



OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

**APPLICATION CHECK LIST FOR
BOTTLE CLUB, CATERER, BREWER, DISTILLER, OKLAHOMA WINEMAKER,
RECTIFIER, SACRAMENTAL WINE, AND WINEMAKER LICENSE APPLICANTS**

GENERAL APPLICATION INSTRUCTIONS:

Complete all items on Check List page 1 and applicable items on Check List page 2. The documents requested below are required for a license application. ALL documents indicated must be provided. All documents must be legible and complete. Applicant may be an Individual, a General or Limited Partnership, a Corporation, or a Limited Liability Company. When applicant's signature is required individuals sign for themselves. If the applicant is: a Partnership, each partner must sign; Limited Partnership, general partner must sign; Corporation, a corporate officer must sign; Limited Liability Company, a manager must sign.

Mail original application and supporting documents with one additional copy to: Oklahoma ABLE Commission, 3812 N. Santa Fe, Suite 200 Oklahoma City, Oklahoma 73118. Walk-in application are accepted 7:30 a.m. to 4:00 p.m. Monday - Friday. Questions concerning this application or application process should be directed to the Licensing Division at the above address, Telephone (405) 521-3484; Fax (405) 521-6578.

I. Application packet supplied by the Commission to be completed by Applicant.

- ___ 1. Application Page 1. Complete the top of the page. Mark the license for which you are applying. Only one license per application. Complete and sign the oath in the middle of the page and have signature notarized.
- ___ 2. Application Page 2. Complete both sections: Statement of Main Purpose and Location Affidavit to include the signature of applicant. **To complete the Location Affidavit you must circle is or is not in two places.**
- ___ 3. Application Page 3. Complete all questions.
- ___ 4. Application Page 4. Applicant signs.
- ___ 5. Application Pages 5,6,7,8,9. Each Individual must complete **separate pages.** Complete all questions, sign and notarize in appropriate places. The individuals required to complete are individual sole proprietors, each partner, limited partner, corporate officer, corporate director, corporate stockholder owning 15% or more of the issued stock, and all limited liability company managers and members.
- ___ 6. Application Page 10A. Corporations must complete. Limited partnerships must complete on a corporate general partner and any corporate limited partner. If a stockholder in the corporation is another corporation, the corporation which owns the stock must submit a page 10A, Corporate Questionnaire, and a financial statement. If a stockholder in the corporation is a limited liability company, the company which owns the stock must submit a page 10B, Limited Liability Company Questionnaire, and a financial statement.
- ___ 7. Application Page 10B. Limited Liability Companies must complete. If a member is a corporation the corporation which holds the membership must submit a page 10A, Corporate Questionnaire, and a financial statement. If a member is another limited liability company the limited liability company which holds the membership must submit a page 10B, Limited Liability Company Questionnaire, and a financial statement.
- ___ 8. Application Page 11. Hand sketch a diagram of the premise that is to be licensed. **Do not submit oversized blueprints.**
- ___ 9. Application Pages 12 & 13. Complete all sections. Individuals and each partner must complete a personal financial statement, including business. Corporations, limited partnerships, limited liability companies complete for the entity being licensed. Limited partnerships must also submit financial statements for the general partner and any limited partner in the partnership. **Bank addresses, account numbers, account signers, and account types must be listed.**
- ___ 10. Application Page 14. Complete and take a copy to newspaper. Submit original to Commission. Directions on bottom of page 14.
- ___ 11. Application Page 15. Complete or attach proof of publication affidavit. Directions on bottom of page 15. **Application must be received within sixty (60) days of the first publication date.**
- ___ 12. License Fee. Enclose a personal check, business check, cashier's check or money order in the correct amount with the application made payable to the **ABLE Commission.** Fee amounts are listed on the back of the check list.
- ___ 13. Copies. The original, completed application (Pages 1-15) and the required supporting documents with **ONE ADDITIONAL COPY OF ALL** must be submitted to the Commission. Additional documentation may be required during the investigation.

II. Documentation Supplied by Applicant (complete applicable section only).**INDIVIDUAL SOLE PROPRIETORS MUST PROVIDE:**

- ___ 1. Statement of Financing. Written verification of the amount and source of finances used to start the business. Documentation of source is required. If borrowing funds, you must provide detailed loan information.
- ___ 2. Deed or Lease. A copy of a deed; a lease; a purchase agreement; or a sales contract must be provided for the proposed licensed premise. Documentation must be in the name of the individual.

