MORTGAGE LOAN ORIGINATOR APPLICATION

NOTICE

The State of Oklahoma passed a piece of legislation known as House Bill 1804, the Oklahoma Taxpayer and Citizen Protection Act of 2007. This bill went into effect on November 1, 2007.

One of the provisions of the bill is the requirement that people obtaining a license from the Department of Consumer Credit must show lawful presence in the United States.

You must submit an affidavit if:

• You are licensed individually as a Mortgage Loan Originator (MLO) or a Precious Metals Employee (PME)

• Your company is licensed, but the business is not Incorporated or a Limited Liability Company

You do not need to submit an affidavit if your business is registered as a corporaton for an LLC. Please note that only those businesses that are legally incorporated or registered as LLC's are exemt from this requirement.

Attached are two affidavits to show lawful presence in the United States:

- If you are a United States citizen, you will sign Form 1
- If you are a qualified alien and you can prove you are in the United States lawfully under the Federal Immigration and Naturalization Act, you will sign Form 2

<u>All partners and owners of the company</u> must complete and submit <u>one</u> of the appropriate affidavits. You are either a citizen OR a qualified alien -- please do not submit both forms. Make additional copies of the forms as needed. These affidavits must be notarized. We cannot accept faxed or emailed copies of these forms. You will need to mail them to us. Under Oklahoma law, we cannot issue or renew your license without the proper affidavit(s).

Please do not call the Department with questions about the bill. (You are welcome to call us with questions about your application.) This is a requirement of the State of Oklahoma; our Department has no authority to address it. If you need further information, you may call the Oklahoma House of Representatives at 1-800-522-8502, or you may review the text of the bill by visiting the following web link:

http://www.oscn.net/applications/oscn/deliverdocument.asp?id=448995&hits

As always, we appreciate your spirit of cooperation.

AFFIDAVIT VERIFYING LAWFUL PRESENCE IN THE UNITED STATES MLO

Form 1 - For U. S. Citizens

Affidavit of

	[Applicant	's Name]	
STATE OF) ss:		
		, of lawful age, being first duly	sworn,
[Applicant's Name] upon oath states under penalty of perjur	y as follows:		
I am a United States citize	<u>en.</u>		
[Signature of Applicant]		_	
Subscribed and sworn to or affirmed bef	fore me this	day of	, 20
NOTARY PUBLIC			
Commission Number:			
My Commission Expires:		(Seal)	

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Oklahoma Department of Consumer Credit 4545 North Lincoln Boulevard Suite 164 • Oklahoma City, OK 73105-3403 Phone: (405) 521-3653 • Fax: (405) 521-6740 • In-State Toll Free: 1 (800) 448-4904 http://www.okdocc.state.ok.us

Form 2 - For Qualified Aliens MLO

Section A (Applica	nt's Information)
Please type or print clearly. You must include a concern card with this form.	<u>py of both the front and back of your green</u>
Full Legal Name of Applicant:	
Company Name:	
Date of Birth:	Social Security #:
Nationality:	
Section B	<u>(Notary)</u>
STATE OF	
COUNTY OF	
[Printed Name of Individual Applicant]	, of lawful age, being first duly sworn
upon oath states under penalty of perjury as follows:	
<u>I am a qualified alien</u> under the Federal am lawfully present in the United States	Immigration and Naturalization Act, and I
am lawruny present in the Onited States	•
[Signature of Applicant]	
Subscribed and sworn to or affirmed before me this	day of, 20
NOTARY PUBLIC	
Commission Number:	(Seal)
My Commission Expires:	
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LOAN ORIGINATOR APPLICATION

Oklahoma State Law HB2009, effective July 1, 2003 states that individuals who originate loans for licensed mortgage lenders or brokers in Oklahoma must be licensed as loan originators. Section 2082(10) of Title 59 of the Oklahoma Statutes defines "Loan Originator" as an individual who for compensation or in the expectation of compensation either directly or indirectly negotiates or offers to make or negotiate a residential mortgage loan for or on behalf of a licensed mortgage broker. "Loan Originator" does NOT include an officer (if the licensee is a corporation), a general partner (if the licensee is a partnership), a member (if the licensee is a limited liability company), or a sole proprietor (if the licensee is a sole proprietorship). Loan originators who work for companies which are licensed in Oklahoma as Supervised Lenders do NOT have to obtain a license.

