

**OSF
STATE TRIBAL-GAMING ACT
CASINO MONITORING PROCEDURES (ON-SITE)**

MONITORING PROCEDURE	Statute Ref	Initial & Date	W/P Ref	Comments
Casino Walk-through				
1. Observe if Covered Game employees are wearing in plain view TCA issued ID cards with the following information: <ul style="list-style-type: none"> • Employee photograph • Employee first name • Four-digit unique ID number • A tribal seal or signature • Date of expiration (should not extend beyond employee's license expiration date) 	Section 22, Part 10, Paragraph A9			
2. Determine if the facility is maintaining signs and other material available to direct compulsive players to agencies where they may receive counseling	Section 22, Part 5 Paragraph E4			
3. Determine the TCA has established a list of barred persons.	Section 22, Part 5 Paragraph E2			
4. Determine if the facility allows patrons to add their names to the list should the request it.	Section 22, Part 5 Paragraph E4			
5. Determine if the enterprise has employed its best efforts to exclude persons on barred lists from entry into the facility	Section 22, Part 5 Paragraph E3			
6. Perform a physical inventory count of games to ensure listing provided to OSF is complete and accurate. <ul style="list-style-type: none"> • Select a sample of games from the inventory listing and ensure they are present on the floor. Investigate any variances; • Select a sample of games from the casino floor and ensure they are present on the OSF inventory listing. Investigate any variances (Consider effect of variances on fees pay to the State)	Section 22, Part 5, Paragraph M			
7. Perform a walk-thru of the casino floor and determine:				
a. If any covered games are in operation that have not been certified by an independent lab.	Section 11 and Section 22, Part 4, Paragraph B			
b. Summary of the rules for playing covered games and winning prizes are visibly displayed in the facility;	Section 22, Part 5, Paragraph G			
c. Complete set of rules are available in pamphlet form in the facility.	Section 22, Part 5, Paragraph G			
d. Notices explaining the procedure and time limitations with respect to making a tort claim are prominently posted in the facility	Section 22, Part 6, Paragraph A10			
e. Pamphlets are readily available to patrons containing the tort claim requirements	Section 22, Part 6, Paragraph A10			

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f. Notices explaining the procedure and time limitation with respect to making a prize claim are prominently posted	Section 22, Part 6, Paragraph B12			
g. Pamphlets are readily available to the patrons containing the prize claim requirements	Section 22, Part 6, Paragraph B12			
Conclusion of Monitoring Procedures				
8. Conclude as to the Compliance with the State-Tribal Gaming Compact				
9. Prepare a written report of the investigation and forward a final copy to the TCA	Section 22, Part 8, Paragraph C			