CONTINUED ON CHECK LIST PAGE 2

CHECK LIST PAGE 2

- ___ 3. Certificate of Compliance. Written verification of zoning, fire, safety, and health code compliance from the city. If there are no zoning, fire, safety or health codes, a statement from the city is needed stating no such codes exist. If proposed premise is outside city limits, verification of code compliance must be provided by the Board of County Commissioners. **Cannot be over sixty (60) days old.**
- ___ 4. Tax statement. Statement or receipt proving payment of ad valorem taxes, including real and personal, on **ANY property owned** in the state and from the county where the premise to be licensed is located stating no taxes are owed. Receipts or statements must be in the name of the individual. **Cannot be over sixty (60) days old.**

___ **CORPORATIONS MUST PROVIDE:**

- ___ 1. Corporate Documents.
 - ___ A. Certificate of Incorporation
 - ___ B. Certificate of Good Standing from the Secretary of State. **(Must be original. Cannot be over sixty days old.)**
 - ___ C. Minutes designating current officers and directors.
 - ___ D. Minutes authorizing application for liquor license.
- ___ 2. Statement of Financing. Written verification of the amount and source of finances used to start the business. Documentation of source is required. If borrowing funds, you must provide detailed loan information.
- ___ 3. Deed or Lease. A copy of a deed; a lease; a purchase agreement; or a sales contract must be provided for the proposed licensed premise. Documentation must be in the name of the corporation.
- ___ 4. Certificate of Compliance. Written verification of zoning, fire, safety, and health code compliance from the city. If there are no zoning, fire, safety or health codes, a statement from the city is needed stating no such codes exist. If proposed premise is outside city limits, verification of code compliance must be provided by the Board of County Commissioners. **Cannot be over sixty (60) days old.**
- ___ 5. Tax statement. Statement or receipt proving payment of ad valorem taxes, including real and personal, on **ANY property owned** in the state and from the county where the premise to be licensed is located stating no taxes are owed. Receipts or statements must be in the name of the corporation. **Cannot be over sixty (60) days old.**

___ **GENERAL & LIMITED PARTNERSHIPS MUST PROVIDE:**

- ___ 1. Partnership Agreement. Agreement listing all partners and/or limited partners and detailing each partner's ownership interest in the business.
- ___ 2. Statement of Financing. Written verification of the amount and source of finances used to start the business. Documentation of source is required. If borrowing funds, you must provide detailed loan information.
- ___ 3. Deed or Lease. A copy of a deed; a lease; a purchase agreement; or a sales contract must be provided for the proposed licensed premise. Documentation provided must be in all partner's names in a general partnership or the partnership name of a limited partnership.
- ___ 4. Certificate of Compliance. Written verification of zoning, fire, safety, and health code compliance from the city. If there are no zoning, fire, safety or health codes, a statement from the city is needed stating no such codes exist. If proposed premise is outside city limits, verification of code compliance must be provided by the Board of County Commissioners. **Cannot be over sixty (60) days old.**
- ___ 5. Tax statement. Statement or receipt proving payment of ad valorem taxes, including real and personal, on **ANY property owned** in the state and from the county where the premise to be licensed is located stating no taxes are owed. All partners must have tax statements for **themselves**. Limited partnerships must have statements for the partnership, the general partner, and all limited partners who own 15% or more interest in the partnership. **Cannot be over sixty (60) days old.**