ALL applicants must attach a recent photo here

Section I: Personal Information (please type or print clearly)

Last Na	me	First Name	9		M.I.	SSN	
Home M	failing Address (do not use yo	our broker's address)	City			State	ZIP
Date of	Birth	Area Code & Phone Num	ber	E-Mail Address			
Please	e select one of the follo	owing:					
	I am a citizen of the Unite	d States.					
	I am a lawfully admitted a		le copy of Green (Card/Immigration S	Status)		
Saatia	n III Spansaring Ma		mation				
Sectio	on II: Sponsoring Mo	rtgage Broker Infor	mation				
News							
Name c	f Licensed Mortgage Broker (Jompany	License Nun	nder	Area Code	e & Phone Num	ber
Martaa							ZIP
Mongaç	ge Broker Address		City			State 2	219
Sectio	on III: Testing, Fees,	& Documentation					
	ants must have 18 month					Fees:	
	ss a mortgage loan origina 2 of this application and do				Transfe	er Fee:	\$10.00
	<u>he loan originator exam, ye</u>				License	e Fee:	\$50.00
Please	e select <u>only one</u> of the	e following:			Test Fe	e:	\$150.00
	I am taking the loan origin	nator exam and applying f	for a new license.		Test Fe	e + License Fee	e: \$200.00
	I am applying for a new li	cense, but I do not need t	to take the loan or	iginator exam. I	Amour	nt Enclosed:	
	have included the proper	documentation of my exp	perience.		We acc	- ept money orde	ers, cashier's
	_ • •	ent license to a different n		•		, corporate chec	
	is MLO	(Your previous brok		original license		send cash throu not accept pers	
		e we can issue a new lie	,	f			
	I was previously licensed My old license number w	, but my license has expir as MLO	eu. i am applying	tor a new license.			

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Section IV: Loan Origination Experience (if you are transferring your license or taking the test, you may skip this section)

List only the jobs in which you <u>originated</u> loans on a <u>full-time</u> basis.

Job Title		Starting Date (month/day/year)	Ending Date (month/day/year
Company Na	ame	Are	a Code & Phone Number
Company Ac	ddress	City	State
Job Title		Starting Date (month/day/year)	Ending Date (month/day/year
Company Na	ame	Are	a Code & Phone Number
Company Ac	ddress	City	State
Job Title		Starting Date (month/day/year)	Ending Date (month/day/year
Company Na	ame	Are	a Code & Phone Number
Company Ac	ddress	City	State
Job Title		Starting Date (month/day/year)	Ending Date (month/day/year
Company Na	ame	Are	a Code & Phone Number
Company Ac	ddress	City	State

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Section V: Background

If you answer "Yes" to any of the questions below (1 through 5), explain the details on a separate sheet.

1. Have you been convicted of a felony?	Yes	No 🗌
2. Have you been incarcerated, or are you currently on probation or on parole for a conviction?	Yes 🗌	No 🗌
3. Do you have any felony charges pending?	Yes 🗌	No 🗌
4. Have you ever surrendered, resigned, cancelled or been denied a professional license or other credential in Oklahoma or any other jurisdiction?	Yes 🗌	No 🗌
5. Have you ever been licensed (credentialed) under any other name?	Yes 🗌	No 🗌

Section VI: Applicant's Signature (must be notarized)

I state that I am the person referred to on this application and that all the answers set forth are strictly true in each respect. I understand that false or forged statements made in connection with this application may be grounds for revocation of my license or other disciplinary action. I also understand that if I am issued a license, failure to comply with the Mortgage Broker Licensure Act or Rules of the Administrator of the Department of Consumer Credit will be cause for disciplinary action.

Cignoture of	mortagaal	aan ariaina	tor onnligent
Signature of	mongager	uan unyina	tor applicant

Date

Date	
Notary signature	
Notary commission numbe	er
My commission expires	

Section VII: Mortgage Broker's Signature (must be notarized)

You, the mortgage broker, are required to conduct an investigation of the background, honesty, truthfulness, integrity, and competence of this applicant before a license will be issued. You must conduct a <u>FEDERAL CRIMINAL BACKGROUND CHECK</u>--checking the credit history will not suffice. Documentation of your background check must be included with this form or the application will not be processed.

Name of Mortgage Broker Company

The above-named Licensed Mortgage Broker does hereby notify the Administrator of Consumer Credit of its intent to engage the services of the individual applicant named above as a loan originator. This Mortgage Broker has conducted an investigation of the background, honesty, truthfulness,

integrity, and competence of the applicant. Said Mortgage Broker also understands this individual is prohibited from engaging in loan origination activities on this company's behalf until a license is issued, that said loan originator may not work for more than one mortgage broker at a time, that as a mortgage broker licensee this company is responsible for all actions of the loan originator, and that this company/ licensee must <u>immediately</u> notify the Administrator of Consumer Credit <u>in writing</u> of such termination and return the loan originator's license to the Department.

Printed name of person authorized to sign for Mortgage Broker

Signature and title of person authorized to sign for Mortgage Broker

Subscribed and sworn to before me on:

Date

Notary signature

Notary commission number

My commission expires

(NOTARY SEAL)

Date