

MINOR VIOLATIONS PENALTY SCHEDULE B

STATUTE	OAC 45:	VIOLATIONS	SUCCESSIVE VIOLATIONS								
			1st Suspension	Fine	2nd Suspension	Fine	3rd Suspension	Fine	4th Suspension	Fine	
37-521A-U	10-3-7	Employ an unlicensed person to work on licensed premises in the sale or distribution of alcoholic beverages.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000		
37-523H		Failure to timely renew license.	None	\$5/Day	None	\$10/Day	None	\$25/Day	None	\$50/Day	
37-523.1B		Failure to notify Commission of change in corporate officers, directors or principle managers of premises or failure to pay required fee for change.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000		
37-523.1C		Failure to notify Commission of acquisition of 15% or more corporate stock within 30 days of acquisition.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000		
37-528A(1)		General Civil Penalty Provision Violate any rule adopted by the Commission.	3 Days	\$ 150	6 Days	\$ 300	10 Days	\$ 500	10 Days + \$ 500		
	10-3-30	Transfer of stock of terminated licensee, Applications for Permission to Director, by seller and purchaser, Inventory & Report.	Letter of Caution								
	10-3-15	Returning merchandise delivered in error.	Letter of Caution								
	10-3-20	Holding of check prohibited.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500		

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	10-3-21	Post dated check prohibited.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days	+\$1,500
	30-3-13	Records for Wholesaler; requirements for keeping.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days	+\$1,500
	40-3-6	Signs to be used on vehicles owned by Wholesalers.	Letter of Caution		3 Days	\$ 150	6 Days	\$ 300	15 Days + \$ 750	
	40-7-5	Alteration of licensed premises.	3 Days	\$ 150	3 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500	
	20-7-2	Improper label.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500	
528A(5)		Store or possess on the premises of a Bottle Club any alcoholic beverage upon which the tax levied under \$553 has not been paid (10 bottles and under).	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000	
(6)		Misrepresented to a customer or the public any alcoholic beverage sold by the licensee.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500	
37-528B(1)		Has acted as an Agent of a Manufacturer or Wholesaler of alcoholic beverages.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	30 Days or Revocation	
(5)		Has violated any of the provisions of the ABC Act for which mandatory revocation or suspension is not required.	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500	

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37-532.1		Failure to display licenses in conspicuous place.	3 Days	\$ 150	10 Days	\$ 500	20 Days	\$1,000	20 Days + \$1,000		
37-534A	20-3-8 20-3-13	Sell other merchandise or services on the licensed premises or take alcoholic beverages through passageway for sale elsewhere.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	30 Days + \$1,500		
37-537A(3)	20-3-12	Open a retail container or consume alcoholic beverages on the premises of a Retail Package Store.	Letter of Caution		5 Days	\$ 250	10 Days	\$ 500	30 Days + \$1,500		
(11)		Consume or possess alcoholic beverages on the licensed premises of a bottle club unless such person possesses a valid membership card for that club issued by the club.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500		
37-537B(3)		Give any alcoholic beverage as a prize for any type of competition.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500		
(4)		Advertise or offer "Happy Hour" or inducement to stimulate consumption of alcoholic beverages including:	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500		
		a. Deliver more than two drinks to one person at one time.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500		
		b. Sell or offer discounted drinks for less than normal charge in the same calendar week except at function not open to public.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500		

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37-537B(4)		c. Sell or offer unlimited drinks during set period of time for a fixed price, except at function not open to public.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500		
		d. Sell or offer drinks for less than charged general public on any day, except at function not open to public.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500		
		e. Increase volume of alcohol in a drink without increasing the price regularly charged during the same calendar week.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500		
		f. Promote contests which involve drinking or award drinks as prizes.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500		
(5)		Permit any person to exit licensed premises with an open container of alcoholic beverage.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500		
37-537C(2)		Permit any retail container to be opened or consumed on licensed premises.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	30 Days + \$1,500		
37-537C(7)		Permit any person under twenty-one (21) to enter, remain or loiter about the licensed premises.	3 Days	\$ 150	6 Days	\$ 300	15 Days	+ \$300	30 Days + \$1,500		
37-537E(2)		Transport alcoholic beverages without a Private Carrier license.	5 Days	\$ 250	10 Days	\$ 500	20 Days	\$1,000	30 Days + \$1,500		

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37-537F(4)		Allow any person to enter or remain in a designated bar area without a valid membership card.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500	
(7)		Serve alcoholic beverage to any person without a valid membership card.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500	
37-537G(2)		Transport alcoholic beverages without a Private Carrier license.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500	
37-537H		Drunk or intoxicated persons allowed to remain in a cafe, restaurant, club or place of recreation.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500	
37-537.1(1)		Consume or be under the influence of alcoholic beverages while on duty.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500	
(2)	10-3-10	Permit offensive or threatening language or conduct.	Letter of Caution		3 Days	\$ 150	6 Days	\$ 300	15 Days + \$ 300	
37-537.1(3)		Permit empty or discarded alcoholic beverage containers outside the licensed premises.	Letter of Caution		3 Days	\$ 150	6 Days	\$ 300	15 Days + \$ 300	
(6)		Permit a sealed or unsealed container of alcoholic beverage to be taken from the licensed premises.	10 Days	\$ 500	20 Days	\$1,000	30 Days	\$1,500	30 Days + \$1,500	

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37-552		Keeping of books and records by licensees.	3 Days	\$ 150	6 Days	\$ 300	15 Days	\$ 750	30 Days + \$1,500	
37-582A		Possess alcoholic beverage on the premises of a Mixed Beverage, Caterer or Special Events licensee not bearing the tax identification stamp (10 bottles and under).	5 Days	\$ 250	10 Days	\$ 500	15 Days + \$ 750	20 Days + \$1,000		
37-582B		Sale by Wholesaler of alcoholic beverage not bearing the tax identification stamp.	5 Days	\$ 250	10 Days	\$ 500	15 Days + \$ 750	20 Days + \$1,000		
37-582C	20-5-9	Possess alcoholic beverage container on the licensed premises not listed on an invoice from the Wholesaler from whom purchased.	5 Days	\$ 250	10 Days	\$ 500	15 Days + \$ 750	20 Days + \$1,000		
37-591A	20-5-10	Sale or consumption on the premises of a Mixed Beverage Club between 2:00 a.m. and 10:00 a.m.	5 Days	\$ 250	10 Days	\$ 500	15 Days + \$ 750	20 Days + \$1,000		
37-592	20-7-6	Dispense or consume alcoholic beverages on premises of Bottle Club between 2:00 a.m. and 10:00 a.m.	5 Days	\$ 250	10 Days	\$ 500	15 Days \$ 750	20 Days + \$ 750		
37-593B(2)		Serve to person, other than member, from member's individually owned bottle in Bottle Club.	3 Days	\$ 150	6 Days	\$ 300	15 Days \$ 750	30 Days + \$1,500		