___ **LIMITED LIABILITY COMPANIES MUST PROVIDE:**

- ___ 1. Certificate of Good Standing. Issued by the Secretary of State. **(Must be original. Cannot be over sixty days old.)**
- ___ 2. Articles of Organization. Filed with the Secretary of State with all amendments and corrections.
- ___ 3. Limited Liability Company Operating Agreement.
- ___ 4. Issued Certificates of Membership Interest. Copies issued to each member.
- ___ 5. Statement of Financing. Written verification of the amount and source of finances used to start the business. Documentation of source is required. If borrowing funds, you must provide detailed loan information.
- ___ 6. Deed or Lease. A copy of a deed; a lease; a purchase agreement; or a sales contract must be provided for the proposed licensed premise. Documentation provided must be in the name of the Limited Liability Company.
- ___ 7. Certificate of Compliance. Written verification of zoning, fire, safety, and health code compliance from the city. If there are no zoning, fire, safety or health codes, a statement from the city is needed stating no such codes exist. If proposed premise is outside city limits, verification of code compliance must be provided by the Board of County Commissioners. **Cannot be over sixty (60) days old.**
- ___ 8. Tax statement. Statement or receipt proving payment of ad valorem taxes, including real and personal, on **ANY property owned** in the state and from the county where the premise to be licensed is located stating no taxes are owed. Limited Liability Companies must have statements for the company. **Cannot be over sixty (60) days old.*THIS STATEMENT COMES FROM THE COUNTY TREASURER'S OFFICE**

EFFECTIVE

May 28, 2010

In accordance with the passage of House Bill 3383, a surcharge shall be paid in addition to the applicable licensing fee and administrative fee, if required. The surcharge fees are listed below and shall be assessed annually for the following licenses at the time of submitting new and renewal applications. The license fee, surcharge and administrative fee payments can be made in one payment. Failure to submit the license application, license fee, administrative fee and surcharge will cause an application to be delayed or denied.

A copy of the bill can be viewed on our website www.able.ok.gov. Please direct questions to the Licensing Division 405-521-3484.

Non-Resident Seller	\$2,500
Wholesale	\$2,500
Class B Wholesale without an active low-point beer license	\$1,000
Class B Wholesale with an active low-point beer license	\$1,500
Package Store for cities and towns over 5,000 population	\$250
Package Store for cities and towns from 2,501 to 5,000 population	\$200
Package Store for cities and towns from 200 to 2,500 population	\$150
Mixed Beverage	\$25
Mixed Beverage/Caterer Combination	\$25
Caterer	\$25
Beer and Wine	\$25

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION
SCHEDULE OF LICENSE FEES

TYPE OF LICENSE	AMOUNT
Add- λ -Partner	10% of Amount of Original License Fee
Additional Hours*	\$ 25.00/day
Agent	55.00
Airline/Railroad Beverage	1,005.00 905.00 Renewal
Beer & Wine	500.00 450.00 Renewal
Bonded Warehouse	190.00
Bottle Club**	1,000.00 900.00 Renewal
Bottle Club Fraternal	500.00
Brewer***	1,250.00
Carrier	23.00
Caterer	1,005.00 905.00 Renewal
Caterer/Mixed Beverage Combination	1,250.00 Annually
Administrative Fee for Caterer/Mixed Beverage Combo	250.00/250.00 New/Renewal
Change of Corporate Officers****	100.00
Change of Managers or Members****	100.00
Change of Location*****	100.00
Charity Wine Auction/Event	1.00
Class B Wholesaler***	625.00
Distiller	3,125.00
Employee	30.00/2 years
Hotel Beverage	1,005.00 905.00 Renewal
Industrial	23.00
Manufacturers Agent	55.00
Mixed Beverage**	1,005.00/905.00 Renewal
Administrative Fee	500.00/500.00 New/Renewal
Mixed Beverage Fraternal	500.00
Non-Resident Seller	750.00 prorated 7/1 through 6/30
Oklahoma Winemaker	75.00
Rectifier	3,125.00
Retail (Population as recorded in the last Federal Decennial Census)	
A - 200 to 2,500 population	305.00
B - 2,500 to 5,000 population	605.00
C - Over 5,000 population	905.00
Sacramental Wine Supplier	100.00
Special Event	55.00/Annually or Quarterly
Storage	23.00
Wholesaler	3,500.00
Winemaker	625.00

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

APPLICATION

The filing of this application does not commit the Commission to the granting of the license that you are applying for, nor does it permit you to operate the business named below before the granting of the license.

