
- A.4. Late Bid.....4
- A.5. Legal Contract.....4
- A.6. Pricing.....4
- A.7. Firm Fixed Price.....4
- A.8. Pricing Requirements.....4
- A.9. Manufacturers’ Name and Approved Equivalents4
- A.10. Rejection of Offer5
- A.11. Award of Contract5
- A.12. Contract Modification5
- A.13. Delivery, Inspection and Acceptance5
- A.14. Invoicing and Payment.....5
- A.15. Audit and Records Clause.....6
- A.16. Non-Appropriation Clause.....6
- A.17. Choice of Law and Venue6
- A.18. Termination for Cause.....6
- A.19. Termination for Convenience6
- A.20. Insurance6
- A.21. Employment Relationship.....7
- A.22. Compliance with the Oklahoma Taxpayer and Citizen Protection Act of 2007.....7
- A.23. Compliance with Applicable Laws7
- A.24. Gratuities.....7
- A.25. Preclusion from Resulting Contracts8
- A.26. Mutual Responsibilities.....8
- A.27. Background Checks and Verifications.....8
- A.28. Confidentiality.....8
- A.29. Unauthorized Obligations.....8
- A.30. Electronic and Information Technology Accessibility.....8
- A.31. Patents and Copyrights.....9
- A.32. Assignment9
- A.33. Severability.....9
- A.34. Paragraph Headings9
- A.35. Failure to Enforce.....9
- A.36. Conflict of Interest9
- A.37. Limitation of Liability.....10
- A.38. Media Ownership (Disk Drive and/or Memory Chip Ownership)10
- A.39. Offshore Services.....10
- A.40. Failure to Provide10
- A.41. Agency Policies.....10
- A.42. Compliance with Technology Policies10
- A.43. <https://www.ok.gov/cio/documents/InfoSecPPG.pdf> High Technology System Performance and Upgrades.....10
- A.44. Emerging Technologies.....11
- A.45. Ownership Rights.....11
- A.46. Source Code Escrow – Reference Title 62 O.S. § 34.3111
- A.47. Right to Renegotiate12
- A.48. Used or New Products12
- A.49. Publicity.....12
- A.50. Mandatory and Non-Mandatory Terms12
- A.51. Non Tobacco – Smoke Free12
- A.52. OMES - ISD / Agency Relationship.....12

A.53.	Acceptance of Solicitation Content.....	12
A.54.	Special Provisions.....	12
B.	SPECIAL PROVISIONS.....	13
B.1.	Contract Term, Renewal and Extension Option.....	13
B.2.	Obligations of Permitted Subcontractor.....	13
B.3.	Warrants.....	13
B.4.	Manufacturer Accessibility VPAT Website.....	13
B.5.	Commercial Off-The-Shelf (Cots) Software.....	14
B.6.	Supplier Services.....	14
B.7.	Delivery, Acceptance, and Testing.....	14
B.8.	Information Security.....	14
B.9.	User Logon Authorization.....	14
B.10.	Background Checks and Verifications.....	14
B.11.	Ownership of Data and Confidential Information.....	14
C.	SPECIFICATIONS.....	15
C.1.	Background.....	15
C.2.	Purpose/Objective.....	15
C.3.	Current Environment.....	16
C.4.	Place of Performance.....	16
C.5.	Scope of Work.....	16
C.6.	Mandatory Minimum Requirements/Specifications.....	16
C.7.	Non-Mandatory Technical Specifications.....	19
C.8.	Value Added Features.....	21
C.9.	Staffing Plan.....	22
C.10.	Implementation/Work Plan (Project Schedule).....	22
C.11.	Training Plan.....	22
C.12.	Maintenance/Support.....	23
C.13.	Incident Management and Problem Escalation.....	23
C.14.	Project Management.....	24
D.	EVALUATION.....	24
D.1.	Evaluation and Award.....	24
D.2.	Proposal Clarification Questions.....	25
D.3.	Competitive Negotiations of Offers.....	25
D.4.	Selection Criteria.....	25
D.5.	Evaluation Process.....	25
E.	INSTRUCTIONS TO Bidder.....	27
E.1.	Introduction.....	27
E.2.	Preparation of Bid.....	27
E.3.	Submission of Bid.....	27
E.4.	Bid Change.....	28
E.5.	Solicitation Amendments.....	28
E.6.	Proprietary and/or Confidential.....	28
E.7.	Oklahoma Open Records Act.....	28
E.8.	Communications Concerning Solicitation.....	28
E.9.	Administrative Review.....	28
E.10.	General Solicitation Questions.....	28
E.11.	P-Cards.....	29
E.12.	Electronic Funds Transfer (EFT).....	29
E.13.	Bid Deliverables.....	29
E.14.	Awardee Financial Status.....	30
E.15.	Notice of Award.....	30
F.	Price and Cost.....	30
F.1.	Pricing.....	31
F.2.	Value Added Features Pricing.....	31

GENERAL PROVISIONS

The following provisions shall apply where and as applicable to this Solicitation.

A.1. Definitions

As used herein, the following terms shall have the following meaning unless the context clearly indicates otherwise:

- A.1.1.** "Acquisition" means items, products, materials, supplies, services and equipment acquired by purchase, lease purchase, lease with option to purchase, or rental pursuant to applicable state law.
- A.1.2.** "Amendment" means a written restatement of or modification to a Contract Document executed by both parties.
- A.1.3.** "Bid" means an offer in the form of a bid, proposal or quote a Bidder submits in response to this Solicitation.
- A.1.4.** "Bidder" means an individual or Business Entity that submits a Bid in response to this Solicitation.
- A.1.5.** "Business Entity" means any individual, business, partnership, joint venture, corporation, S-corporation, limited liability corporation, limited liability partnership, limited liability limited partnership, sole proprietorship, joint stock company, consortium, or other legal entity recognized by statute.
- A.1.6.** "COTS" means software that is commercial off the shelf.
- A.1.7.** "Contract" means this Solicitation, which together with other Contract Documents, as may be amended from time to time, evidences the final agreement between the parties with respect to the contract awarded pursuant to this Solicitation.
- A.1.8.** "Contract Document" means, when executed by all applicable parties as necessary, this Solicitation, the Bid of the awarded Supplier, any statement of work, work order, rider or similar document related hereto, any purchase order related hereto, other statutorily required or mutually agreed documents related hereto, and any Amendment to any of the foregoing.
- A.1.9.** "Closing Date and Time" is 3:00 P.M. Central Time on the date this Solicitation closes.
- A.1.10.** "Interlocal Entity" means, with respect to any state other than Oklahoma, any authority, office, bureau, board, council, court, commission, department, district, institution, unit, division, body or house of any branch of such state government, any political subdivision of such state, and any organization related to any of the foregoing.
- A.1.11.** "OMES - ISD" means the Office of Management and Enterprise Services, Information Services Division, on behalf of the State of Oklahoma.
- A.1.12.** "Procuring Agency" means the State of Oklahoma Agency initiating the procurement.
- A.1.13.** "Request for Information or RFI" means a non-binding procurement practice used to obtain information, comments, and feedback from interested parties or potential suppliers prior to issuing a solicitation.
- A.1.14.** "State" means the government of the State of Oklahoma, its employees and authorized representatives, including without limitation any department, agency, or other unit of the government of the State of Oklahoma.
- A.1.15.** "State Entity" means any agency, authority, office, bureau, board, council, court, commission, department, district, institution, unit, division, body or house of any branch of the State government, any political subdivision of the State, and any organization related to any of the foregoing.
- A.1.16.** "State CIO" is the State Chief Information Officer or designee, in the capacity of the State Purchasing Director for information technology and telecommunications Acquisitions.
- A.1.17.** "Solicitation" means this document inviting Bids for the Acquisition referenced herein.
- A.1.18.** "Supplier" means the Bidder with whom the State enters into the Contract awarded pursuant to this Solicitation.
- A.1.19.** "Utilities" means a Bidder's reusable or pre-existing proprietary intellectual property that forms the basis for a customized or developed software deliverable for the State and which is specifically identified as such by the Bidder in writing prior to execution of the Contract awarded pursuant to this Solicitation.

A.2. Certification Regarding Debarment, Suspension, and Other Responsibility Matters

By submitting a Bid to this Solicitation:

- A.2.1.** The Bidder certifies that the Bidder and its principals or participants:
 - A.2.1.1.** Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any federal, state or local department or agency;

- A.2.1.2.** Have not within a three-year period preceding this Contract been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) contract; or for violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- A.2.1.3.** Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the foregoing offenses enumerated in this certification; and
- A.2.1.4.** Have not within a three-year period preceding this Contract had one or more public (federal, state or local) contracts terminated for cause or default.

A.2.2. Where the Bidder is unable to certify to any of the statements in the certification above, Bidder shall attach an explanation to the Bid.

A.3. Bid Public Opening

Sealed Bids may be opened upon public request at the time and date specified herein as the Closing Date and Time.

A.4. Late Bid

Bids received by the State after the Closing Date and Time shall be deemed non-responsive and shall not be considered for any resultant award.

A.5. Legal Contract

By submitting a Bid to this Solicitation:

- A.5.1.** Submitted Bids are rendered as a legal offer and when accepted by the State, shall constitute a contract.
- A.5.2.** The Contract Documents resulting from this Solicitation shall have the following order of precedence: this Solicitation, other contract award documents, including but not limited to the Purchase Order, Amendments, required certification statements, change orders, license and other similar agreements; and the successful Bid. In the event there is a conflict between any of the preceding documents, the other contract award documents prevail over this Solicitation, and both the other contract award documents and this Solicitation shall prevail over the successful Bid. If there is a conflict between the terms of any Contract Document and applicable Oklahoma law, rules or regulations, such laws, rules and regulations shall prevail over the conflicting terms of the Contract Document.
- A.5.3.** Any Contract Document related to this Solicitation shall be legibly written or typed.
- A.5.4.** All transactions related to this Solicitation, and any Contract Document related hereto, may be conducted by electronic means pursuant to the Oklahoma Uniform Electronic Transactions Act.

A.6. Pricing

- A.6.1.** Bids shall remain firm for a minimum of one-twenty (120) days after the Closing Date and Time.
- A.6.2.** Bidders guarantee unit prices to be correct.
- A.6.3.** In accordance with 74 O.S. §85.40, all travel expenses to be incurred by Supplier in performance of the Contract shall be included in the total Bid price/contract amount.
- A.6.4.** All costs incurred by the Bidders for Bid preparation and participation in this competitive procurement shall be the sole responsibility of the Bidder. The State of Oklahoma shall not reimburse any Bidder for any such costs.

A.7. Firm Fixed Price

Unless this Solicitation specifies otherwise, a Bidder shall submit a firm, fixed price for the term of the Contract.

A.8. Pricing Requirements

If Bidder pricing does not meet requirements of the section herein titled Price and Cost, the Bid may be considered non-responsive.

A.9. Manufacturers' Name and Approved Equivalents

Unless otherwise specified in this Solicitation, manufacturers' names, brand names, information, and/or catalog numbers listed in a specification are for information and not intended to limit competition. Bidder may offer any brand for which it is an authorized representative, which meets or exceeds the specification for any item(s). However, if a Bid is based on equivalent products, indicate

on the Bid form the manufacturer's name and number. Bidder shall submit sketches, descriptive literature, and/or complete specifications with their Bid. Reference to literature submitted with a previous Bid shall not satisfy this provision. The Bidder shall also explain in detail the reason(s) why the proposed equivalent will meet the specifications and not be considered an exception thereto. Bids that do not comply with these requirements are subject to rejection.

A.10. Rejection of Offer

The State reserves the right to reject any Bids that do not comply with the requirements and specifications of this Solicitation. A Bid may be rejected when the Bidder imposes terms or conditions that would modify requirements of this Solicitation or limit the Bidder's liability to the State. Other possible reasons for rejection of Bids are listed in OAC 580:15-4-11

Attempts to impose unacceptable conditions on the State, or impose alternative terms not in the best interest of the State shall not be tolerated. Continued attempts to impose unacceptable conditions or terms on the State shall result in a determination of non-responsiveness of the Bid due to lack of compliance with the terms and conditions of negotiation or this Solicitation.

A.11. Award of Contract

- A.11.1.** The State may award the contract to more than one Bidder by awarding the contract(s) by item or groups of items, or may award the contract on an all or none basis, whichever is deemed to be in the best interest of the State of Oklahoma.
- A.11.2.** Contract awards shall be made to the lowest and best Bid(s) unless this Solicitation specifies that best value criteria is being used.
- A.11.3.** In order to receive an award or payments from the State of Oklahoma, Bidder must be registered. The Bidder registration process can be completed electronically through the website at the following link: <https://www.ok.gov/dcs/vendors/index.php>.
- A.11.4.** It is the preference of the State to award to a single Bidder. However, the State reserves the right to award to multiple Bidders when it has been determined to be in the best interest of the State.

A.12. Contract Modification

- A.12.1.** The Contract Documents issued as a result of this Solicitation is under the authority of the State personnel signing the Contract Documents. The Contract may be modified only through a written Amendment, signed by the State.
- A.12.2.** Any change to the Contract, including the addition of work or materials, the revision of payment terms, or the substitution of work or materials, directed by a person who is not specifically authorized by OMES - ISD in writing, or that is made unilaterally by the Supplier, is a material breach of the Contract. Unless otherwise specified by applicable law or rules, such changes, including but not limited to any unauthorized written Amendment, shall be void and without effect, and the Supplier shall not be entitled to any claim under the Contract based on those changes. No oral statement of any person shall modify or otherwise affect the terms, conditions, or specifications stated in the Contract.

A.13. Delivery, Inspection and Acceptance

- A.13.1.** All deliveries shall be F.O.B. Destination. The Supplier shall prepay all packaging, handling, shipping and delivery charges and prices quoted shall include all such charges. Any products delivered pursuant to this Contract shall be subject to final inspection and acceptance by the procuring entity at destination and the procuring entity has no responsibility for the delivered products prior to acceptance. Title and risk of loss or damage to all items shall be the responsibility of the Supplier until accepted. The Supplier shall be responsible for filing, processing, and collecting any and all damage claims accruing prior to acceptance. "Destination" shall mean delivered to the receiving dock or other point specified in the applicable purchase order.
- A.13.2.** Supplier shall be required to deliver products as offered on or before the required date. Deviations, substitutions, or changes in the products shall not be made unless expressly authorized in writing by the State or Interlocal Entity, as applicable.

