

BIOGRAPHICAL INFORMATION

Section I - Public Information

NAME: _____
(Last) (First) (Middle)

RESIDENCE: _____
(Number and Street)

(City) (State) (ZIP Code)

PROPOSED: Organizer _____ Director _____ Officer _____ Principal Shareholder _____

NAME OF PROPOSED BANK OR TRUST COMPANY: _____

ADDRESS OF PROPOSED BANK OR TRUST COMPANY: _____
(City) (State) (ZIP Code)

STATE YOUR PRESENT OCCUPATION AND LIST YOUR BANKING AND BUSINESS EXPERIENCE: Give the name of the bank or business, the position held, responsibilities, and the dates you held the position.

INDICATE HOW YOU ARE INVOLVED IN COMMUNITY AFFAIRS: When appropriate, list organizations in which you are or were involved, positions held, and the number of years you held the position.

BIOGRAPHICAL INFORMATION

Section II - Confidential Information

DATE OF BIRTH: _____
(Month) (Day) (Year)

PLACE OF BIRTH: _____
(City) (State) (County)

CITIZENSHIP: _____

SOCIAL SECURITY NUMBER: _____

LENGTH OF RESIDENCE IN COMMUNITY: _____

EDUCATION AND PROFESSIONAL CREDENTIALS: Indicate highest educational level attended. Include location of school(s), dates of attendance, degree, and major or area of concentration.

HAVE YOU EVER BEEN ADJUDGED A BANKRUPT OR HAD TO WORK OUT A COMPROMISE WITH YOUR CREDITORS? **GYes** **GNo** If yes, give details in the following schedule.

Title and Nature of Proceeding	Date	Name and Address of Court	Disposition

ARE YOU INVOLVED AS A DEFENDANT OR PLAINTIFF IN ANY CIVIL LITIGATION? **GYes** **GNo**
If yes, give details in the following schedule.

Title and Nature of Lawsuit or Proceeding	Date	Name and Address of Court Where Pending	Amount

CRIMINAL OFFENSE AND DISCIPLINARY ACTION:

1. Has any business or enterprise with which you are or were associated as a partner, officer, director or major shareholder (owning 10% or more of the outstanding voting stock) been the subject of an indictment, conviction or plea of nolo contendere on any criminal matter involving dishonesty or breach of trust? **GYes GNo** If yes, give details in the following schedule.

Business	Your Interest	Nature of Charge	Date	Jurisdiction and Location	Disposition

2. Have you been indicted or convicted of or pleaded nolo contendere to any criminal matter involving dishonesty or breach of trust in any State or Federal Court? **GYes GNo** If yes, give details in the following schedule.

Nature of Charge	Date	Jurisdiction and Location	Disposition

3. Have you been subject to any administrative proceedings, disciplinary proceedings, or other adverse actions (including removal, suspension or prohibition on service) with respect to any professional license you hold or have held, including those involving any business or enterprise with which you have been associated as a partner, officer, director or major shareholder (owning 10% or more of the outstanding stock)? **GYes GNo** If yes, give details in the following schedule.

Name of Authority	Nature of Proceedings	Disposition and Date

4. Detail your role and areas of responsibility as a director, executive officer, or controlling shareholder in any financial institution that you have been associated with that has been placed under a formal agreement, into receivership, conservatorship, liquidation or failure during your association or within two years of your leaving the association.

EMPLOYMENT RECORD:

1. Provide the following information in reverse chronological order regarding your current and past employment, self-employment or period of unemployment during the past 10 years. Also include any service as a director.

Date		Name, Location and Type of Business	Position Held and Nature of Duties
From	To		

2. Indicate reason for leaving past employment. _____

3. Have you been discharged or asked to resign from any past employment? **GYes** **GNo**
 If yes, give details in the following schedule.

Name of Employer	Position	Date of Discharge	Explanation

BUSINESS AFFILIATIONS: List all firms, companies or other business organizations of which you are at present a director, officer, employee, partner or owner.

Name and Location	Type of Business	Position Held

STOCK OWNERSHIP: Stock of this depository institution owned or subscribed for:

Number of Shares _____ Par Value \$ _____ Total Cost \$ _____

Method of Payment _____

Is a loan to be made to purchase this stock? GYes GNo If yes, give details in the following schedule.

Name of Lender	Date	Interest Rate	Amount	Security	Repayment Terms

NOTICE TO PROVIDER OF THIS INFORMATION

This information is necessary to assist the Bank Commissioner and the State Banking Board in processing certain applications, requiring an appraisal of the general character of management of a proposed or existing state bank or trust company. While submission of the information is voluntary, an omission or inaccuracy may result either in a delay in processing the application or in a denial or disapproval of the application.

CERTIFICATION

I certify that the information contained in these confidential biographical and financial reports has been carefully examined by me and is correct and complete, and I acknowledge that any misrepresentation or omission of a material fact regarding said biographical and financial reports submitted to the Bank Commissioner and the State Banking Board in connection with the organization of a proposed state bank or trust company or other application shall, unless expressly waived by the Bank Commissioner, constitute fraud in the inducement and be grounds for either the denial of the application or resignation of the undersigned as an organizer, director or officer of the proposed or existing state bank or trust company.

Date Signed

Date Signed

Signature in Full

Signature in Full