LICENSEE NAME _____ DOING BUSINESS AS NAME _____

PREMISE LOCATION _____

Check the type of application:

- Add-A-Partner Class B Wholesaler Retail Store
Airline/Railroad Distiller Sacramental Wine
Beer & Wine Industrial Storage
Bonded Warehouse Mixed Beverage Wholesaler
Bottle Club NonResident Seller Winemaker
Brewer Oklahoma Winemaker Winemaker Self
Carrier Rectifier Distribution
Caterer Caterer/Mixed Beverage Combo
Change of Corporate Officers
Change of Managers or Members

OATH

State of Oklahoma)
ss.
County of _____)

_____, being first duly sworn upon oath deposes and says: That he is the applicant who makes the above and foregoing application; that he has read and signed the same, knows the contents thereof and that all statements therein contained are true. Applicant(s) certifies that the statements and representations made herein are true and correct and consents that if any statements or representations herein are found to be false or omitted, that the Director may refuse to issue said license or may cause such license to be revoked forthwith at any time. He further agrees that he has filed all appropriate property with the County Assessor and that all ad valorem taxes assessed on his property, both real and personal, and wherever situated in the State of Oklahoma, have been paid.

Signature of Applicant(s)

Subscribed in my presence and sworn to before me this ___ day of _____, 20___.

My commission expires: _____ Notary Public

DO NOT WRITE IN THIS SECTION - CITY/COUNTY USE ONLY

In accordance with Title 37, Section 517, this notice is being mailed to you for recommendations as to whether or not this applicant should be issued a license in your city/county, by the ABLE Commission. You have twenty (20) days to respond. Please complete the following and submit a notarized copy of this page only to: ABLE COMMISSION, 3812 N. Santa Fe, Suite 200, Oklahoma City, Oklahoma 73118 (Attach additional page, if necessary.) Grant _____ Deny _____ Reasons for denial _____

Signature of City/County Official

Title

Subscribed and sworn to before me this ___ day of _____, 20___.

My commission expires: _____ Notary Public

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

STATEMENT OF MAIN PURPOSE AFFIDAVIT

I, _____, certify that the main purpose of the business for which a liquor license is being requested is: (State the type of business or sale which will produce the majority of the income, ie. restaurant, bar, retail store, etc. **Terms such as "recreation" or "entertainment"are too vague.**)

LOCATION AFFIDAVIT
(circle correct responses)

I, _____, certify that the business location for which a license is being requested **is/is not** within three hundred(300') feet of a church or public school.

Signature of Applicant (if sole proprietor), all partners,(if partnership), General Partner (if limited partnership), Manager or Member (if an LLC) or Corporate Officer (if a corporation).

Date

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

1. Name(s) of Individual Applicant, Partners, Limited Partnership, Corporation, or Limited Liability Company (include social security number or federal employer identification number):

1. _____
 (Name) (Address) (City, State) (Zip) (SSN/FEI)

2. _____

3. _____

4. _____

2. Is License to be Issued to: Individual _____ Partnership _____
 Corporation _____ Limited Liability Company _____

3. Doing Business Name of Premises: _____

4. Premises Address: _____
 (Number, Street, Rural Route)

_____ (City) (County) (State) (Zip)

5. Business Mailing Address: _____
 (If different than #4 above) (P.O.Box, No., Street, R.R.)

_____ (City) (State) (Zip)

6. Was Premises Previously Licensed by the Commission: _____ Yes _____ No

7. If Yes, to Whom? _____ Type of License: _____

8. Former Premises Name: _____

9. The Local Governing Body Where the Premises is Located: _____
 (Name of City or County)

10. Application Contact Person: _____

_____ (Address) (Tel.No: Home/Business/Message)

SIGNATURE OF APPLICANT(S) 1. _____
 (Individual, Partners, General
 Partner of a Limited Partnership,
 Authorized Corporate Officer, 2. _____
 Manager of a Limited Liability
 Company) 3. _____

4. _____

DATE _____

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

CONFIDENTIAL DISCLOSURE

I, _____ (PLEASE PRINT) hereby authorize any person or organization listed in this application to provide information about me to an Agent of the Oklahoma Alcoholic Beverage Laws Enforcement Commission on a confidential basis, including bank and financial records, criminal history records, driving records, tax records and any other information relating to character or fitness for a liquor license.

Authorized Signature of Applicant

Title

**OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION
INDIVIDUAL HISTORY**

ALL BLANKS MUST BE FILLED IN. PLEASE PRINT. IF THE QUESTION DOES NOT APPLY TO YOU, PLACE N/A (NOT APPLICABLE) IN THE SPACE.