A.14. Invoicing and Payment

- A.14.1.** Upon submission of an accurate and proper invoice, the invoice shall be paid in arrears after products have been delivered or services provided and in accordance with applicable law. Invoices shall contain the purchase order number, a description of the products delivered or services provided, and the dates of such delivery or provision of services.
- A.14.2.** State Acquisitions are exempt from sales taxes and federal excise taxes.

A.15. Audit and Records Clause

- A.15.1.** As used in this clause, "records" includes books, documents, accounting procedures and practices, and other data, regardless of type and regardless of whether such items are in written form, in the form of computer data, or in any other form. In accepting any contract with the State, the Supplier agrees any pertinent state or federal agency shall have the right to examine and audit all records relevant to execution and performance of this Contract.
- A.15.2.** The Supplier is required to retain records relative to this Contract for the duration of this Contract and for a period of seven (7) years following completion and/or termination of this Contract. If an audit, litigation, or other action involving such records is started before the end of the seven-year period, the records are required to be maintained for two (2) years from the date that all issues arising out of the action are resolved, or until the end of the seven (7) year retention period, whichever is later.

A.16. Non-Appropriation Clause

The terms of this Contract and any purchase order issued for multiple years under this Contract are contingent upon sufficient appropriations being made by the applicable state legislature, federal government or other appropriate government entity. Notwithstanding any language to the contrary in this Contract, or any other Contract Document, the State Entity or Interlocal Entity may terminate its obligations under this Contract if sufficient appropriations are not made by the Oklahoma Legislature, federal government or other appropriate governing entity to pay amounts that may become due under the terms of multiple year agreements in connection with this Contract. The decision as to whether sufficient appropriations are available shall be accepted by, and be final and binding on, the Supplier.

A.17. Choice of Law and Venue

- A.17.1.** Any claims, disputes or litigation relating to the Contract Documents, singularly or in the aggregate, or the execution, interpretation, performance, or enforcement thereof shall be governed by the laws of the State of Oklahoma, or in the case of an Interlocal Entity, in the state in which the Interlocal Entity is located, without regard to application of choice of law principles.
- A.17.2.** Venue for any action, claim, dispute, or litigation relating in any way to the Contract Documents shall be in Oklahoma County, Oklahoma, or in the case of an Interlocal Entity, as agreed to between such Interlocal Entity and Supplier or as otherwise provided by applicable law.

A.18. Termination for Cause

- A.18.1.** The Supplier may terminate this Contract in whole or in part for default with both a thirty (30) day written request and upon written approval from the State. The State may terminate this Contract in whole or in part for default or any other just cause upon a thirty (30) day written notification to the Supplier.
- A.18.2.** The State may terminate this Contract immediately, in whole or in part, without a thirty (30) day written notice to the Supplier, when violations are found to be an impediment to the function of the State and detrimental to the cause of a State Entity, when conditions preclude the thirty (30) day notice, or when the State determines that an administrative error occurred prior to Contract performance. Similarly, an Interlocal Entity may terminate its obligations to Supplier immediately upon any of the foregoing conditions in this subsection.
- A.18.3.** If this Contract or certain obligations hereunder are terminated, the State, State Entity or Interlocal Entity, as applicable, shall be liable only for payment for products delivered and accepted and such termination shall not be an exclusive remedy but shall be in addition to any other rights and remedies provided for by law.

A.19. Termination for Convenience

- A.19.1.** The State may terminate this Contract, in whole or in part, for convenience if the State Chief Information Officer determines that termination is in the State's best interest. The State shall terminate this Contract by delivering to the Supplier a notice of termination for convenience specifying the terms and effective date of termination. The Contract termination date shall be a minimum of sixty (60) days from the date the notice of termination is issued by the State. Similarly, an Interlocal Entity may terminate its obligations to Supplier upon a determination by the proper authority for such Interlocal Entity that termination is in the Interlocal Entity's best interest and notice of termination by such Interlocal Entity shall be provided in accordance with the foregoing requirements set forth in this subsection.
- A.19.2.** If this Contract or certain obligations hereunder are terminated pursuant to this section, the State, State Entity, or Interlocal Entity, as applicable, shall be liable only for products delivered and accepted and such termination shall not be an exclusive remedy but shall be in addition to any other rights and remedies provided for by law.

A.20. Insurance

The Supplier shall maintain and promptly provide proof to the State of the following insurance coverage, and any renewals,
Information Technology Solicitation Package Version 18

additions or changes thereto, as long as the Supplier has any obligation under a Contract Document:

- a) Worker's Compensation and Employer's Liability Insurance in accordance with applicable law.
- b) Commercial General Liability Insurance on a per occurrence basis with limits of liability not less than \$1,000,000 per occurrence and aggregate combined single limit, Personal Injury, Bodily Injury and Property Damage;
- c) Automobile Liability Insurance with limits of liability of not less than \$1,000,000 per occurrence combined single limit including bodily injury and property damage and with coverage, if applicable, for all owned vehicles, all non-owned vehicles, and all hired vehicles;
- d) Professional Errors and Omissions Insurance which shall include Consultant's Computer Errors and Omissions Coverage with limits not less than \$1,000,000 per claim and in the aggregate; and
- e) Additional coverage required by the State in writing in connection with a particular Acquisition.

A.21. Employment Relationship

This Contract does not create an employment relationship between the parties. Individuals performing services required by this Contract are not employees of the State, a State Entity or an Interlocal Entity and, accordingly, shall not be eligible for rights or benefits accruing to such employees including but not limited to health insurance benefits, workers' compensation insurance, paid vacation or other leave, or any other employee benefit.

A.22. Compliance with the Oklahoma Taxpayer and Citizen Protection Act of 2007

By submitting a Bid to this Solicitation, the Bidder certifies that it is registered and participates in the Status Verification System, available at www.dhs.gov/E-Verify, as required under applicable State law and is in compliance with applicable federal immigration laws and regulations. The Bidder agrees that compliance with the certification set forth in this section shall be a continuing obligation.

A.23. Compliance with Applicable Laws

A.23.1. In connection with its performance of obligations under the terms of this Contract, the Bidder certifies compliance with and, if awarded the Contract pursuant to this Solicitation, shall continue to comply with all applicable federal, state, and local laws, rules, regulations, ordinances and orders, as amended, including but not limited to the following:

- a) Drug-Free Workplace Act of 1988 and as implemented at 45 C.F.R. part 76, Subpart F;
- b) If the payments pursuant to the Contract are expected to exceed \$100,000.00, Section 306 of the Clean Air Act, Section 508 of the Clean Water Act, Executive Order 11738, and Environmental Protection Agency Regulations which prohibit the use under nonexempt Federal contract, grant or loans of facilities included on the EPA List of Violating Facilities;
- c) Prospective participant requirements set forth at 45 C.F.R. part 76 in connection with debarment, suspension and other responsibility matters;
- d) 1964 Civil Rights Act, Title IX of the Education Amendment of 1972, Section 504 of the Rehabilitation Act of 1973 and Executive Orders 11246 and 11375, Americans with Disabilities Act of 1990;
- e) For Persons entering into a grant or cooperative agreement over \$100,000.00 (as defined at 45 C.F.R. §93.105 and 93.110), Anti-Lobbying Law set forth at 31 U.S.C. §1325 and as implemented at 45 C.F.R. part 93;
- f) Obtaining certified independent audits conducted in accordance with Government Auditing Standards and Office of Management and Budget Circular A-133 with approval and work paper examination rights of the applicable procuring entity; and
- g) Be registered as a business entity licensed to do business in the State, (registration through the Oklahoma Secretary of State at <https://www.sos.ok.gov>), have obtained a sales tax permit and be current on franchise tax payments to the State, as applicable.

A.23.2. The Supplier shall maintain all applicable licenses and permits required in association with its obligations hereunder.

A.23.3. The Supplier shall inform its employees, agents and proposed subcontractors who perform services for the State under this Contract of the Supplier's obligations hereunder and shall require compliance accordingly. At the request of the State, Supplier shall promptly provide adequate evidence that such persons are its employees, agents or approved subcontractors and have been informed of their obligations hereunder.

A.24. Gratuities

The rights of Supplier under the terms of this Contract may be immediately terminated, in whole or in part, by written notice if it is determined that the Supplier, its employee, agent or another representative offered or gave a gratuity (e.g., an entertainment or gift) to any State or Interlocal Entity employee directly involved in this Contract. In addition, a Supplier determined to be guilty of such a violation may be suspended or debarred.

A.25. Preclusion from Resulting Contracts

Any Bidder that has provided any consulting services or technical assistance that resulted in any specifications or concepts in this Solicitation, either directly or indirectly, is precluded from being awarded the Contract and from securing a sub-contractor that has provided such services.

A.26. Mutual Responsibilities

The State and Supplier agree that:

- A.26.1.** Neither party grants the other the right to use any trademarks, trade names, or other designations in any promotion or publication without express written consent by the other party.
- A.26.2.** This is a non-exclusive Contract and each party is free to enter into similar agreements with others.
- A.26.3.** Each party grants the other only the licenses and rights specified in the Contract Document and all other rights and interests are expressly reserved.
- A.26.4.** Except as otherwise set forth herein, where approval, acceptance, consent, or similar action by either party is required under this Contract, such action shall not be unreasonably delayed or withheld

A.27. Background Checks and Verifications

At the sole discretion of the State, State Entity or Interlocal Entity, as applicable, employees of the Supplier and any subcontractor of the Supplier may be subject to background checks. If background check information is requested, the Supplier must submit, or cause to be submitted, the required information in a timely manner and the Supplier's access to facilities, data and information may be withheld prior to completion of background verification acceptable to the State, State Entity or Interlocal Entity.

A.28. Confidentiality

- A.28.1.** The Supplier shall maintain strict security of all State data and records entrusted to it or to which the Supplier gains access, in accordance with and subject to applicable federal and state laws, rules, regulations and policies and shall use any such data or records only as needed by Supplier for performance of its obligations hereunder. The Supplier further agrees to evidence such confidentiality obligation in a separate writing if required under such applicable federal or state laws, rules and regulations. If Supplier utilizes a permitted subcontractor, Supplier shall obtain specific written assurance, and provide a copy to the State, that the subcontractor shall maintain this same level of security of all data and records entrusted to or accessed by the subcontractor and agree to the same obligations as Supplier, to the extent applicable. Such written assurance may be set forth in the required subcontractor agreement referenced herein.
- A.28.2.** No State data or records shall be provided or the contents thereof disclosed to a third party unless specifically authorized to do so in writing by the State CIO or in compliance with a valid court order. The Supplier shall immediately forward to the State and the State CIO any request by a third party for data or records in the possession of the Supplier or any subcontractor or to which the Supplier or subcontractor has access and Supplier shall fully cooperate with all efforts to protect the security and confidentiality of such data or records in response to a third party request.

A.29. Unauthorized Obligations

At no time during the performance of this Contract shall the Supplier have the authority to obligate any other party hereto for payment of any goods or services over and above those set forth in this Contract. If the need arises for goods or services over and above the products, Supplier shall cease the project and contact the appropriate procuring entity for written approval prior to proceeding.

A.30. Electronic and Information Technology Accessibility

Supplier shall comply with federal and state laws, rules and regulations related to information technology accessibility, as applicable, including but not limited to Oklahoma Information Technology Accessibility Standards ("Standards") set forth at http://www.ok.gov/cio/documents/isd_itas.pdf and Supplier shall provide a Voluntary Product Accessibility Template ("VPAT") describing such compliance, which may be provided via a URL linking to the VPAT. If the products will require development or customization, additional requirements and documentation may be required and compliance shall be necessary by Supplier. Such requirements may be stated in appropriate documents including but not limited to state bids, request for proposals, statements of work, riders, agreements, purchase orders and Amendments. Accordingly, in each statement of work or similar document issued pursuant to this Contract, Supplier shall describe such compliance and identify, if and as applicable, (i) which exception to the Standards applies or (ii) a description of the tasks and estimated cost to make the proposed products and/or services compliant with applicable Standards.

All representations contained in the VPAT provided will be relied upon by the State for accessibility compliance purposes.

A.31. Patents and Copyrights

- A.31.1.** Without exception, the products prices shall include all royalties or costs owed by the Supplier to any third party arising from the use of a patent or copyright.
- A.31.2.** If a third party claims that any portion of the products provided by Supplier under the terms of this Contract infringes that party's patent or copyright, the Supplier shall defend the State against the claim at the Supplier's expense and pay all related costs, damages, and attorneys' fees incurred by, or assessed to, the State, provided the State (i) promptly notifies the Supplier in writing of the claim and (ii) to the extent authorized by the Attorney General of the State, allows the Supplier to control the defense and any related settlement negotiations. If the Attorney General of the State does not authorize sole control of the defense and settlement negotiations to Supplier, Supplier shall be granted authorization to equally participate in any proceeding related to this section but Supplier shall remain responsible to indemnify the State for all associated costs, damages and fees incurred by or assessed to the State.
- A.31.3.** If such a claim is made or appears likely to be made, the Supplier shall enable the State to legally continue to use, or modify for use, the portion of products at issue or replace such potential infringing products with at least a functional non-infringing equivalent. If the Supplier determines that none of these alternatives is reasonably available, the State shall return such portion of the products at issue to the Supplier, upon written request, in exchange for a refund of the price paid for such returned goods as well as a refund, if applicable, of other products which are rendered materially unusable as intended due to removal of the portion of products at issue.
- A.31.4.** Supplier has no obligation regarding a claim based on any of the following: (i) modification of a product by any party other than Supplier, its employee, agent, representative, permitted subcontractor, or any State employee acting in conjunction with the Supplier; (ii) a program's use in other than its specified operating environment; (iii) the combination, operation, or use of a product with other products not provided by Supplier as a system or (iv) infringement solely by a non-Supplier product that has not been provided to the State by, through or on behalf of the Supplier as opposed to its combination with products Supplier provides to or develops for the State as a system.

A.32. Assignment

Supplier's obligations under a Contract Document may not be assigned or transferred to any other person or entity without the prior written consent of the State which may be withheld in its sole discretion. Rights granted under the terms of this Contract may be assigned or transferred, at no additional cost, to other entities within the State.

A.33. Severability

If any provision for this contract shall be held to be invalid or unenforceable for any reason, the remaining provisions shall continue to be valid and enforceable. If a court finds that any provision of this contract is invalid or unenforceable, but that by limiting such provision it would become valid and enforceable, then such provision shall be deemed to be written, construed, and enforced as so limited.

A.34. Paragraph Headings

The headings used in this Contract are for convenience only and do not constitute part of the Contract.

A.35. Failure to Enforce

Failure by the State, as applicable, at any time to enforce a provision of, or exercise a right under, any Contract Document shall not be construed as a waiver of any such provision. Such failure to enforce or exercise shall not affect the validity of any Contract Document, or any part thereof, or the right of the State to enforce any provision of, or exercise any right under, a Contract Document at any time in accordance with its terms. Likewise, a waiver of a breach of any provision in a Contract Document shall not affect or waive a subsequent breach of the same provision or a breach of any other provision in a Contract Document.

A.36. Conflict of Interest

- A.36.1.** Bidder must provide immediate disclosure of any contractual relationship or any other relevant contact with any State personnel or another Supplier involved in the development of a Bidder's response to this Solicitation. Any conflict of interest shall, at the sole discretion of the State, be grounds for rejection of the Bid or termination of project involvement.
- A.36.2.** In addition to any requirement of law or through a professional code of ethics or conduct, the Supplier and the Supplier's employees performing services for the State are required to disclose any outside activity or interest that conflicts or may conflict with the best interest of the State. Further, without prior written approval of the State, such employees shall not plan, prepare, or engage in any activity that conflicts or may conflict with the best interest of the State as long as the Supplier has an obligation under this Contract. Prompt disclosure is required under this section if the activity or interest is related, directly or indirectly, to any person or entity currently under contract with or seeking

to do business with the State, its employees or any other third-party individual or entity awarded a contract with the State.

A.37. Limitation of Liability

To the extent any limitation of liability in any Contract Document is construed by a court of competent jurisdiction to be a limitation of liability in violation of applicable law, such limitation of liability shall be void.

A.38. Media Ownership (Disk Drive and/or Memory Chip Ownership)

A.38.1. In accordance with the State of Oklahoma Information Security Policy, Procedures, Guidelines set forth online at <http://www.ok.gov/cio/documents/InfoSecPPG.pdf> ("Electronic Media Retention Requirements"), any disk drives and memory cards purchased with or included for use in leased or purchased equipment under this Contract remain the property of the State.

A.38.2. Personal Identification Information may be retained within electronic media devices and components; therefore, the State shall not allow the release of electronic media either between State Entities or for the resale of refurbished equipment that has been in use by State Entities, by the Supplier to the general public or other entities. Electronic Media Retention Requirements shall also be applied to replacement devices and components, whether purchased or leased, the Supplier may supply during the downtime (repair) of equipment purchased or leased through this Contract. If a device has to be removed from a location for repairs, the State shall have sole discretion, prior to removal, to determine and enforce sufficient safeguards (such as a record of hard drive serial numbers) to protect Personal Identification Information that may be stored within the hard drive or memory of the device.

A.39. Offshore Services

No offshore services are provided for under this Contract. State data shall not be used or accessed internationally, for troubleshooting or any other use not specifically provided for herein without prior written permission, which may be withheld in the State's sole discretion, from the appropriate authorized representative of the State.

A.40. Failure to Provide

The Supplier's repeated failure to provide defined services, without reasonable basis as determined in the sole discretion of the State CIO, shall constitute a material breach of the Supplier's obligations, which may result in partial or whole cancellation of the Contract.

A.41. Agency Policies

The Supplier's employees and/or sub-contractors must adhere to the applicable State policies including, but not limited to acceptable use of Internet and electronic mail, facility and data security, press releases, and public relations. It is up to the Supplier to review and relay State policies covering the above to the consulting staff.

A.42. Compliance with Technology Policies

The Supplier agrees to adhere to the State of Oklahoma "Information Security Policy, Procedures, and Guidelines" available at:

A.43. <https://www.ok.gov/cio/documents/InfoSecPPG.pdf> High Technology System Performance and Upgrades

A.43.1. If an Acquisition pursuant to this Contract includes a "high technology system" as defined under Oklahoma law, the Supplier shall provide documentation of the projected schedule of recommended or required system upgrades or improvements to such system for the three (3) year period following the target purchase date. If Supplier does not plan such system upgrades or improvements, the Supplier shall provide documentation that no system upgrades or improvements to the high technology system are planned for the three (3) year period following the target purchase date.

A.43.2. Any Acquisition pursuant to this Contract of an upgrade or enhancement to a high technology system shall be conditioned upon the Acquisition being provided at no charge to the State; the Acquisition being provided to the State at no additional charge pursuant to a previous agreement with the Supplier; the Supplier providing documentation that any required or recommended upgrade will enhance or is necessary for performance of the applicable State agency duties and responsibilities; or the Supplier providing documentation that it will no longer supply maintenance assistance to the applicable State agency and the applicable State agency documenting that the functions performed by the high technology system are necessary for performance of the State agency duties and responsibilities.

A.44. Emerging Technologies

The State of Oklahoma reserves the right to modify the terms of this Contract at any time to allow for technologies not identified in this Contract. If there are repeated requests for an "emerging technology" and the State feels it is warranted to add such technologies, the State reserves the right to include such technology hereunder or to issue an Amendment to this Contract.

A.45. Ownership Rights

- A.45.1.** Any software developed by the Supplier is for the sole and exclusive use of the State including but not limited to the right to use, reproduce, re-use, alter, modify, edit, or change the software as it sees fit and for any purpose. Moreover, except with regard to any deliverable based on the Utilities, the State shall be deemed the sole and exclusive owner of all right, title, and interest therein, including but not limited to all source data, information and materials furnished to the State, together with all plans, system analysis, and design specifications and drawings, completed programs and documentation thereof, reports and listing, all data and test procedures and all other items pertaining to the work and services to be performed pursuant to this Contract including all copyright and proprietary rights relating thereto. With respect to Utilities, the Supplier grants the State, for no additional consideration, a perpetual, irrevocable, royalty-free license, solely for the internal business use of the State, to use, copy, modify, display, perform, transmit and prepare derivative works of Utilities embodied in or delivered to the State in conjunction with the products.
- A.45.2.** Except for any Utilities, all work performed by the Supplier of developing, modifying or customizing software and any related supporting documentation shall be considered as Work for Hire (as defined under the U.S. copyright laws) and, as such, shall be owned by and for the benefit of State.
- A.45.3.** In the event that it should be determined that any portion of such software or related supporting documentation does not qualify as "Work Made for Hire", Supplier hereby irrevocably grants to the State, for no additional consideration, a non-exclusive, irrevocable, royalty-free license to use, copy, modify, display, perform, transmit and prepare derivative works of any such software and any Utilities embodied in or delivered to the State in conjunction with the products.
- A.45.4.** Supplier shall assist the State and its agents, upon request, in preparing U.S. and foreign copyright, trademark, and/or patent applications covering software developed, modified or customized for the State. Supplier shall sign any such applications, upon request, and deliver them to the State. The State shall bear all expenses that incurred in connection with such copyright, trademark, and/or patent applications.
- A.45.5.** If any Acquisition pursuant to this Contract is funded wholly or in part with federal funds, the source code and all associated software and related documentation owned by the State may be shared with other publicly funded agencies at the discretion of the State without permission from or additional compensation to the Supplier.
- A.45.6.** It is understood and agreed that the Software is being developed by the Supplier for the sole and exclusive use of the State of Oklahoma. Moreover, except with regard to any deliverable based on Supplier's Utilities, the State of Oklahoma shall be deemed the sole and exclusive owner of all right, title, and interest therein, including all copyright and proprietary rights relating thereto.
- A.45.7.** Except for any Utilities, all work performed by the Supplier of software and any supporting documentation therefore shall be considered as Works for Hire (as such are defined under the U.S. Copyright Laws) and, as such, shall be owned by and for the benefit of State of Oklahoma.

A.46. Source Code Escrow – Reference Title 62 O.S. § 34.31

If required under applicable Oklahoma law relating to customized computer software developed or modified exclusively for a State Entity, the Supplier shall have a continuing obligation to comply with such law and place the source code for such software and any modifications thereto into escrow with an independent third party escrow agent. Supplier shall pay all fees charged by the escrow agent and enter into an escrow agreement, the terms of which are subject to the prior written approval of the State, with the escrow agent including terms that provide the State receives ownership of all escrowed source code upon the occurrence of any of the following:

- a) A bona fide material default of the obligations of the Supplier under the agreement with the agency;
- b) An assignment by the Supplier for the benefit of its creditors;
- c) A failure by the Supplier to pay, or an admission by the Supplier of its inability to pay, its debts as they mature;
- d) The filing of a petition in bankruptcy by or against the Supplier when such petition is not dismissed within sixty (60) days of the filing date;
- e) The appointment of a receiver, liquidator or trustee appointed for any substantial part of the Supplier's property;
- f) The inability or unwillingness of the Supplier to provide the maintenance and support services in accordance with the agreement with the agency;
- g) The ceasing of a Supplier of maintenance and support of the software; or

- h) Such other condition as may be statutorily imposed by the future amendment or enactment of applicable Oklahoma law.

A.47. Right to Renegotiate

Prior to exercising the State's right to cancel this Contract, the State may renegotiate the Contract for the purpose of obtaining more favorable terms for the State, provided that the term of the Contract is not modified.

A.48. Used or New Products

Bidder shall offer new items of current design unless this Solicitation specifies used, reconditioned, or remanufactured products are acceptable. Warranties in both cases should be the same.

A.49. Publicity

The award of this Contract to Supplier is not in any way an endorsement by the State of Supplier or the products and shall not be so construed by Supplier in any advertising or publicity materials. Supplier agrees to submit to the State all advertising, sales promotion, and other publicity matters relating to this Contract wherein the State's name is mentioned or language used from which the connection of the State's name therewith may, in the State's judgment, be inferred or implied as an endorsement. Supplier further agrees not to publish or use such advertising, sales promotion, or publicity matter or release any informational pamphlets, notices, press releases, research reports, or similar public notices concerning this Contract without obtaining the prior written approval of the State.

A.50. Mandatory and Non-Mandatory Terms

- A.50.1.** Whenever the terms "shall", "must", "will", or "is required" are used in this Solicitation, the specification being referred to is a mandatory specification of this Solicitation. Failure to meet any mandatory specification may cause rejection of a Bid.
- A.50.2.** Whenever the terms "can", "may", or "should" are used in this Solicitation, the specification being referred to is a desirable item and failure to provide any item so termed shall not be cause for rejection of a Bid.

A.51. Non Tobacco – Smoke Free

By order of the Governor's Executive Order 2012-01, effective August 06, 2012 the use of any tobacco product shall be prohibited on any and all properties owned, leased or contracted for use by the State of Oklahoma, including but not limited to all buildings, land and vehicles owned, leased or contracted for use by agencies or instrumentalities of the State of Oklahoma.

A.52. OMES - ISD / Agency Relationship

Pursuant to the Oklahoma Information Technology Consolidation and Coordination Act, OMES - ISD is the entity designated to purchase information technology assets on behalf of the State of Oklahoma. The Act directs OMES - ISD to acquire necessary hardware and software, and directs OMES - ISD to authorize the use of these assets by other State agencies. OMES - ISD, as the owner of information technology assets on behalf of the State of Oklahoma, allows other State agencies to use these assets while retaining ownership and the right to reassign them upon written notification to the Supplier.

A.53. Acceptance of Solicitation Content

Unless otherwise provided in Section One of the Bidder's response to this Solicitation, all Bids shall be firm representations that the responding Bidder has carefully investigated and will comply with all terms and conditions contained in this Solicitation. Upon award of any contract to the successful Bidder, the contents of this Solicitation, as may be amended by the Bid, shall become contractual obligations between the parties. Failure to provide all proposed Amendments to the terms and conditions contained in this Solicitation of the Bid may cause the Bid to be rejected from consideration for award.

A.54. Special Provisions

Special Provisions apply with the same force and effect as these General Provisions. However, conflicts or inconsistencies shall be resolved in favor of the Special Provisions.

B. SPECIAL PROVISIONS

B.1. Contract Term, Renewal and Extension Option

- B.1.1.** The initial contract period shall begin on the effective date and shall extend through One (1) Year (the "Initial Term") unless renewed, extended, or terminated in accordance with applicable contract provisions. The Supplier shall not commence work, commit funds, incur costs, or in any way act to obligate the State until so notified in writing of the approval of the contract. The authorized State representative is the only individual who can transmit that approval to the Supplier.
- B.1.2.** Under Oklahoma law, the State may not contract for a period longer than one (1) year (the "Initial Term"). By mutual consent of the parties hereto, it is intended that there shall be four (4) options to renew, subject to the terms and conditions set forth herein, each for duration of one (1) year.
- B.1.3.** After the Initial Term, the Agreement may be renewed annually upon mutual written consent of the parties. Prior to each renewal, the State shall subjectively consider the value of this Contract to the State, the Supplier's performance under the Contract and shall review certain other factors, including but not limited to the a) terms and conditions of Contract Documents to determine validity with current State and other applicable statutes and rules; b) then current products pricing and price discounts offered by Supplier; and c) then current products and support offered by Supplier.
- B.1.4.** If the State determines changes to a Contract Document are required as a condition precedent to renewal, the State and Supplier will cooperate in good faith to evidence such required changes in an Amendment.
- B.1.5.** The State, at its sole option, may choose to exercise an extension for ninety (90) days beyond the final renewal option period, at the Contract pricing rate. If this option is exercised, the State shall notify the Supplier in writing prior to contract end date. The State, at its sole option, may choose to exercise subsequent ninety (90) day extensions, by mutual consent and at the Contract pricing rate, to facilitate the finalization of related terms and conditions of a new award or as needed for transition to a new Supplier.
- B.1.6.** In the alternative, the State CIO reserves the right to extend any Contract awarded if it is determined to be in the best interest of the State.