1. Name _____
(Last) (First) (Middle)
2. Other Names Used _____
(Maiden) (Other)
3. Address _____
(Number and Street) (City) (State) (Zip)
4. SSN _____ Date of Birth _____ Age _____
5. Drivers Lic.No./State _____ Place of Birth _____
(City/State/Country)
6. Height _____ Weight _____ Hair Color _____ Eyes _____
7. Sex _____ Name of Spouse _____
8. Spouses Place of Employment _____
9. Spouse Licensed by the Commission? Yes _____ No _____
10. Home Phone () _____ Business Phone () _____
11. U.S. Citizen Yes _____ No _____ If "No" List Alien Reg. No. _____
12. If a Naturalized Citizen _____
(When and Where)

CRIMINAL RECORD

Note: A criminal records check is made on all license applicants in the normal processing of a license application. The information provided in Questions 1 through 12 below can protect you from an error in the criminal records check.

- 12A. Have you ever been convicted of, pled guilty to, or nolo contendere to a felony? Yes _____ No _____
- 12B. Have you been convicted of any crime, violation, or infraction of any law? (Do not include minor traffic violations for which a fine or bail forfeiture of \$50 or less was imposed.) Yes _____ No _____
- 12C. Are there presently pending against you any criminal charges? (Do not include minor traffic violations for which a fine or bail forfeiture of \$50 or less was imposed.) Yes _____ No _____
- 12D. Have you ever been convicted of a violation of any state or federal law relating to alcoholic beverages, or forfeited any bond while any such charge was pending against you? Yes _____ No _____
- 12E. If you have answered "Yes" to 12A through 12D, list below:

Offense	Date	City/County/State	Disposition (Fine, Probation, Incarceration)
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(Attach additional sheet if necessary.)

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

APPLICANT AFFIDAVIT

I, _____, being first duly sworn, upon oath depose and say: that I am the applicant for a liquor license under the Oklahoma Alcoholic Beverage Control Act. I do hereby swear or affirm that I have read and do understand the current laws of the State of Oklahoma and the Rules and Regulations of the Oklahoma Alcoholic Beverage Laws Enforcement Commission, which pertain to the type of license for which I have made application. I further agree that if I am granted the license, I shall continue to familiarize myself with such laws, rules, and regulations, and any amendments and/or additions thereto.

I further agree that if at any time I have employees working for me under my license I will insure that they familiarize themselves with the aforementioned laws, rules and regulations.

I declare that I have not been convicted of a felony.

I declare that I have not been convicted of a violation of any state or federal law relating to alcoholic beverages, nor have I forfeited a bond while any such charge was pending against me.

I declare that I am not a person who appoints or is a law enforcement official, nor am I a peace officer engaging in law enforcement activities.

I declare that I am not related to any member of the ABLÉ Commission or to the Director or Assistant Director by affinity or consanguinity within the third degree, nor am I an employee of the ABLÉ Commission or of the Director.

I declare that I am not a judge, district attorney, or public official who sits in a judicial capacity with jurisdiction over the Oklahoma Alcoholic Beverage Control Act.

I declare that I am not an employee of the Oklahoma Tax Commission engaging in auditing, enforcing, or collecting of alcoholic beverage taxes.

I hereby declare that I will not violate any of the laws of the United States, laws of the State of Oklahoma, or the ordinances of any city in which the licensed premises are located in the conduct of the licensed business.

Signature of Applicant

State of Oklahoma)
County of _____)

Subscribed and sworn to before me this ____ day of _____, 19____.

My commission expires: Notary Public

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

Note: The following questions refer to activity in the liquor industry in Oklahoma or any other State.

1. Are you presently or have you been licensed or employed in the liquor business?

Yes ___ No ___ License Type _____ License Number _____

When _____ Location _____

2. Have you ever received a warning, a notice of violation, suspension, fine, or revocation as a licensee?

Yes ___ No ___ When _____ Location _____

3. Have you ever been refused a license to sell, serve, or dispense alcoholic beverage?

Yes ___ No ___ When _____ Location _____

4. Have you ever held or do you hold any financial interest in any liquor enterprise (manufacturing, importing, wholesale, or retail)?

Yes ___ No ___ When _____ Location _____

5. Do you owe money to any manufacturer or distributor of wine, beer, or distilled spirits on account of cash or credit advanced?

Yes ___ No ___ To Whom? _____
Name

Address _____ City _____ State _____ Zip _____

6. Is your spouse or any family member(s) working in any area of the liquor industry?

Yes ___ No ___ For Whom? _____

7. Have you ever held, as an Oklahoma resident, any federal liquor stamp prior to the adoption of Article XXVII of the Oklahoma Constitution?

Yes ___ No ___ When _____

8. Are you related to any member of the Oklahoma Alcoholic Beverage Laws Enforcement Commission, Director, or Assistant Director by affinity or consanguinity within the third degree?

Yes ___ No ___ If "Yes" give name and relationship: _____

9. Are you a member of any board or commission, or an agent or an employee of the State of Oklahoma or any political subdivision thereof? (County, City, Town, or School District.)

Yes ___ No ___ If "Yes" explain _____

CAUTION: FALSIFICATION OR INTENTIONAL OMISSIONS OF INFORMATION MAY BE GROUNDS TO DENY OR REVOKE YOUR APPLICATION FOR A LICENSE!

Signature of Applicant _____ Date _____

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

**LIST CURRENT AND FORMER EMPLOYERS OR OCCUPATIONS DURING THE PAST TEN YEARS.
(ATTACH ADDITIONAL SHEET IF NECESSARY.)**

Dates by Month/Year Employer or Business Address
 From _____ To _____
 Occupation City and State

Dates by Month/Year Employer or Business Address
 From _____ To _____
 Occupation City and State

Dates by Month/Year Employer or Business Address
 From _____ To _____
 Occupation City and State

Dates by Month/Year Employer or Business Address
 From _____ To _____
 Occupation City and State

Dates by Month/Year Employer or Business Address
 From _____ To _____
 Occupation City and State

Dates by Month/Year Employer or Business Address
 From _____ To _____
 Occupation City and State

LIST CURRENT AND FORMER RESIDENCES WHERE YOU HAVE LIVED IN THE PAST TEN YEARS TO INCLUDE ADDRESS (STREET, CITY, STATE).

Dates by Month/Year Street, City and State
 From _____ To _____
 From _____ To _____
 From _____ To _____
 From _____ To _____

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

TIED-HOUSE DISCLOSURE

A. TO BE ANSWERED IF APPLICATION IS FOR ANY LICENSE OTHER THAN MANUFACTURER OR WHOLESALER.

1. Has the business to be licensed been financed with money or property by any manufacturer of or wholesale dealer in alcoholic beverages?

Yes ___ No ___ If yes, explain _____

2. Do you, individually, or the legal entity to be licensed, have any right, title, lien, claim, or other interest, financial or otherwise, in, upon or to the premises, equipment, business, or merchandise of any manufacturer of or wholesale dealer in alcoholic beverages?

Yes ___ No ___ If yes, explain _____

3. Does your interest result in exercise of control over, or participation in the management of the manufacturer's or wholesaler's business or business decisions?

Yes ___ No ___ If yes, explain _____

I will immediately notify the Oklahoma Alcoholic Beverage Laws Enforcement Commission if a LICENSEE-WHOLESALER connection as described above exists or is contemplated in my business. (This notification extends to corporate officers, directors, and substantial stockholders.)

Signature of Applicant for Retail, Mixed Beverage, Bottle Club, or Caterer Certification Date

B. TO BE ANSWERED IF APPLICATION IS FOR A MANUFACTURER OR WHOLESALER LICENSE.

1. Do you, individually, or the legal entity to be licensed, have the right, title, lien, claim or any other interest, financial or otherwise, in, upon or to the premises, equipment, business, or merchandise of any retailer, mixed beverage, bottle club or caterer of alcoholic beverages?

Yes ___ No ___ If yes, explain _____

I will immediately notify the Oklahoma Alcoholic Beverage Laws Enforcement Commission if a WHOLESALER-LICENSEE connection as described above exists or is contemplated in my business. (This notification extends to corporate officers, directors, and substantial stockholders.)