B.2. Obligations of Permitted Subcontractor

- B.2.1.** If the Supplier is permitted to utilize subcontractors in support of this Contract, the Supplier shall remain solely responsible for its obligations under the terms of this Contract and for its actions and omissions and those of its agents, employees and subcontractors. Any proposed subcontractor shall be identified by entity name and by employee name in the applicable proposal and shall include the nature of the services to be performed. Prior to a subcontractor being utilized by the Supplier in connection with provision of the products, the Supplier shall obtain written approval of the State of such subcontractor and each employee of such subcontractor proposed for use by the Supplier. Such approval is within the sole discretion of the State. As part of the approval request, the Supplier shall provide a copy of a written agreement executed by the Supplier and subcontractor setting forth that such potential subcontractor is bound by and agrees to perform the same covenants and be subject to the same conditions, and make identical certifications to the same facts and criteria, as the Supplier under the terms of all applicable Contract Documents. Supplier agrees that maintaining such agreement with any subcontractor and obtaining prior approval by the State of any subcontractor and associated employees shall be a continuing obligation. The State further reserves the right to revoke approval of a subcontractor or an employee thereof in instances of poor performance, misconduct or for other similar reasons.
- B.2.2.** All payments for products shall be made directly to the Supplier. No payments shall be made to the Supplier for any services performed pursuant to this Contract by unapproved or disapproved employees of the Supplier or a subcontractor.

B.3. Warrants

Supplier warrants and represents that products or deliverables specified and furnished by or through the Supplier shall individually, and where specified by Supplier to perform as a system, be substantially uninterrupted and error-free in operation and guaranteed against faulty material and workmanship for a warranty period of a minimum of ninety (90) days from the date of acceptance or the maximum allowed by the manufacturer. Defects in products or deliverables specified and furnished by or through the Supplier shall be repaired or replaced by Supplier at no cost or expense to the State if such defect occurs during the warranty period.

B.4. Manufacturer Accessibility VPAT Website

The Supplier may provide a URL link for a website maintained by the Supplier or product manufacturer which provides VPAT's for all products offered through the Contract.

B.5. Commercial Off-The-Shelf (Cots) Software

In the event that Supplier specifies terms and conditions or clauses in an electronic license agreement notice that conflict with the terms of this Contract, the additional terms and conditions or conflicting clauses shall not be binding on the State and the provisions of this Contract shall prevail.

B.6. Supplier Services

The State of Oklahoma shall not guarantee any minimum or maximum amount of the Supplier services that may be required under this Contract.

B.7. Delivery, Acceptance, and Testing

All product and services are subject to inspection and testing by the OIDS and any that do not meet or exceed the specification may be rejected.

The OIDS shall be given up to ninety (90) days from the final completion of the installation (if installed by the Supplier) or up to ninety (90) days after delivery if the OIDS installs (using the Supplier's installation documentation or with the Supplier working onsite with OIDS staff) to test, evaluate, and accept the materials, software, and services (collectively, the deliverables) delivered or furnished under this contract.

If the Supplier's product or services fail to meet the specifications, then the same may be rejected and returned to the Supplier with a letter stating the reasons for non-acceptance. Such rejection will exempt the OIDS from all related costs incurred by the Supplier. The Supplier shall be given thirty (30) days to cure the nonconforming products or services and re-submit the deliverable(s) to the OIDS, with a letter explaining the corrections made, for inspection, re-testing, and re-evaluation. OIDS shall be given thirty (30) days to inspect, re-test, and re-evaluate the deliverable(s), and to issue a written notice of acceptance or rejection of the deliverables. If the deliverables submitted fail to pass acceptance within ninety (90) days, OIDS may, at its sole discretion, continue with the Supplier or terminate the agreement.

Deliverables must be accepted in writing by the OIDS before title shall pass to the OIDS or payment shall be authorized. However, acceptance by the OIDS following testing and evaluation shall not be conclusive that the deliverable(s) conform in all respects to the specifications. In the event that the OIDS discovers nonconformance after acceptance, whether due to a latent defect or otherwise, the Contactor shall take whatever corrective action as necessary so that the deliverable(s) conform to the specifications, including but not limited to, mediation or replacement of non-conforming products or services. Supplier warrants that, upon receipt of written notice by the OIDS of a latent defect in design, material, or workmanship, or a latent nonconformity of the software or services to the specifications, which would have constituted a basis for rejection if discovered prior to acceptance, it will repair or replace or otherwise correct the defect to the level of performance specified in this solicitation.

B.8. Information Security

The successful Supplier must sign a Confidentiality Non-Disclosure agreement and may be subject to Background Checks. The successful Supplier shall comply with information security auditing and compliance.

B.9. User Logon Authorization

The supplier will not be granted access to OIDS information systems without the prior approval and completion of a confidentially form. Certain types of access may require that a background check and verification be performed prior to granting access. Supplier will notify OIDS immediately when employees are terminated that have access to OIDS systems.

B.10. Background Checks and Verifications

At the sole discretion of the State, the Supplier may be subject to user background checks, depending on the information systems accesses or types of data provided to the Supplier. Supplier must submit the required background check information to the State within 7 days.

B.11. Ownership of Data and Confidential Information

No data will leave the agency in the conversion process.

All data, reports, and documents along with deliverables and the contents thereof, are the sole property of the State of Oklahoma.

C. SPECIFICATIONS

C.1. Background

The Oklahoma Indigent Defense System (OIDS) implements the Indigent Defense Act, 22 O.S. Section 1355 et seq., by providing trial, appellate and post-conviction criminal defense services to persons who have been judicially determined to be entitled to legal counsel at State expense. The mission of the OIDS is to provide indigents with legal representation comparable to that obtainable by those who can afford counsel and to do so in the most cost effective manner possible.

The OIDS is a state agency created effective July 1, 1991. The OIDS is appointed by the courts to represent all adult and juvenile indigents in 75 counties who are charged in felony, misdemeanor and traffic cases punishable by incarceration. The OIDS is further appointed by the courts to represent all indigents in 75 counties where the State is seeking the death penalty. The OIDS does not represent defendants charged in Oklahoma and Tulsa Counties, nor does it handle civil juvenile proceedings such as juvenile deprived cases or termination of parental rights proceedings

Upon conviction, the OIDS is appointed by the courts to represent indigent defendants on direct appeal to the Oklahoma Court of Criminal Appeals and, in death penalty cases, in post-conviction proceedings before the Oklahoma Court of Criminal Appeals. The OIDS is responsible for capital and non-capital direct appeals from judgments and sentences imposed in 75 counties. That responsibility extends to appeals from judgments and sentences imposed in Oklahoma and Tulsa Counties if 1) the indigent appellant was represented at trial by retained counsel, 2) the appellant was represented by court-appointed counsel other than the county public defender, or 3) the county defender has a conflict of interest on appeal. The OIDS is responsible for all capital post-conviction appeals in the State, including those where the indigent appellant was represented by a county defender on direct appeal. However, the OIDS does not handle non-capital post-conviction applications except in the rare circumstances when they are substantively related to an active trial-level or active appellate proceeding to which the OIDS has been appointed.

C.2. Purpose/Objective

The State of Oklahoma Office of Management and Enterprise Services, Central Purchasing Division, on behalf of the Oklahoma Indigent Defense System (OIDS) is seeking proposals from qualified suppliers for the procurement of a proven and successfully public defender specific Commercial off-the-Shelf (COTS) Case Management System (CMS) Web based application software solution, implementation, legacy data import, training, and maintenance and support, to replace an existing system. The State and Agency wish to engage in a contractual relationship with the best-qualified supplier that will work well with the OIDS's personnel in the performance of the services in a manner that is cost-effective and practical.

The solution shall also include a standardized portal for contract attorneys to enter case reports directly and submit monthly workload and case information electronically while also being able to view their submitted information.

For evaluation comparison purposes, the OIDS estimate the number of agency internal users to be (110). The estimated number of external portal contract attorney users to be (40). The OIDS anticipate there could be additional user added later if needed.

C.2.1. Goals

While not an exhaustive list, the proposed use of a public defender CMS is expected to accomplish the following Institutional Benefits and Efficiencies:

- Efficient management of case file information and integration of the entire workflow process, from initial court appointment through case closure.
- Transition from its current separate database system to a (single database) with a CMS designed to effectively and efficiently manage the entire workflow from initial assignment of a court-appointed case to its conclusion.
- Expedited approval process of contracted experts and conflict attorneys for a specific case.
- Integration of agency conflict case data, conflict attorney data, billing data and form documents.
- Integration of the information in expert service provider and attorney databases and contracting documents.
- Effective generation of standard pleadings, motions and documents, and ready access to scanned court documents from within the database.
- Ability to store any type of media files, automatically organizing files by type and group when they are added to or generated by the system. Document generation linked to automated workflows. CMS will allow for import or hyperlink of documents into the system.
- Availability of analytical elements resulting from agency-wide case management information.
- Enhanced caseload monitoring for each staff and contract attorney.
- Standardization of database elements across the agency while still maintaining strict confidentiality of client data within a given division.
- Standardized portal for contract attorneys to enter case reports directly and submit monthly workload and case information

electronically, freeing up support staff from entering thousands of paper case activity reports into the database by hand from numerous contracting attorneys.

- Effective conflict assessment between clients.
- Continued preservation of the “separate law firm” structure and confidentiality necessary to maintain continued operations and caseloads.
- Simplify and automate many areas that are currently labor intensive. Reduce redundant data entry, reduce paper flow, and streamline best practices.

C.3. Current Environment

OIDS current CMS is an in house developed solution that consist of 12 Microsoft Access 97 Databases which contain data elements that will need to be import into the new system. There are three types of data to be imported: 1) Client Tracking; 2) Professional Expert and Attorney Services; and 3) Contract Account Tracking.

- 1) Client Tracking. There are ten separate databases, one for each Division within the Agency. The table structure for core elements is the same across all of these databases.
- 2) Professional Expert and Attorney Services. This one database stores the approved contractors, their expertise, and their fee for services.
- 3) Contract Account Tracking. This database tracks the amount of money spent on each case.

C.4. Place of Performance

Limited office space will be made available for use by the vendor during the project at OIDS location

- OK Indigent Defense System
111 N. Peters Ave, Suite 500
Norman, OK 73069

C.5. Scope of Work

As part of the Case Management System solution project, the vendor will be responsible for performing tasks throughout various stages of this project. The following is a list of these tasks which will result in the successful completion of this project:

- Project Kickoff
- Analysis
- Implementation
- Data conversion
- Testing
- Training
- Maintenance and Support
- Project Management
- Production –Go live
- Project Handoff/Closure

C.6. Mandatory Minimum Requirements/Specifications

Mandatory requirements are the minimum capabilities, features, and/or technical standards that must be met by the proposed solution to be determined responsive. The Bidder shall respond to each technical specification, features, and functionalities that should be met by the proposed solution. The Bidder response should be in the form of a narrative to each of the technical specifications. The narrative shall clearly describe their ability to meet them, how they will be met, and what assurances of success the proposed approach will provide. Bidder should provide description examples, samples, and or screenshots as applicable to the proposed solution. Proposals meeting the minimum mandatory requirements will be evaluated further and assigned points.

C.6.1. The OIDS requires the bidder to develop and provide a preliminary Statement of Work (SOW) that describes the full life cycle of the development, deployment, transition and support for the implementation of the proposed solution, including all deliverables to be produced. The vendor shall manage the cut over process to ensure that there is no break in service between operating on the old systems and the new software application. The proposed plan will be finalized during project kickoff.

C.6.2. The vendor shall be required to provide validation of all required hardware and system software and the installation and configuration of the application software to ensure that all features are fully functional. This includes appropriate testing of each application, training of the OIDS personnel and documentation of all system components.

- C.6.3.** Existing Data should be converted by the vendor. The OIDS requires the vendor to have a defined approach for conducting data conversion and experience with converting data from the technologies currently in use by the OIDS. Describe your strategy and involvement for conducting data conversion, including the methodology to be used in developing conversion specifications and the identification of any potential issues. Describe the constraints and risks associated with data conversion for this project and how you will address these to ensure successful data conversion.
- C.6.4.** The vendor shall install the software application and all required system enhancements and modifications, and shall provide system documentation as well as documentation of all modifications.
- C.6.5.** The vendor shall abide by OIDS standard use policy and security policy when connected to the OIDS's network.

C.6.6. Application Software & Licensing

Bidder must list and describe in Table 1.0 all application software included in the proposed solution, including supplemental software such as report writers, configuration management tools and others. Describe the options available to the State for licensing the required and optional software you are proposing. The options may include, but not limited to, named user, per server, per processor, per location, per site, per business role, per software component, concurrent user, or enterprise. Describe the modules of your proposed case management system. Describe the standard modules provided with the base system and any optional components and how they are priced, i.e. per seat, per module, etc. Identify any third-party software, modules or components.

Table 1.0 - Software and Description					

C.6.7. Application Software Functionality

The Bidder shall respond to each technical specification, features, and functionalities that should be met by the proposed solution.

	Table 2.0 - Mandatory Minimum Requirements /Specification	Fully Meets (Current Capability)	Meets w/ Customization	Partially Meets	Future Release	Does Not Meet, (N/A)
Req #	Software Functionality					
C.6.7.1	The applications shall store data in a MS SQL environment on OIDS's 2012R2 Microsoft SQL server. Internal users access should be integrated through windows active Directory.					
C.6.7.2.	The solution shall be configurable where it can be modified without intense vendor custom development of the software to achieve the needs of the OIDS.					
C.6.7.3.	The solution shall offer some customization capabilities with the flexibility to meet current and future needs. The solution shall provide anytime/anywhere access, an application hosted in house on premise.					
C.6.7.4.	The solution must be scalable to accommodate growth.					
C.6.7.5.	The solution shall support the data entry, analytics, reporting, workflow management, and system administration.					
C.6.7.6.	The solution shall provide screens to enter/ input and view data regarding Case Information, significant persons, name, type of relationships, address, phone number(s), and date of birth etc.					
C.6.7.7.	The solution shall allow for an unlimited number of identification numbers that can be associated to the case and name.					
C.6.7.8.	The solution shall be able to generate an					

	<p>OIDS case number as defined by business rules.</p>					
C.6.7.9.	<p>The solution shall be able to link multimedia files (images, audio, video etc.) to the cases.</p>					
C.6.7.10.	<p>The solution shall to track case assignments by OIDS number and track history.</p>					
C.6.7.11.	<p>Cases shall be able to be tracked during the Trial phase and then passed to another Division during the appellate process allowing selected information to be shared between the Divisions. The records should not be editable by the Trial Division after it has been passed onto the Appellate Division.</p>					
C.6.7.12.	<p>All matters shall be able to be organized by case types, so that cases are separated by case type and subtype from a felony, misdemeanor, traffic, or juvenile case. Each case type or special request is created and managed as a unique matter with its' own auto-generated internal case number, parties, witnesses, victims, dates, reminders, and "to do" lists. court case numbers will be treated as individual cases and associated with unique OIDS numbers that will be used to link all case information.</p>					
C.6.7.13.	<p>Shall be able to record an unlimited number of charges per case.</p>					
C.6.7.14.	<p>Shall have the ability for task delegation and assignment tracking</p>					
C.6.7.15.	<p>Shall provide point and click access to all documents generated or stored on the case, as well as their associated records.</p>					
C.6.7.16.	<p>Shall have scheduling and docketing capabilities</p>					
C.6.7.17.	<p>Shall provide for the development of custom Reports that can be Printed, stored and Exported.</p>					
C.6.7.18.	<p>Shall have a workflow solution that allows information, tasks and documents to be passed by business rules to the work queue of specified users.</p>					
C.6.7.19.	<p>Shall have the ability for workflow items to have deadlines and reminders, with individual items to be completed or reassigned.</p>					
C.6.7.20.	<p>Solution shall have the ability for case assignments to be automated by business rules based on different assignment criteria or case weighting so that workloads can be distributed among attorneys, investigators and others.</p>					
C.6.7.21.	<p>Workflow shall be a to accommodate automated workflows by nearly any data-driven parameters including case type, agency, case status or any event-driven activity.</p>					
C.6.7.22.	<p>Shall have customized workflow templates for standardized processes</p>					
C.6.7.23.	<p>Shall have multiple department workflow management</p>					
C.6.7.24.	<p>Shall provide the capability to generate form letters and allow for the users to modify those letters.</p>					

	System Security					
C.6.7.25.	The system shall support security profiles that restrict or allow access by role (e.g. attorney, paralegal, administrator etc).					

C.7. Non-Mandatory Technical Specifications

Bidder should provide detailed information for additional features/functions listed, describe how each of these items will be provided or accomplished. Bidder should provide examples, samples, and or screenshots as applicable to the proposed solution for the Items listed in **Table 3.0** below.

	Table 3.0 – Non-Mandatory Specifications	Fully Meets (Current Capability)	Meets w/ Customization	Partially Meets	Future Release	Does Not Meet, (N/A)
	Software Functionality					
C.7.1.	The ability to easily copy and paste specific case information including charges and involved persons for co-defendant cases, from one screen to another or from one Defendant to another.					
C.7.2.	Provide the ability to assign and reassign individual cases or groups of cases between Attorneys.					
C.7.3.	Provide a granular security rights management system for cases within a Division or for an attorney.					
C.7.4.	The ability to export/merge data from field at both the individual and case level to specified fields in MS Word, RTF and Excel formats.					
C.7.5.	For those cases and names with duplicate identifiers, the solution shall alert system users that there is a duplicate case. Allow the user (based on security level) to link the duplicate identifiers to the name or case record.					
C.7.6.	Allow users to view all involvements to a case (i.e., a case inquiry identifies the defendant, co-defendant, victim, witness, parent/guardian of juvenile, and any related cases) to verify any possible conflicts and allow users to go directly to a chosen case.					
C.7.7.	The ability to categorize a case with multiple classification codes (i.e., Domestic Violence, Felony, Misdemeanor, Traffic, Violation of Probation, Violation of Community Supervision, Special Proceedings, Motions, Mental Health and Civil Cases)					
C.7.8.	For cases with multiple charges, allow users to automatically repeat similar charge information.					
C.7.9.	Allow users to link a defendant's charges to specific involved persons, codefendants, and relationships.					
C.7.10.	One central location for all information related to each case.					
C.7.11.	Automatic time capturing tools, including accounting links					
C.7.12.	The ability to generate, add, change, and delete documents, including forms, standardized letters that are populated with software application data.					

C.7.13.	The ability for the System Administrator to create new forms, including those that would be populated with software application data.					
C.7.14.	Ability to create unlimited number of unique templates by trained users with appropriate security permissions and profile using Word and all data fields in the system available to be inserted into templates without writing database queries					
C.7.15.	Allow generated documents to be automatically linked and associate a case file and save to the central file repository for future reference.					
C.7.16.	Ability for all documents to be e-mailed from the case storage directory, copied linked to other cases, annotated and shared with others in the office, and downloaded for versioned editing using word processing software.					
C.7.17.	Ability for users to retrieve and enhance document images, view keywords associated with the document, add a note, redact, highlight, and save each version. Automatically stores the document's audit trail with a date and time stamp for each action performed on that document record					
C.7.18.	Ability to merge documents with data stored in the case or person record, and can be regenerated at any time.					
C.7.19.	Allows the user to access a document directly from the calendar screen, or open the full case record if additional documents or information is needed.					
C.7.20.	Allow assignment delegation, user assignment, and reassignment.					
C.7.21.	Automated task scheduling and delegation					
C.7.22.	Ability to manage outside counsel activities with extensive invoice/expense management and reporting/tracking capabilities. Validate against billing guidelines.					
C.7.23.	The ability to manage court case information, interface with Outlook email and calendar, Word, WordPerfect, & PDF documents, contact information management, and easily produce clear & accurate reports.					
C.7.24.	Ability to generated graphical and standard management dashboard reports					
C.7.25.	A solution that utilize the Microsoft Office Suite of products, Adobe PDF for document generation that can be Exported to multiple formats i.e. Excel, XML, CSV, MS Word, PDF, RTF.					
C.7.26.	Have the ability to generate on demand production of department statistical reporting.					
C.7.27.	Ability of the solution to produce information for current point in time or historical point in time by date and time range, i.e. court calendars.					
C.7.28.	Ability to ad-hoc reports as well as batch processing of pre-designed reports.					
C.7.29.	The ability to perform configurable searching and reporting					
C.7.30.	Work with other software programs like Microsoft, Office, and Adobe, Acrobat.					
C.7.31.	Operate on Desktops, tablet devices, and mobile devices.					
C.7.32.	Shall have workflow configuration utility that					

	enables administrators to control all aspects of workflow including: <ol style="list-style-type: none"> 1. creating new workflows or modifying existing ones, 2. identifying the specific event or action that inserts work into the queue, 3. determining how many items may be assigned, 4. automating actions to take if work is not completed within the specified timeframe, etc. 					
C.7.33.	Workflow system that contains a variety of functionalities: <ol style="list-style-type: none"> 1. Configurable triggers. 2. Configurable Time Standards 3. Ad-hoc Workflow Redirection 					
	System Security					
C.7.34.	Is security/vulnerability tested throughout the software development lifecycle					
C.7.35.	Complies with application security activities prescribed by regulations such as HIPAA, PCI DSS, etc.					
C.7.36.	Is developed utilizing secure coding practices (e.g., SEI CERT standards).					
C.7.37.	Provides secure configuration practices/documentation to aid OIDS in securely deploying the software.					
C.7.38.	Notifies customers of vulnerabilities identified and regularly provides security patches.					
C.7.39.	Capability for the software application to track the date, time, and login of the person who added, deleted or modified the record for key records, such as name and case information.					
C.7.40.	Capability for password modules to lock out users after three failed attempts. The module should require designated staff for intervention to remove the lock-out.					
C.7.41.	The capability to allow users to change their own passwords as needed.					
C.7.42.	Ability to allow multi-level security access rights, configurable to the individual user as determined by system administrator. Ability for rights to be configurable to assignment, function, or unit (certain privileges granted to certain individuals depending on job function).					
C.7.43.	Capability to allow for the use of defensive coding to prevent intentional or accidental entry of invalid data. These should include techniques, such as sanitizing input data, applying edits to prevent buffer overflows and entry of special characters sometimes used by hackers, applying data edits at both the form level and server level, to prevent intentional or accidental altering of data, etc.					
C.7.44.	The software application should support data exchanges which use VPN technology.					

C.8. Value Added Features

Bidder may provide a description of any functionality, product, or services not requested but would add value to the State. The OIDS preference is to have a feature/functional rich system. Bidder should provide detailed information for these value added features/functions listed, describe how each of these items will be provided or accomplished. Bidder should provide examples, samples, and or screenshots as applicable to the proposed solution. Bidder's response must state if the feature/function is available or unavailable and include any associated cost.

C.9. Staffing Plan

The successful, Supplier's staff must have, at a minimum, any mandatory certification, licensure, experience, and skills. If it becomes necessary for the Supplier to modify the staffing plan from that originally proposed, that personnel assigned to the project will be of equivalent education and experience as those originally proposed. This also applies to the use or substitution of subcontractors. Changes to the staffing plan following award of a contract must be approved, in writing and in advance, by the OIDS Project Manager, which shall not be unreasonably withheld. In the event such a staffing change becomes necessary, the Supplier shall make a written request to the OIDS Project Manager, including the resume or other description of the qualifications and experience of the proposed alternate and, if appropriate, a justification for the change. The Supplier shall be responsible for ensuring individuals with similar qualifications and experiences are provided as alternates. The OIDS reserves the right to interview the proposed alternate (via telephone or in person) and accept or reject the proposed change.

The proposed staffing plan shall:

- C.9.1. Identify the specific individual (s) who will work on the OIDS project, their qualifications, resume, past and similar experience.
- C.9.2. Include names of staff member(s) who will direct the overall project through the duration of the contract, as well as those staff members who will coordinate major activities during each phase.

C.10. Implementation/Work Plan (Project Schedule)

The bidder shall include a detailed plan for implementation of the proposed CMS solution, outlining the steps from the point of contract signing through complete acceptance and go-live of the future infrastructure in the production environment.

- C.10.1. Bidder shall submit a brief narrative (**not to exceed 2 pages**) describing the Bidder's capabilities, including a summary work plan and project timeline, based from an estimated date of award, and any proposed interim milestones. The Bidder must specify in the implementation- work plan, the tasks and activities that are to be undertaken, as well as identifying responsibility for completion of each activity and task.
- C.10.2. Bidder must submit a proposed work plan that will minimize system down-time or malfunction, includes estimated timelines for staged implementation, testing and will meet the expected effective date (Go Live). Bidder must propose an approach and/or a methodology to be utilized for implementing each deliverable describe in the Solicitation Requirements/Specifications, and shall provide samples of work relevant to each deliverable. Implementation/Work plan shall include a detailed Data Conversion/Transfer/ Migration Plan.
- C.10.3. The proposed approach must specify resources which will be required from OIDS to accomplish tasks contained within the work plan

C.11. Training Plan

The Bidder must include a description (**not to exceed 2 pages**) describing the recommended training required to have a full, working knowledge of the application software. Describe your approach for meeting the training requirement for the OIDS, including how your training methodology supports the integration of the new system into the day-to-day work activities of agency stakeholders. The OIDS expects the vendor to develop a training program to ensure that each type of individual involved with the system will have the knowledge to use and support it effectively. The OIDS requires that the Vendor has a defined approach for a smooth transition of control of the solution to the OIDS, ensuring that the OIDS's personnel are prepared with the appropriate knowledge to perform their roles and responsibilities after the Vendor has completed its responsibilities. Describe any constraints and risks that can be barrier to the success of the training effort and what actions will be taken to address these constraints and risks.

- C.11.1. For any training conducted by the Bidder or OIDS the bidder should include the proposed training environment, for example on-site, computer-based training, web, video on demand, webcasts, handbooks, user manuals, or other methods.
- C.11.2. On-site system administration training and manuals for OIDS technical staff shall be as soon as feasible as determined by the Bidder and OIDS.
- C.11.3. The bidder shall provide all initial series of user training prior to the software going into production and live.
- C.11.4. Bidder will identify any additional personnel that should be included in the training.
- C.11.5. Periodic –on-going training must be available for updates and new features
- C.11.6. Any requirements OIDS is expected to provide
- C.11.7. Technical Support Staff Training Program for Pilot
- C.11.8. Train–the-Trainer for End-User Training for State-wide Rollout

C.11.9. Knowledge Transfer to OIDS

C.11.10. Self Service Training

C.11.11. Comprehensive Documentation: User Documentation, Technical Documentation, Operations and Maintenance Documentation, Training manuals, Third party software documentation

C.11.11.1. List and describe in detail all documentation that will be provided, including the formats in which the documentation is available. Additionally, address how you provide ongoing updates to documentation (e.g., operations and maintenance documentation, training manuals) during the warranty period and during out support years.

C.11.12. Ongoing Operations Roles and Responsibilities

C.11.12.1. List and describe the roles and responsibilities needed to support normal operations and maintenance of the solution following implementation. Include technical (e.g., database administration, forms development, etc.) and administrative (e.g., system administrator, table updates, etc.) roles, and identify the approximate level of effort required in full-time equivalent (FTE) staff. Identify any specific skills which will be needed.

C.12. Maintenance/Support

Bidder shall provide a detail narrative (**not to exceed 2 pages**) describing how your maintenance and support help desk services will meet the requirements of the OIDS. The OIDS requires Vendors to provide ongoing maintenance and support services in support of the products comprising the solution and its usage after implementation during the performance of the contract, including but not limited to, standards and process updates.