Signature of Applicant for Wholesale or Manufacturer Certification Date

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION
CORPORATE QUESTIONNAIRE

Licensee _____ Type of License _____

Trade Name _____

Address _____

City _____ Zip _____

Corporate Officers:

Title	Name	SSN	Address
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Board of Directors/Trustees/Executive Committee:

Name	SSN	Address
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Stockholders:

Name	SSN	Address	No. of Shares Held
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Total shares authorized to issue _____ No. of shares issued _____ No. of shares unissued _____

Service Agent (if applicable) _____

Address _____

Date _____

Signature of Corporate Officer _____

**OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION
LIMITED LIABILITY COMPANY QUESTIONNAIRE**

Licensee _____ Type of License _____

Trade Name _____

Address _____

City _____ Zip _____

Limited Liability Company Managers:

Title	Name	SSN	Address
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Limited Liability Company Members:

Name	SSN	Address
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Resident Agent Name _____

Address _____

_____ Date

_____ Signature of Manager

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

Draw a diagram of the licensed premises. The diagram should include the following: outside dimensions, rooms, doorways, bars, and liquor storage areas.

Date

Signature of Applicant/Licensee

Signature of Applicant/Licensee

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION
FINANCIAL STATEMENT

NAME _____ DOING BUSINESS NAME _____
(Individual, Partner, Limited Partnership, Corporation, Limited Liability Company)

ASSETS	AMOUNT	LIABILITIES	AMOUNT
CHECKING AT		TAXES DUE	
Financial Institution		SCHEDULE D	
Name		OTHER	
Address			
Account Signer(s) Name			
Account #			
Financial Institution			
Name			
Address			
Account Signer(s) Name			
Account #			
Financial Institution			
Name			
Address			
Account Signer(s) Name			
Account #			
SAVINGS AT			
Financial Institution			
Name			
Address			
Account #			
Financial Institution			
Name			
Address			
Account #			
EARNEST MONEY			
LEASE DEPOSIT			
OTHER			
CASH VALUE OF:			
Schedule A			
Schedule B			
Inventory			
Fixtures/Equipment			
Vehicles			
Schedule C			
Life Insurance			
Other			
TOTAL ASSETS		TOTAL LIABILITIES	
		NET WORTH	
		TOTAL LIABILITIES	
		& NET WORTH	

- Last year's total income: \$ _____ Source: _____
- Have you any assets or liabilities not listed on this financial statement?
Yes ___ No ___ If yes, explain why not included _____
- Are you a co-signer of any notes or contracts? Yes ___ No ___ If yes, explain _____
- Have you any judgments, suits, liens, or tax warrants filed against you?
Yes ___ No ___ If yes, explain _____
- Have you ever filed a petition of bankruptcy or been adjudged bankrupt? Yes ___ No ___ If yes, explain _____

Applicant Signature _____ Date _____

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

NOTICE OF INTENTION TO APPLY FOR AN ALCOHOLIC BEVERAGE LICENSE

In accordance with Title 37, Section 522 _____

(name & address of individual, partners, limited partnership, corporation, or limited liability company)

an/a _____ (individual, partnership, limited partnership, corporation, limited liability company)

hereby publishes notice of _____ intention to apply within (his, her, its, their)

sixty days from this date to the Oklahoma Alcoholic Beverage Laws Enforcement Commission for a _____

(Beer & Wine, Brewer, Distiller, Rectifier, Winemaker, Wholesaler, Class B, Retail Store, Mixed Beverage, Bottle Club, Caterer, or Caterer/Mixed Beverage Combination)

License under authority of and in compliance with the said Act: That _____ intend(s), if granted such license to operate as a (he, she, it, or they)

_____ establishment with business premises located at (Beer & Wine, Brewer, Distiller, Rectifier, Winemaker, Wholesaler, Class B, Retail Store, Mixed Beverage, Bottle Club, Caterer, or Caterer/Mixed Beverage Combination)

(full street address or legal description)

in _____, _____, Oklahoma, under the business (City) (County)

name of _____ (doing business name)

Dated this _____ day of _____, 19____.

Signature of applicant(s): If partnership, all partners must sign. If corporation an officer of the corporation must sign. If limited liability company a manager must sign.

County of _____, State of Oklahoma.