- C.12.1.** Bidder shall provide a detailed Help desk description. Indicate whether or not there will be restrictions or limitations on who would be “authorized” to contact the help desk or on-call support staff.
- C.12.2.** Identification of and contact information (name; title; address; telephone and fax numbers; and e-mail address) for progressively higher levels that would become involved in resolving a problem.
- C.12.3.** Contact information (same as above) for persons responsible for resolving issues after normal business hours (i.e., evenings, weekends, holidays, etc.) and on an emergency basis
- C.12.4.** Support availability and responsiveness (provide days of the week and time)
- C.12.5.** Software configuration and any new software release, software patch update or software upgrade.
- C.12.6.** Updates and modifications to the software are periodically needed to meet legislative mandates and statutory requirements. Describe the extent to which providing such updates is included in your support agreement or if these are considered custom enhancements.
- C.12.7.** Bidder shall list the frequency of product upgrades and patches made to current platform. Are upgrades and patches optional or mandatory

C.13. Incident Management and Problem Escalation

Bidder shall provide procedures for incident management resolutions and problem escalation for the proposed solution. The Procedure must show how the Bidder will address problem situations as they occur the timeframes for resolution and levels of escalation during the performance of the contract.

- C.13.1.** Reporting methods and available options
- C.13.2.** Repair/restoration status updates — for different types of problems
- C.13.3.** The maximum duration that a problem may remain unresolved at each level before automatically escalating to a higher level for resolution
- C.13.4.** Circumstances in which the escalation will occur in less than the normal timeframe,
- C.13.5.** The nature of feedback on resolution progress, including the frequency of feedback,
- C.13.6.** Identification of and contact information (name; title; address; telephone and fax numbers; and e-mail address) for progressively higher levels that would become involved in resolving a problem,
- C.13.7.** Contact information (same as above) for persons responsible for resolving issues after normal business hours (i.e., evenings, weekends, holidays, etc.) and on an emergency basis
- C.13.8.** Process for updating and notifying the State Project Manager of any changes to the Problem Escalation Procedure

C.14. Project Management

Describe the project management methodology that will be followed for managing the implementation of the CMS consistent with the State's expectations. Include descriptions of the management tools, processes, and techniques that will be employed to guide the project, to measure and monitor progress, to identify and mitigate risks, facilitate completion of tasks, ensure quality, and to accommodate and manage unforeseen changes in scope or functionality. Include samples of project management tools and outputs that will be used on this project.

The OIDS requires Vendors to follow industry best practices for managing the project, preferably following the Project Management Institute project standards. The Prime Contractor will be responsible for providing the following project management services, subject to the direction of the OIDS's project manager(s):

Kickoff Meeting - Within 10 days of award, the project kickoff meeting is to be held at the OIDS. The intent of this meeting will be to finalize the project schedule/work plan, and project details. The minimum participants from the Supplier's team for this meeting will be the Project Manager, the Supplier's Manager/Account Executive providing corporate oversight of the project. OIDS will be represented by the OIDS Project Manager, the Project Team and any required Administration. In the event that a component of the system is provided through a subcontractor, the subcontractor's Project Manager and technical representative will be required to attend this. OIDS will provide meeting space and similar representation. The Supplier shall provide a written report to the OMES Project Manager within 5 working days documenting all discussions and decisions conducted at the kickoff meeting.

Project Schedule – The final Project Schedule, implementation/Work Plan, will be developed by the Supplier with input and final approval by OIDS. The agreed-upon project schedule may not be modified without the mutual written consent of the OIDS Project Manager and the Supplier's Project Manager.

Additional Project Management Support – If the project encounters difficulty, OIDS may require additional meetings or progress/status reports. The Supplier shall maintain and support such additional project management support in the format and at the frequency deemed necessary by OIDS, at no additional cost. At the discretion of OMES, such support may take the form of written reports, conference calls, and/or face-to-face meetings, as required.

Progress Reports – The successful Supplier shall provide periodic progress reports to the OIDS, at a frequency to be mutually agreed-upon, but not less often than monthly. Progress reports shall be provided via face-to-face meetings, conference call, or other mutually agreed methods. The Supplier shall be responsible for documenting all meetings and conference calls. Written summaries of meetings to include those participating, key points of discussion, any resulting decisions, or action items, and a written version of the progress report shall be provided to the OIDS Project Manager within two (2) business days of each meeting.

Requirements/Change Management - The successful supplier shall establish and enforce a system of requirements management/change management based upon the requirements of this RFP, the commitments made in the proposal response and the final approved requirements document. Changes to the final approved requirements must be approved in writing by the OIDS Project Manager and Supplier Project Manager and any additional cost associated with the change must be identified at the point of approval. Any changes that involve additional cost or changes to the scope of the contract must be approved by OMES/ISD Procurement.

D. EVALUATION

D.1. Evaluation and Award

D.1.1. Bids shall be evaluated on the "best value" determination.

D.1.2. The State reserves the right to request demonstrations and clarifications from any or all-responding Bidders.

D.2. Proposal Clarification Questions

The State reserves the right, at its sole discretion, to request clarifications of technical Bids or to conduct discussions for the purpose of clarification with any or all Bidders. The purpose of any such discussions shall be to ensure full understanding of the Bid. If clarifications are made because of such discussion, the Bidder(s) shall put such clarifications in writing. The clarification shall not alter or supplement the Bid.

D.3. Competitive Negotiations of Offers

The State reserves the right to negotiate with one, selected, all or none of the Bidders responding to this Solicitation to obtain the best value for the State. Negotiations could entail discussions on products, services, pricing, contract terminology or any other issue material to an award decision or that may mitigate the State's risks. The State shall consider all issues negotiable and will not be artificially constrained by internal corporate policies. Negotiation may be with one or more Bidders, for any and all items in the Bid.

Firms that contend that they lack flexibility because of their corporate policy on a particular negotiation item shall face a significant disadvantage and may not be considered. If such negotiations are conducted, the following conditions shall apply:

- D.3.1.** Negotiations may be conducted in person, in writing, or by telephone.
- D.3.2.** Negotiations shall only be conducted with potentially acceptable Bids. The State reserves the right to limit negotiations to those Bids that received the highest rankings during the initial evaluation phase.
- D.3.3.** Terms, conditions, prices, methodology, or other features of the Bid may be subject to negotiations and subsequent revision. As part of the negotiations, the Bidder may be required to submit supporting financial, pricing, and other data in order to allow a detailed evaluation of the feasibility, reasonableness, and acceptability of the Bid.
- D.3.4.** The requirements of this Solicitation shall not be negotiable and shall remain unchanged unless the State determines that a change in such requirements is in the best interest of the State of Oklahoma.
- D.3.5.** BEST and FINAL – The State may request best and final Bids if deemed necessary, and shall determine the scope and subject of any best and final request. However, the Bidder should not expect an opportunity to strengthen its Bid and should submit its best Bid based on the terms and condition set forth in this solicitation.

D.4. Selection Criteria

Note: Accessibility is required to be a part of the selection criteria.

- D.4.1.** VPAT
- D.4.2.** Technical Specifications Response
- D.4.3.** Staffing Plan
- D.4.4.** Implementation/Work Plan
- D.4.5.** Training Plan
- D.4.6.** Incident Management and Problem Escalation
- D.4.7.** Maintenance/Support
- D.4.8.** References
- D.4.9.** Company Information
- D.4.10.** Cost
- D.4.11.** Demonstrations if applicable

D.5. Evaluation Process

D.5.1. Determination of Solicitation Responsiveness

A responsive Bid is a Bid that meets all the following Solicitation requirements:

- Responding Bidder Information Sheet, complete Form 076
- Certification for Competitive Bid and Contract (Non-Collusion Certification) Form 004
- VPAT
- Amendments, if issued, are acknowledged.

Meeting all requirements outlined above allows the offer to proceed in the evaluation process. Failure to meet all of the above may result in the proposal being disqualified from further evaluation.

Note: The following evaluation process is not presented in any sequence as any selection process may overlap the other in the evaluation.

D.5.2. Evaluation of Bid

The technical section of the Bid is evaluated based on the Solicitation specifications **Section C**.

D.5.3. Evaluation of Cost

Cost comparisons are performed.

D.5.4. Demonstrations

If desired by the evaluation committee, the Bidder may be required to provide product/services demonstrations.

D.5.5. Best Value Evaluation of Product/Services

The award of Contract pursuant to this Solicitation to a Bidder is based upon which Bidder best meets the needs of the State.

The State reserves the right to negotiate with one or more Bidders, at any point during the evaluation and may negotiate any and all content of the Bid.

D.5.6. Each Bidder should be prepared to participate in oral presentations and demonstrations to define the Bid, to introduce the Bidder's team, and to respond to any and all questions regarding the Bid if requested by the State prior to award.

E. INSTRUCTIONS TO BIDDER

E.1. Introduction

Prospective Bidders are urged to read this Solicitation carefully. Failure to do so shall be at the Bidder's risk. Provisions, terms, and conditions may be stated or phrased differently than in previous solicitations. Irrespective of past interpretations, practices or customs, Bids shall be evaluated and any resultant contract(s) shall be administered in accordance with the plain meaning of the contents hereof. The Bidder is cautioned that the requirements of this Solicitation can be altered only by written Amendment approved by the State and that verbal communications from whatever source are of no effect. In no event shall the Bidder's failure to read and understand any term or condition in this Solicitation constitute grounds for a claim after award of the Contract.

E.2. Preparation of Bid

- E.2.1.** Any usage amounts specified are estimates only and are not guaranteed to be purchased.
- E.2.2.** Information shall be entered on the form provided or a copy thereof.

E.3. Submission of Bid

- E.3.1.** All Bids must be submitted to OMES – ISD to the attention of the Procurement Specialist as identified on the front page of this Solicitation. It is the Bidder's sole responsibility to submit information in the Bid as requested by this Solicitation. The Bidder's failure to submit required information may cause its Bid to be rejected.
- E.3.2.** The Bid should be paginated and indexed in alpha order with reference to specific sections of this Solicitation. All Bids shall be legibly written or typed. Any corrections to Bids shall be initialed. Penciled Bids and penciled corrections shall not be accepted and shall be rejected as non-responsive. Unnecessarily elaborate brochures or other presentations beyond those necessary to present a complete and effective Bid are not desired.
- E.3.3.** Each Bidder must submit one (1) original hard-copy of the Bid and five (5) duplicate copies for a total of six (6) hard-copy documents. In addition, each Bidder must submit one (1) and one (1) copies of the Bid on CD, DVD, or thumb drive for a total of two (2) electronic documents in a "machine readable" format. One (1) CD, DVD, or thumb drive shall be marked as the original and will be considered the official response in evaluating responses for scoring, Open Records Requests, and protest resolution. Each Bid must be submitted in a single sealed envelope, package, or container.
- E.3.4.** All information relating to price/costs are to be sent in a separate binder/envelope, on a separate CD, DVD, or thumb drive clearly marked as "Price/Cost".
- E.3.5.** The name and address of the Bidder shall be inserted in the upper left corner of the single sealed envelope, package, or container. The solicitation number and solicitation response due date and time must appear on the face of the single envelope, package, or container.
- E.3.6.** Bids shall be in strict conformity with the instructions to Bidder, and shall be submitted with a completed "Responding Bidder Information" OMES Form 076, and any other forms completed as required by this Solicitation.
- E.3.7.** The required certification statement, "Certification for Competitive Bid and/or Contract (Non-Collusion Certification)", OMES Form 004, must be made out in the name of the Bidder and must be properly executed by an authorized person, with full knowledge and acceptance of all its provisions.
- E.3.8.** All Bids submitted shall be consistent with the Oklahoma Central Purchasing Act and associated Rules and subject to the Information Services Act and other statutory laws and regulations as applicable.
- E.3.9.** By submitting a Bid, Bidder agrees not to make any claims for damages or have any rights to damages, because of any misunderstanding or misrepresentation of the specifications or because of any misinformation or lack information.
- E.3.10.** If a Bidder fails to notify the State of an error, ambiguity, conflict, discrepancy, omission or other error in this Solicitation, known to the Bidder, or an error that reasonably should have been known by the Bidder, the Bidder shall submit a Bid at its own risk; and if awarded the Contract, the Bidder shall not be entitled to additional compensation, relief, or time by reason of the error or its later correction. If a Bidder takes exception to any requirement or specification contained in this Solicitation, these exceptions must be clearly and prominently stated in the Bid.
- E.3.11.** Bidders should note that this Solicitation reflects changes in the existing operation to increase efficiencies and streamline business environments in the State of Oklahoma. All previous solicitations or resultant contracts should not be either depended upon, perceived or interpreted to have any relevance to this Solicitation.

E.4. Bid Change

If the Bidder needs to change a Bid prior to the Solicitation Closing Date and Time, a new Bid shall be submitted to the State with the following statement: "This Bid supersedes the Bid previously submitted" in a single envelope, package, or container and shall be sealed. The name and address of the Bidder shall be inserted in the upper left corner of the single envelope, package, or container. Solicitation number and solicitation closing date and time must appear on the face of the single envelope, package, or container.

E.5. Solicitation Amendments

- E.5.1.** If an "Amendment of Solicitation", OMES Form 011 (or other format as provided), is issued, then the Bidder shall acknowledge agreement with each such Amendment of Solicitation by signing and returning the Solicitation Amendment. An executed Amendment may be submitted with the Bid or may be forwarded separately. If forwarded separately, the executed Amendment must contain this Solicitation number and Closing Date and Time on the front of the envelope. The State must receive the executed Amendment by the Closing Date and Time specified for receipt of bids for the Bid to be deemed responsive. Failure to agree to a Solicitation Amendment may be grounds for rejection.
- E.5.2.** No oral statement of any person shall modify or otherwise affect the terms, conditions, or specifications stated in this Solicitation. All Amendments to this Solicitation shall be made in writing by the State.
- E.5.3.** It is the Bidder's responsibility to check the State's website frequently for any possible Amendments to this Solicitation that may be issued. The State is not responsible for the Bidder's failure to download any amendment documents required to complete its Bid.

E.6. Proprietary and/or Confidential

- E.6.1.** Unless otherwise specified in the Oklahoma Open Records Act, Central Purchasing Act, or other applicable law, documents and information a Bidder submits as part of or in connection with a Bid are public records and subject to disclosure. If a Bidder claims any portion of its Bid as financial or proprietary confidential information, the Bidder must specifically identify what documents or portions of documents are considered confidential and identify applicable law supporting the claim of confidentiality. In addition, the Bidder shall submit the information separate and apart from the Bid and mark it Financial or Proprietary and Confidential. Pursuant to the Oklahoma State Finance Act, the State CIO shall make the final decision as to whether the separately submitted information is confidential.
- E.6.2.** If the State CIO does not acknowledge the information as confidential, OMES – ISD will return or destroy the information with proper notice to the Bidder and the information will not be considered in the evaluation. A Bid marked, in total, as financial or proprietary and/or Confidential shall not be considered.

E.7. Oklahoma Open Records Act

Bids are subject to public disclosure in accordance with the Oklahoma Open Records Act. To the extent permitted by such Act, the Bid will not be disclosed, except for purposes of evaluation, prior to approval by the State CIO of the awarded Contract. All material submitted becomes the property of the State. Bids will not be considered confidential after award of the Contract except that information in the Bid determined to be confidential by the State CIO shall continue to be considered confidential.

E.8. Communications Concerning Solicitation

The procurement specialist listed on the cover page of this solicitation is the only individual in which the Bidder should be in contact with concerning any issues with this solicitation. Failure to comply with this requirement may result in the Bid being considered non-responsive and not considered for further evaluation.

E.9. Administrative Review

- E.9.1.** Bidders who believe solicitation requirements or specifications are unnecessarily restrictive or limit competition may submit a request for administrative review, in writing, to the procurement specialist listed herein. To be considered a request for review must be received no later than 3:00 P.M. Central Time on April 14, 2017. The State shall promptly respond in writing to each written review request, and where appropriate, issue all revisions, substitutions or clarifications through a written amendment to this Solicitation. Requests for administrative review of technical or contractual requirements shall include the reason for the request, supported by information, and any proposed changes to the requirements.

E.10. General Solicitation Questions

Bidder may submit general questions concerning the specifications of this Solicitation. All questions and answers regarding this Information Technology Solicitation Package Version 18

Solicitation shall be posted to the IT procurement wiki at:

<https://wiki.ok.gov/display/itprocurement/047000009-REBID>

E.10.1. Questions received via any other means will not be addressed. To register with the State of Oklahoma for wiki access, please follow the link below to request access.

<https://wiki.ok.gov/display/itprocurement/Home>

E.10.2. In order to guarantee that wiki access is created prior to closing date for submitting questions for a solicitation, please request access at least five (5) business days prior to the closing date for questions. The State of Oklahoma cannot be responsible for a Bidder's lack of access if the request is not made within this timeline.

E.10.3. When posing questions, every effort should be made to:

- a) be concise
- b) include section references, when possible; and
- c) avoid use of tables or special formatting (use simple lists).

E.10.4. These questions shall be answered directly on the wiki and in the form of an amendment and posted on the OMES - ISD website and linked on the wiki. Bidders are advised that any questions received after 3:00 P.M. Central Time on April 28, 2017 shall not be answered.

E.11. P-Cards

The State of Oklahoma has issued payment cards to most State agencies. The current P-Card contract holder utilizes VISA.

If awarded a contract, will your company accept the State of Oklahoma approved purchase card:

Yes No (check one)

E.12. Electronic Funds Transfer (EFT)

The State of Oklahoma passed legislation in 2012 requiring funds disbursed from the State Treasury be sent electronically.

If awarded a contract will your company accept payment for invoices from the State by EFT:

Yes No (check one)

E.13. Bid Deliverables

Hard copy Bids should be bound, tabbed by section, and clearly marked as Original or Copy.

Note: Bid deliverables are to be submitted in both hard copy and electronic copy on CD, DVD, or thumb drive machine-readable format.

E.13.1. Section One – Introduction

- a) Letter of Introduction
- b) Completed "Responding Bidder Information" OMES Form 076.
- c) Completed "Certification for Competitive Bid and Contract" OMES Form 004.
- d) State of Oklahoma – Security Certification and Accreditation Assessment Form
- e) Signed Amendment(s), if any.
- f) Any exceptions to solicitation terms and conditions.

E.13.2. Section Two – References

Provide three (3) references from customers where similar work was performed. References provided must contain a contact person with full contact information (i.e., current employer, telephone number, mailing address, e-mail address, and fax number).

E.13.2.1. Provide a minimum of three (3) systems installed in other public defender's offices that are comparable in size to the proposed OIDS project system.

E.13.2.2. List three (3) contracts under which the Proposer has provided similar services during the past three (3) years.

E.13.2.3. Vendor shall have demonstrated experience with implementations of shared case management systems similar to OIDS CMS project.

E.13.3. Section Three – Company Information

Bidder must provide detailed information on its company, including principals involved, number of employees, location, years in existence, a statement of financial stability, and any litigation or pending litigation for the past five years, or a statement indicating there is no litigation activity to report.

E.13.4. Section Four – Response to Specifications/Requirements

Provide detailed response to specifications/requirements in this Solicitation **Section C**

E.13.5. Section Five – EITA Compliance

Provide adequate information defining your products level of EITA compliance by providing a Voluntary Product Accessibility Template (VPAT) that indicates compliance of all products offered with the provisions of Section 508 of the Rehabilitation Act Amendments included in the Workforce Investment Act of 1998. Please complete the VPAT & Accessibility - OMES Form that is applicable. Attached for reference is the VPAT Instructions Template.

Supplier may provide a URL link to a website providing VPAT for products deliverables through resulting Contract.

E.13.6. Section Six – Bidder Agreements

Bidder shall provide any required software licenses, maintenance, service agreements and any other similar applicable agreements.

Note: Any such agreements the Bidder requires, should it be the awardee of the Contract, not submitted with Bidder's original Bid shall not be considered

E.13.7. Pricing

All information relating to costs are to be sent in a separate binder/envelope, on a separate CD, DVD, or thumb drive clearly marked as "Price/Cost."

E.14. Awardee Financial Status

Prior to award the State may choose to request information from the Bidder to demonstrate its financial status and performance, in the form of the last three years audited financial statements or the last three years of tax returns. A certified review may be accepted (clarification may be required). If the Bidder is a subsidiary of another entity, the last three years audited financial statements of three years tax returns for the parent company must also be submitted. The State reserves the right to withhold award to a Bidder who is deemed financially weak. The State reserves the right to determine financial status at its sole discretion.

Clarification or additional documents may be requested.

E.15. Notice of Award

A notice of award in the form of a purchase order or other Contract Documents resulting from this Solicitation shall be furnished to the successful Bidder and shall result in a binding Contract.

F. PRICE AND COST

All information relating to costs are to be sent separate, in a sealed binder/envelope, and clearly annotated as "Cost Proposal."

Bidder shall be compensated by a single, flat rate for products/services provided under this solicitation. The rate shall be inclusive of all bidders' costs. Bidder shall submit a proposed price for all products/services proposed which shall constitute the entire compensation

due to the successful Supplier for the products/services described in this solicitation, regardless of the difficulty, materials or equipment required, and shall include all applicable taxes, fees, overhead, profits, travel, and all other direct and indirect costs incurred or to be incurred by the supplier. The list of provided items is not all inclusive, if additional cost related items can be added to convey additional project costs, expand list as needed. If information for a specific item is not applicable, then insert "n/a" in that area.

F.1. Pricing

Description	Initial Year 1	Year 2 Renewal Option	Year 3 Renewal Option	Year 4 Renewal Option	Year 5 Renewal Option	Extend Pricing
Software						
Core Software Modules License Price & Metrics Each Proposer should indicate the license metrics of its pricing.						
Initial Setup/ Implementation						
Data Migration/Conversion Services						
Training Bidder shall list any training provided at no cost						
Maintenance/Technical Support						
Totals	\$	\$	\$	\$	\$	\$
INITIAL YEAR SUPPORT AND MAINTENANCE: (This obligation shall commence on the date The Case Management System is completely operational, tested, and accepted by the OIDS and shall continue in effect for the 12 month period thereafter)						

F.2. Value Added Features Pricing

Bidder's response must state if the feature/function is available or unavailable and include any associated costs.

G. CHECKLIST

The following check list is not all inclusive and is only as a reminder for the bidder.

- G.1. Responding Bidder Information (OMES/ISD Procurement – Form 076)
- G.2. Certification for Competitive Bid and Contract (OMES/ISD Procurement – Form 004)
- G.3. State of Oklahoma – Security Certification and Accreditation Assessment Form
- G.4. Workman's Comp Insurance Certification
- G.5. Vendor/Payee Form or W-8BEN
- G.6. Amendment(s)
- G.7. Technical Response
- G.8. Staffing Plan
- G.9. Implementation/Work Plan (Project Schedule)
- G.10. Training Plan
- G.11. Maintenance/Support Plan
- G.12. Incident Management and Problem Escalation-Procedures
- G.13. References
- G.14. Company Information
- G.15. VPAT
- G.16. Cost
- G.17. Attachment A- Requirement Attestation Form



Responding Bidder Information

"Certification for Competitive Bid and Contract" **MUST** be submitted along with the response to the Solicitation.

1. RE: Solicitation # _____

2. Bidder General Information:

FEI / SSN : _____ Supplier ID: _____

Company Name: _____

3. Bidder Contact Information:

Address: _____

City: _____ State: _____ Zip Code: _____

Contact Name: _____

Contact Title: _____

Phone #: _____ Fax #: _____

Email: _____ Website: _____

4. Oklahoma Sales Tax Permit¹:

YES – Permit #: _____

NO – Exempt pursuant to Oklahoma Laws or Rules – Attach an explanation of exemption

5. Registration with the Oklahoma Secretary of State:

YES - Filing Number: _____

NO - Prior to the contract award, the successful bidder will be required to register with the Secretary of State or must attach a signed statement that provides specific details supporting the exemption the supplier is claiming (www.sos.ok.gov or 405-521-3911).

6. Workers' Compensation Insurance Coverage:

Bidder is required to provide with the bid a certificate of insurance showing proof of compliance with the Oklahoma Workers' Compensation Act.

YES – Include a certificate of insurance with the bid

NO - Attach a signed statement that provides specific details supporting the exemption you are claiming from the Workers' Compensation Act (Note: Pursuant to Attorney General Opinion #07-8, the exemption from 85 O.S. 2011, § 311 applies only to employers who are natural persons, such as sole proprietors, and does not apply to employers who are entities created by law, including but not limited to corporations, partnerships and limited liability companies.)²

Authorized Signature

Date

Printed Name

Title

¹ For frequently asked questions concerning Oklahoma Sales Tax Permit, see <http://www.tax.ok.gov/faq/faqbussales.html>

² For frequently asked questions concerning workers' compensation insurance, see <http://www.ok.gov/oid/faqs.html#c221>



Certification for Competitive Bid and/or Contract (Non-Collusion Certification)

NOTE: A certification shall be included with any competitive bid and/or contract exceeding \$5,000.00 submitted to the State for goods or services.

Agency Name: _____ Agency Number: _____

Solicitation or Purchase Order #: _____

Supplier Legal Name: _____

SECTION I [74 O.S. § 85.22]:

A. For purposes of competitive bid,

1. I am the duly authorized agent of the above named bidder submitting the competitive bid herewith, for the purpose of certifying the facts pertaining to the existence of collusion among bidders and between bidders and state officials or employees, as well as facts pertaining to the giving or offering of things of value to government personnel in return for special consideration in the letting of any contract pursuant to said bid;
2. I am fully aware of the facts and circumstances surrounding the making of the bid to which this statement is attached and have been personally and directly involved in the proceedings leading to the submission of such bid; and
3. Neither the bidder nor anyone subject to the bidder's direction or control has been a party:
 - a. to any collusion among bidders in restraint of freedom of competition by agreement to bid at a fixed price or to refrain from bidding,
 - b. to any collusion with any state official or employee as to quantity, quality or price in the prospective contract, or as to any other terms of such prospective contract, nor
 - c. in any discussions between bidders and any state official concerning exchange of money or other thing of value for special consideration in the letting of a contract, nor
 - d. to any collusion with any state agency or political subdivision official or employee as to create a sole-source acquisition in contradiction to Section 85.45j.1. of this title.

B. I certify, if awarded the contract, whether competitively bid or not, neither the contractor nor anyone subject to the contractor's direction or control has paid, given or donated or agreed to pay, give or donate to any officer or employee of the State of Oklahoma any money or other thing of value, either directly or indirectly, in procuring this contract herein.

SECTION II [74 O.S. § 85.42]:

For the purpose of a contract for services, the supplier also certifies that no person who has been involved in any manner in the development of this contract while employed by the State of Oklahoma shall be employed by the supplier to fulfill any of the services provided for under said contract.

The undersigned, duly authorized agent for the above named supplier, by signing below acknowledges this certification statement is executed for the purposes of:

the competitive bid attached herewith and contract, if awarded to said supplier;

OR

the contract attached herewith, which was not competitively bid and awarded by the agency pursuant to applicable Oklahoma statutes.

Supplier Authorized Signature

Certified This Date

Printed Name

Title

Phone Number

Email

Fax Number



VENDOR/PAYEE FORM

Agency: OMES Vendor Management requires the following information for all new non-registered vendors (payees) before payments may be processed. Information is used to establish the payee in the State's PeopleSoft vendor file for payment and procurement activities.

DO NOT use this form for:

- **Garnishment Payees:** Use [OMES Form GarnVendor](#)
- **State Employees:** Use [OMES FORM Employee Vendor Request](#)
- **Vendors pending contract award** to a solicitation released by the division of Central Purchasing or another Oklahoma state agency **MUST** first register online with the state unless exempt per statute. For additional information, please refer to [Central Purchasing Vendor Registration](#).