Before me, the undersigned notary public, personally appeared;

to me known to be the person(s) described in and who executed the foregoing application and acknowledged that _____ executed the same (he/she/they) as _____ free act and deed. (his/her/their)

(Notary Public)

(My commission expires)

Instructions:

1. Complete in detail.
2. Copy to Newspaper for publication.
3. Said notice shall be published in not less than two column inches in a legal newspaper of general circulation in the county in which licensed premises are to be located.
4. The notice will be twice published, once every eight (8) days for (2) successive weeks.
5. Submit original with application.

OKLAHOMA ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

PROOF OF PUBLICATION

I do hereby declare, under penalty of perjury, that _____
(name of legal newspaper)

did cause to be published in a legal newspaper of general circulation in the county of _____ located in the City of _____, Oklahoma, by causing the same to be published on the ____ day of _____, 19____, and on the ____ day of _____, 19____, a Notice of Intention to apply for an Alcoholic Beverage License, and that a true copy of said notice is attached and made a part hereof.

Dated this ____ day of _____, 19____.

(legal representative of the newspaper)

Subscribed and sworn to before me this ____ day of _____, 19____.

My Commission Expires

Notary Public

Instructions:

1. Attach a true copy of each run of the publication.
2. Submit original completed Proof of Publication with application.
3. You may submit the publisher's affidavit form in place of the above affidavit.

OKLAHOMA WHOLESALERS
(Spirits & Wines)

ACTION WHOLESALE LIQUOR

Tad Shadid & Jeffrey Shadid
4200 Southeast 59th
Oklahoma City, OK 73135-2511
(405) 682-8527
fax (405) 682-8559
jrod@actionliquor.com
mikespoon@actionliquor.com

K O BEVERAGE

Larry Barnes
4157 S 72nd East Avenue
Tulsa, OK 74145
(918) 728-7401
fax (918) 728-7402
kobeverage@tulsacoxmail.com

CENTRAL LIQUOR COMPANY

Franklin K. & Robert Z. Naifeh
605 North Tulsa
P.O. Box 75447
Oklahoma City, OK 73147
(405) 947-8050
fax (405) 949-1416
Juanita@centralliquor.com

**SOUTHERN OKLAHOMA
SPIRITS**

Tim J. McCullers
309 Mill Street
Ardmore, OK 73401
(580) 223-0148
fax (580) 226-7180

DIXIE LIQUOR COMPANY

Al J. Horton & Stacey Horton
300 North Jupiter Road
Hartshorne, OK 74547
(918) 297-0133
fax (918) 297-0293
staceyk@dixieliquor.com

FIREWATER SUPPLY CO

Greg George, Owner
7220 E. 151st Street South
PO Box 66
Bixby, OK 74008
firewater@olp.net
(918)396-5600
fax (918)369-5604

JARBOE SALES COMPANY

John B. Jarboe & John B. Jarboe II
6833 East Reading Place
P.O. Box 580130
Tulsa, OK 74158
(918) 836-2511
(800) 669-2850
fax (918) 836-6688
mvaught@jarboesales.com

LDF Wine & Spirits

Theodore Solie
10718 East Marshall Street
Tulsa, OK 74116
(918)437-7722
fax (918) 437-3502

STERLING WINES & SPIRITS CO

Paul W. Dudman
2226 North Broadway
Oklahoma City, OK 73103-4312
(405) 557-1818
fax (405) 557-1857



STATE OF OKLAHOMA
ALCOHOLIC BEVERAGE LAWS ENFORCEMENT COMMISSION

SHORT FORM PRICE REGISTRATION
BY NON-RESIDENT SELLER & OKLAHOMA WINERIES

Spirits & Wine

License No. _____

Name: _____

Address: _____

Date: _____

In compliance with 45:30-3-3(b)(2) of the Oklahoma Administrative Code (OAC) the above named Non-resident Seller/Oklahoma Winery adopts by reference its most recent detailed price registration filed with the ABLE Commission _____, 20____, for the month of _____, 20____, and affirms that said registration, without exception, will remain unchanged and in effect during the month of _____, 20_____.

It is hereby certified, under penalty of suspension or revocation of license that the above named licensee has on this date sent a true copy hereof to each Oklahoma licensed wholesaler.

Non-resident Seller/Oklahoma Winery: _____

By: _____