AGENCY SECTION (To be completed by state agency representative):

State agency should email completed and signed form to vendor.form@omes.ok.gov or fax to 405-522-3663.

VENDOR/PAYEE SECTION (To be completed by vendor/payee)

Please print legibly or type this information. Form must be completed and signed by authorized individual. Email or fax to requesting state agency.

Agency Name		Contact Name	
Phone #	Fax #	Email	
Agency Request To – Please select all applicable request types			
<input type="checkbox"/> Add New Vendor	<input type="checkbox"/> Update Existing Vendor	PeopleSoft 10-digit Vendor ID _____	
<input type="checkbox"/> Add New Address	<input type="checkbox"/> Change Address/Location	PeopleSoft Address # _____	PeopleSoft Location # _____
<input type="checkbox"/> Change Vendor Tax ID	<input type="checkbox"/> Change Vendor Name	Add Alternate Payee Name _____	PeopleSoft Location # _____
<input type="checkbox"/> Other	Explain _____		
Vendor 1099 Reportable Status	Attention Paying Agency: Please check the Add box on the left if payments to this vendor/payee are represented by Account Codes listed on page 3 of this form. If the vendor is incorrectly showing as 1099 Reportable, check the Remove box. The PeopleSoft system requires specific details regarding the type of transaction. Please check the box that applies to this vendor:		
<input type="checkbox"/> Add:	<input type="checkbox"/> 1 - Rents	<input type="checkbox"/> 2 - Royalties	<input type="checkbox"/> 3 – Other Income
<input type="checkbox"/> Remove:	<input type="checkbox"/> 6 - Medical & Health Care	<input type="checkbox"/> 7 - Non-Employee Compensation	<input type="checkbox"/> 10 - Crop Insurance Proceeds
	<input type="checkbox"/> 14 - Gross Proceeds to an Attorney		

W-9 SUPPLEMENTAL INFORMATION – ALL VENDORS OR PAYEES

Payee Information: Please provide the requested information for the payee receiving funds from the Oklahoma state agency. All information should match U.S. Internal Revenue Service filing records for the business, individual or government entity receiving payment.			
Name	Contact Name		
Payee Legal Name for Business, Individual or Government Entity as filed with IRS		Contact Title	
DBA Name	Phone #		
Doing Business As "DBA", or Disregarded Entity Name if different than Legal Name		Fax #	
Tax Identification Number (TIN) and Type:		<input type="checkbox"/> Federal Employer ID (FEIN) <input type="checkbox"/> Social Security Number (SSN)	
Business Address -- Please provide primary business address as filed with the U.S. Internal Revenue Service			
Address	City		
State	Zip+4	Remittance Email	
Optional Addresses – Please select address type as applicable			
Type:	<input type="checkbox"/> Remitting	<input type="checkbox"/> Ordering	<input type="checkbox"/> Pricing
	<input type="checkbox"/> Returning	<input type="checkbox"/> Mailing	<input type="checkbox"/> Other:
Address	City		
State	Zip+4	Remittance Email	
Financial Registration: Please provide contact information for the Authorized Individual who can provide financial information used for ACH Electronic Funds Transfer payment processes. An email will be sent providing instructions for accessing the State of Oklahoma online registration system.			
Name	Title		Email

Account Codes for 1099 Reporting - By Category (TO BE COMPLETED BY AGENCY REPRESENTATIVE)

<input type="checkbox"/> 1 - RENTS 532110 Rent of Office Space 532120 Rent of Land 532130 Rent of Other Building Space 532140 Rent of Equipment and Machinery 532150 Rent of Telecommunications Equip 532160 Rent of Electronic Data Processing Equipment 532170 Rent of Electronic Data Processing Software 532190 Other Rents	<input type="checkbox"/> 1- RENTS (continued) 532141 Rent of Motor Vehicles 532142 Lease of Motor Vehicles <input type="checkbox"/> 2 – ROYALTIES 553170 Royalties	<input type="checkbox"/> 3 – OTHER INCOME 552120 Incentive Awards – Monetary & Material 552160 Incentive Payments – Oklahoma Horse Breeders & Owners 552170 Incentive Payments – Oklahoma Film Enhancement Rebate 553165 Current/Former Employee Reportable Court Ordered or Legal Settlements 553220 Other IRS Reportable Income
<input type="checkbox"/> 6 - MEDICAL & HEALTH CARE PAYMENTS 515530 Veterinary Services 515700 Offices of Physicians (except Mental Health Specialists) 515710 Offices of Physicians, Mental Health Specialists 515720 Offices of Dentists 515730 Offices of Chiropractors 515740 Offices of Optometrists 515750 Offices of Mental Health Practitioners (except Physicians) 515760 Offices of Physical, Occupational & Speech Therapists, & Audiologists 515770 Offices of Podiatrists 515780 Offices of all other Miscellaneous Health Practitioners 515790 Family Planning Centers 515800 Outpatient Mental Health & Substance Abuse Centers 515810 Other Outpatient Care Centers 515820 Medical and Diagnostic Laboratories	515830 Home Health Care Services 515840 Ambulance Services 515850 All other Ambulatory Health Care Services 515860 General Medical & Surgical Hospitals 515870 Psychiatric & Substance Abuse Hospitals 515880 Specialty Hospitals (except Psychiatric & Substance Abuse) 515890 Nursing Care Facilities 515900 Residential Services for People with Developmental Disabilities 515910 Residential Mental Health & Substance Abuse Facilities 515920 Community Care Facilities for the Elderly 515930 Other Residential Care Facilities 537210 Laboratory Services & Supplies 551230 Medical Services to Indigents (from agencies other than DHS) 551240 Hospital Services to Indigents (from agencies other than DHS) 551250 Other Health Services to Indigents (from agencies other than DHS)	
<input type="checkbox"/> 7 - NON-EMPLOYEE COMPENSATION 515010 Office of Lawyers 515020 Offices of Notaries 515030 Other Legal Services 515060 Accounting, Tax Preparation, Bookkeeping & Payroll Services 515210 Payments for Contract Mentor Services 515220 Architectural Services 515230 Landscape Architectural Services 515240 Engineering Services 515250 Drafting Services 515260 Building Inspection Services 515270 Geophysical Surveying & Mapping Services 515280 Surveying and Mapping (except geophysical) Services 515290 Testing Laboratories 515300 Interior Design Services 515310 Industrial Design Services 515320 Graphic Design Services 515330 Other Specialized Design Services 515350 Custom Computer Programming Services 515360 Computer Systems Design Services 515370 Computer Facilities Management Services 515380 Other Computer Related Services 515400 Administrative Management & General Management Consulting Services 515410 Human Resources & Executive Search Consulting Services 515420 Marketing Consulting Services 515430 Process, Physical Distribution, & Logistics Consulting Services 515440 Other Management Consulting Services 515450 Environmental Consulting Services 515460 Other Scientific & Technical Consulting Services 515470 Research & Development in the Physical, Engineering, & Life Sciences 515480 Research & Development in the Social Sciences & Humanities 515490 Advertising and Related Services 515500 Marketing Research & Public Opinion Polling 515510 Photographic Services 515520 Translation & Interpretation Services 515540 All other Professional, Scientific and Technical Services 515550 Management of Companies & Enterprises 515560 Office Administrative Services 515570 Employment Placement Services 515580 Business Support Services 515590 Document Preparation Services	515600 Telephone Call Centers 515610 Business Service Centers 515620 Collection Agencies 515630 Credit Bureaus 515640 Other Business Support Services 515650 Investigation & Security Services 515660 Educational Services 515940 Individual & Family Services 515950 Community Food, Housing & Emergency & Other Relief Services 515960 Vocational Rehabilitation Services 515970 Child Day Care Services 515980 Arts, Entertainment and Recreation 515990 Other Services (except Public Administration) 517110 Moving Expense – Employee Transfer 531150 Printing and Binding Contract 531160 Advertising 531170 Informational Services 531190 Exhibitions, Shows and Special Events 531220 Burial Charges 531330 Jury and Witness Fees 531500 Moving Expenses – General 533100 Maintenance & Repair – Other Items 533110 Maintenance & Repair of Buildings & Grounds (outside vendors) 533120 Maintenance & Repair – Equipment (outside vendors) 533130 Maintenance & Repair of Telephone Equipment (outside vendors) 533140 Maintenance & Repair of Data Processing Equipment (outside vendors) 533150 Maintenance & Repair of Data Processing Software (outside vendors) 533190 Maintenance & Repair – Employee Uniforms 545110 Land Improvements 546210 Buildings and Other Structures – Construction and Renovation 546220 Major Maintenance and Repair of Equipment 547110 Highway and Bridge Construction Expense – Contractual 547120 Maintenance and Repairs to Highways and Bridges 547210 Major Maintenance and Renovation – Bridges 552100 Stipends – Other 552120 Teacher Stipends (“Incentive” payments) 552130 Oklahoma Police Corps Stipends 553160 Non-Employee Reportable Court Ordered or Legal Settlements 554190 Voter Registration Services 561140 Pollution Remediation	
<input type="checkbox"/> 14 - GROSS PROCEEDS TO AN ATTORNEY 553180 Settlements – Paid To/Thru Attorney		



Voluntary Product Accessibility Template Instructions

The Voluntary Product Accessibility Template is a tool to assist in making preliminary assessments regarding the availability of electronic and information technology products and services with features that support accessibility.

The VPAT provides a summary view of criteria specific to various types of technologies identified in the Oklahoma Information Technology Accessibility Standards. There are three sections in each table. Section one of the Summary Table describes each section of the Standards. The second section describes the supporting features of the product or refers you to the corresponding detailed table, "e.g., equivalent facilitation." The third section contains any additional remarks and explanations regarding the product.

Oklahoma EITA Procurement Clause:

Pursuant to Title 74, Section 85.7d and OAC 580:15-6-21 electronic and information technology procurements, agreements, and contracts shall comply with applicable Oklahoma Information Technology Accessibility Standards issued by the Oklahoma Office of State Finance.

EIT Standards may be found at www.ok.gov/DCS/Central_Purchasing/index.html or http://www.ok.gov/OSF/documents/isd_itas.doc.

- 1) For Information Technology or Communications Products, Systems and Applications not requiring development and/or customization. The Contractor shall provide a description of conformance with the applicable Oklahoma Information Technology Accessibility Standards for the proposed product, system or application by means of either a Voluntary Product Accessibility Template (VPAT) or other comparable document, upon request.

The Contractor shall indemnify and hold harmless the State of Oklahoma and any Oklahoma Government entity purchasing the products, systems, or applications not requiring development and/or customized by the Contractor from any claim arising out of the Contractor's failure to comply with applicable Oklahoma Information Technology Accessibility Standards subsequent to providing certification of compliance to such Standards.

- 2) For Information Technology or Communications Products, Systems or Applications requiring development and/or customization. The Contractor shall provide a description of conformance with the applicable Oklahoma Information Technology Accessibility Standards for the proposed product, system, or application developed and/or customized by means of either a Voluntary Product Accessibility Template (VPAT) or other comparable document, upon request. Additional requirements and documentation may be required and compliance will be necessary on the Contractor's part. Such requirements will be stated in documents such as State Bids, Request for Proposals, Contracts, Agreements, Purchase Orders, and Amendments.

The Contractor shall indemnify and hold harmless the State of Oklahoma and any Oklahoma Government entity purchasing the products, systems, or applications from the Contractor, from any claim arising out of the Contractor's failure to comply with applicable Oklahoma Information Technology Accessibility Standards subsequent to providing certification of compliance to such Standards. However, the Contractor shall no longer have an obligation to indemnify the State for liability resulting from products, systems or applications developed and/or customized that are not in compliance with applicable Oklahoma Information Technology Accessibility Standards ("Standards") after the State has tested and confirmed that the product, system or application meets the accessibility requirements in the Standards.

How to Get Started - Begin with your product's specification or a list of its known features:

1. Determine which subsection(s) of the Oklahoma Information Technology Accessibility Standards (IT Standards) apply to your product. Document the product's ability to meet the standards in the applicable areas, such as software, operating system, and so on.
2. For each standard in the applicable area(s), determine if the product meets or supports the standard.
 - If the product appears to meet or support the standard, then you have the option of providing examples of features that are accessible or of specific accessibility features that exist.
 - If the product appears to not meet the standard, remember that the OK Information Technology Accessibility Standards allow for alternative products provided that they result in substantially equivalent or greater access. The product can meet the standard as long as the feature performs in the same manner as it does for any other user. This is called "functional equivalency."

3. When the VPAT draft is complete, translate the technical language into language that will be understood by a state agency procurement officer. We encourage use of suggested language noted in the section "Suggested Language for Filling out the VPAT".
4. **Suggested Language for filling out the VPAT**
Suggested language below has been developed for use when filling out a VPAT. All or some of the language may be used. You are encouraged to use consistent language in VPATs throughout the form.

<u>Supporting Features</u>	
Supports	Use this language when you determine the product fully meets the letter and intent of the criteria.
Supports with Exceptions	Use this language when you determine the product does not fully meet the letter and intent of the criteria, but provides some level of access relative to the criteria.
Supports through Equivalent Facilitation	Use this language when you have identified an alternate way to meet the intent of the criteria or when the product does not fully meet the intent of the criteria.
Supports when combined with Compatible AT	Use this language when you determine the product fully meets the letter and intent of the criteria when used in combination with compatible AT. For example, many software programs can provide speech output when combined with a compatible screen reader (commonly used assistive technology for people who are blind).
Does not Support	Use this language when you determine the product does not meet the letter or intent of the criteria.
Not Applicable	Use this language when you determine that the criteria do not apply to the specific product.
Not Applicable - Fundamental Alteration Exception Applies	Use this language when you determine a fundamental alteration of the product would be required to meet the criteria (see the IT Standards for the definition of "fundamental alteration").

Remarks & Explanations (third section on VPAT)

Providing further explanation regarding features and exceptions is especially helpful. Use this section to detail how the product addresses the standard or criteria by:

- Listing accessibility features or features that are accessible;
- Detailing where in the product an exception occurs; and
- Explaining equivalent methods of facilitation (See Section 3.5 of the IT Standards for definition of "equivalent facilitation").